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Corepressor SMRT in Human Breast Cancer Cells

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## **Final Report**

### **Introduction**

Coactivators recruited by ligand-bound nuclear receptors include members of the SRC family of coactivators such as SRC1 (also known as NCoA-1), TIF2/GRIP1 (also known as SRC2 or NCoA-2), and RAC3/ACTR/pCIP/AIB1 (also known as SRC3 or NCoA-3). Intriguingly, these coactivators have been found to contain several conserved motifs, termed NR boxes, with the sequence LXXLL, where X is any amino acid. Motifs within the receptor interacting domain and transcriptional activation domains of SRC1 and TIF2 have been demonstrated to mediate interactions with liganded nuclear receptors and CBP/p300, respectively. Crystallographic and protein structure prediction analyses have indicated that these motifs form amphipathic  $\alpha$ -helices with the leucine residues comprising a hydrophobic surface on one face of the helix. The helical motif is able to interact with the AF-2 domain of the liganded receptor via a hydrophobic groove made up of residues from receptor helices 3, 4, 5, and 12 that is the result of the conformational change induced by hormone binding. Mutational analyses of the NR boxes of SRC1 and TIF2/GRIP1 have also uncovered a receptor-specific code of interaction, where different nuclear receptors require different NR boxes to interact with coactivator. In this study, we investigate the mechanisms by which RAC3 regulates the function of the VDR, ER $\beta$ , and retinoid receptors for little is known concerning the regulation of these receptors by SRC coactivators, particularly RAC3. These analyses also reveal receptor-specific interactions in which the different receptors interact with different surfaces of RAC3. We demonstrate different preferences of these receptors for specific NR boxes of RAC3 and that single mutations in these LXXLL motifs are able to severely impair the ability of RAC3 to interact with and thus, coactivate, VDR, ER $\beta$ , RAR, and RXR. In analyzing the requirement of nuclear receptor AF-2 domains, we observe that the AF-2 domain of RXR can inhibit RAC3-RID binding to the DNA-bound VDR/RXR and RAR/RXR heterodimers, while the AF-2 domain of VDR or RAR is absolutely required for this interaction. We also demonstrate that the coactivator and corepressor binding pockets of RAR overlap to a large extent and that the cofactor pockets of retinoid receptors make unique contributions to RAC3 recruitment and transcriptional regulation, depending on the composition of the receptor dimer. These data add complexity to the regulation of nuclear receptor activity by SRC coactivators and suggest that different classes of nuclear receptors may be differentially regulated by RAC3.



## Body

### **VDR and ER $\beta$ interact with multiple surfaces of RAC3**

We have previously defined the minimal receptor interacting domain (RID) of RAC3 to be amino acids 613-752, which contains the first three LXXLL motifs. We wished to further determine if different receptors were capable of binding to the same regions of RAC3. To accomplish this, we purified a panel of GST-RAC3 fusion proteins, in total comprising the full-length RAC3, and probed these fusions with  $^{35}\text{S}$ -methionine labeled VDR and ER $\beta$  in a Far-Western assay. The VDR, as expected, interacted in a ligand-dependent manner with GST-RAC3 613-752 in this assay. It also bound GST-RAC3 723-1034, which only contains NR box iii, in a ligand-dependent manner. The VDR did not interact with any other GST-RAC3 fragment, including GST-RAC3 342-646, which contains NR box i. It also appeared that the VDR interacted more strongly with GST-RAC3 723-1034 than with GST-RAC3 613-752, suggesting a more important role for NR box iii in the RAC3-VDR interaction. However, a different pattern was evident upon repeating this assay with  $^{35}\text{S}$ -ER $\beta$ , for in addition to ligand-dependent interactions with GST-RAC3 613-752 and 723-1034, ER $\beta$  also bound the 342-646 fragment, which contains only NR box i. These interactions were of approximately equal intensity. There was also a weak, ligand-independent interaction with GST-RAC3 1-407. Identical results were obtained for ER $\beta$ . Thus, the VDR and ER $\beta$  display different binding patterns for RAC3 fragments, with the VDR interacting preferentially with regions containing NR box iii and the ER $\beta$  interacting with regions containing all three NR boxes and the N-terminal bHLH-PAS domain.

### **NR Box peptides differentially compete with nuclear receptors for RAC3 binding**

We then wanted to investigate the relative importance of individual NR boxes within the RAC3-RID in mediating the interactions between RAC3 and the VDR or ER $\beta$ . Peptides were synthesized corresponding to NR boxes i, ii, and iii, which were incubated with  $^{35}\text{S}$ -labeled nuclear receptor and 1 $\mu\text{M}$  ligand prior to probing the GST-RAC3 613-752 fragment in the Far-Western assay. With the VDR, peptides corresponding to the second and third LXXLL motif were able to compete away the VDR-RAC3 RID interaction in a dose-dependent manner. Upon quantifying the data, it was evident that peptide iii was a more potent inhibitor than peptide ii, while the peptide comprising NR box i had little, if any, effect on the VDR-RID interaction. We again identified a different pattern when the same experiment was done with the ER $\beta$ . Here, all three peptides were able to compete efficiently for ER $\beta$  binding with the RAC3-RID, with peptide ii being the most potent.

Thus, these data reveal receptor-specific preferences for interactions between RAC3 and different nuclear receptors, for the VDR and ER $\beta$  have different affinities for the NR boxes of RAC3.

### **NR box mutations can impair RAC3 interactions with nuclear receptors in vitro**

In order to assess the integrity of the LXXLL motif in mediating the interaction between the RAC3-RID and nuclear receptors, we used site-directed mutagenesis to switch the leucine residues of each motif to alanines. The mutants were made using the GST-RAC3-RID fusion as the template and tested for their ability to interact with the VDR or ER $\beta$  in GST-pulldown assays. The wild-type RAC3-RID was able to pull down a significant amount of  $^{35}\text{S}$ -VDR in the presence of 1 $\mu\text{M}$  Vitamin D. This interaction was specific, for GST alone pulled down much less  $^{35}\text{S}$ -VDR. Mutations in NR boxes i or ii displayed wild-type binding. However, when NR box iii was mutated, the RID-VDR interaction was greatly reduced to a level only slightly higher than background binding to GST alone. GST-RAC3 342-646, which contains only NR box i, also had minimal binding to the VDR, consistent with the Far-Western assay. Equal protein concentrations of each GST fusion confirmed the specificity of these findings. Thus, these data support the above observations in implicating NR box iii as being most critical to RAC3 interaction with the VDR.

The wild-type RID was also able to pull down significant amounts of  $^{35}\text{S}$ -ER $\beta$  in the GST-pulldown assay. In contrast to the VDR, alanine substitution for leucine in any of the three NR boxes weakened the interaction of the RAC3-RID with ER $\beta$ , with the mutation of NR box ii being the most deleterious, again supporting the results of LXXLL peptide competition experiments. However, with each mutation, significant binding above background between the RAC3-RID and  $^{35}\text{S}$ -ER $\beta$  was still observed. Furthermore, the GST-RAC3 342-646 fragment, with only NR box i, was able to interact efficiently with ER $\beta$  as in the Far-Western assay. These data suggest that although all three motifs are capable of interacting with ER $\beta$ , none them are absolutely required for the interaction. In contrast, NR box iii of RAC3 appears to be essential for the interaction with the VDR.

### **RAC3-RID interactions with DNA-bound nuclear receptors**

The above data provide compelling evidence that the VDR interacts specifically with RAC3 in solution via the LXXLL motifs of the RAC3 RID, particularly NR box iii. To gain further insight into the function of NR boxes in coactivator-VDR interactions on a heterodimeric complex bound to DNA, we performed gel-shift assays with VDR/RXR heterodimers on a DR3 element in the presence of wild-type or mutant RAC3-RID. Addition of the RAC3-RID resulted in a ligand-dependent shift of the heterodimeric complex to a slower

migrating form. Mutation of NR box i had little effect on the ability of the RID to shift the complex. However, mutating NR box ii diminished somewhat the formation of the RID-VDR/RXR complex, while mutating NR box iii nearly abolished formation completely. This result differs from the GST- pulldown, in which only the NR box iii mutation inhibited interaction with VDR alone, suggesting that motif ii may contribute to the interaction with DNA-bound VDR/RXR.

We next analyzed the involvement of nuclear receptor AF-2 domains in regulating the interaction between the RAC3-RID and the VDR/RXR heterodimer. Using the gel-shift assay, we compared the ability of the RID to bind the wild-type heterodimer versus the VDR/RXR443 and VDR402/RXR heterodimers, in which the AF-2 domain of RXR or VDR had been deleted, respectively. Deletion of the VDR AF-2 domain resulted in the loss of the RID-shifted complex, suggesting that the VDR AF-2 domain is required for interaction of RAC3 with the heterodimer, and that RXR AF-2 domain alone is not sufficient for the interaction. Interestingly, deletion of the RXR AF-2 domain resulted in a much stronger shift of the heterodimeric complex by the RAC3-RID, suggesting that the RXR AF-2 domain can inhibit the interaction between RAC3 and VDR/RXR.

Finally, we compared the RAC3 NR box preferences of VDR/RXR versus VDR/RXR443. With wild-type receptors, the same pattern was observed as above. The NR box i mutation had little effect on the ability of RAC3-RID to shift the VDR/RXR complex. Conversely, mutation of NR box ii impaired the interaction, while mutation of NR box iii nearly abolished it completely. Intriguingly, the VDR/RXR443 heterodimer displayed different NR box preferences. Mutation of NR box i or iii greatly reduced the shift by the RAC3-RID, while mutation of NR box ii only slightly weakened the binding. Thus deletion of the RXR AF-2 domain resulted in a switch in the NR box requirements, with NR boxes i and iii being most important for VDR/RXR443 compared to NR boxes ii and iii for VDR/RXR. This finding supports the hypothesis that multiple LXXLL motifs provide RAC3 with the flexibility to adapt to different receptor dimers.

### **Effects of NR box mutations on RAC3 coactivation function in vivo**

RAC3 has previously been shown to enhance the transcriptional activity of the retinoic acid receptor (RAR) and progesterone receptor (PR). However, its effect on VDR and ER $\beta$  function in vivo has not been demonstrated. To address this, we performed transient transfection assays in HEK293 and CV-1 cells using luciferase reporters harboring either two copies of the VDRE of the osteopontin gene for VDR studies or a consensus ERE element for ER $\beta$  studies. Transfection of the VDR into HEK293 cells minimally activated the VDRE-driven reporter. However, treating these cells with Vitamin D strongly stimulated its activity.

Cotransfection of RAC3 further enhanced VDR transcriptional activation 3-fold, consistent with the coactivation capabilities of the other SRC family members.

We then analyzed the role of the NR boxes in mediating the ability of RAC3 to potentiate VDR activity. Mutations of each NR box of the RAC3-RID were made in the context of the full-length RAC3 protein and tested for their ability to coactivate the VDR in transient transfection assays. Mutation of NR box i did not inhibit RAC3 enhancement of VDR transactivation, consistent with its inability to block the interaction of RAC3 with VDR in vitro. However, the RAC3- NR box ii or iii mutations greatly reduced the function of RAC3 in enhancing VDR activity. Although the mutation of NR box ii did not affect the VDR-RID interaction in GST-pulldown assays, it did reduce binding to the VDR/RXR heterodimer in the more functionally relevant gel-shift assay. Also, a peptide corresponding to NR box ii was able to efficiently compete with the RAC3-RID for VDR binding. Taken together with the above in vivo data, it is clear that NR boxes ii and iii are both involved in RAC3 regulation of the VDR, while the role of NR box i appears minimal.

We then conducted these experiments with the ER $\beta$  in CV-1 cells. Estradiol treatment of CV-1 cells transfected with the ER $\beta$  and the ERE-Luciferase reporter activated reporter expression approximately 8-fold. Cotransfection of wild-type RAC3 resulted in a strong enhancement of ER $\beta$  activity. It is evident from this data that RAC3 is a more potent coactivator for the ER $\beta$  than for the VDR. Cotransfection of RAC3 expression plasmids containing mutations in NR boxes i, ii, or iii all suppressed the ability of RAC3 to coactivate the ER $\beta$ , with the NR box ii mutant having the greatest and NR box iii mutant having more modest effects on RAC3 function. However, all three mutant coactivators were still able to somewhat enhance ER $\beta$  activity. Thus, this in vivo data correlates with the in vitro data in implicating all three NR boxes of the RAC3 receptor-interacting domain as being important, but not required, for RAC3 regulation of ER $\beta$ .

### **RAC3 NR box preferences of Retinoid Receptors**

Using the GST-RAC3 RID mutants described above, we next investigated the NR box preferences of the retinoid receptors, RAR and RXR. Wild-type GST-RAC3-RID pulled down a significant amount of <sup>35</sup>S-RAR $\alpha$  in the presence of all-trans retinoic acid (RA). Mutation of NR box i reduced this interaction, while mutation of NR box ii abolished binding completely. The NR box iii mutation had no effect on RAR $\alpha$  interactions with RAC3. With RXR $\alpha$ , a different pattern was evident. Wild-type RAC3-RID interacted fairly strongly with RXR $\alpha$  in the presence of 9-cis RA. Mutation of NR boxes i or ii each substantially inhibited this binding, reducing it to a level only slightly above background. Mutation of NR box iii had minimal effects. In contrast, the NR box iii mutant significantly affected RAC3 interactions with VDR, as demonstrated above. These data

extend the hypothesis of receptor-specific preferences for the NR boxes of RAC3 in suggesting that NR box ii is critical to RAC3 interaction with RAR $\alpha$  while NR box i and ii are equally important to interaction with RXR $\alpha$  in solution.

To further examine the role of the RAC3 LXXLL motifs in receptor interactions, we performed gel-shift assays with the RAR $\alpha$ /RXR $\alpha$  heterodimer at a DR5 element and the RXR $\alpha$ /RXR $\alpha$  homodimer at a DR1 element. The RAR $\alpha$ /RXR $\alpha$  heterodimer specifically bound the  $^{32}$ P-DR5 probe in the presence of all-trans RA. Addition of GST alone did not affect the heterodimer while the wild-type RAC3-RID shifted a part of the complex to a slower migrating form. The RID with mutations of NR boxes i or iii slightly reduced this interaction, while mutation of NR box ii abolished binding completely, suggesting that motif ii is also most critical to interaction with the RAR $\alpha$ /RXR $\alpha$  heterodimer on DNA. Similarly, the RXR $\alpha$  homodimer bound specifically to the  $^{32}$ P-DR1 probe and was shifted upon addition of wild-type RAC3-RID. However, consistent with the GST pulldown experiment, the NR box i or ii mutants each inhibited binding to the RXR $\alpha$  homodimer, while mutant iii had minimal effects.

We have shown that the AF-2 helix of RXR $\alpha$  can antagonize RAC3 interaction with the VDR/RXR $\alpha$  heterodimer. Interestingly, when wild-type RAR $\alpha$  was heterodimerized with RXR $\alpha$ -443, this complex interacted with the RAC3-RID much more strongly than did the wild-type heterodimer. This observation suggests that the AF-2 helix of RXR $\alpha$  can also inhibit RAC3 interaction with RAR $\alpha$ /RXR $\alpha$ . With this stable complex of RAR $\alpha$ /RXR $\alpha$  and the RID, we again tested the contribution of each NR box to binding the heterodimer. Mutation of NR box i reduced RAC3-RID interaction, while mutation of NR box ii abolished binding completely. In contrast, when RAR $\alpha$ -403 was heterodimerized with wild-type RXR $\alpha$ , interaction with RAC3 was prevented, suggesting that the AF-2 domain of RAR $\alpha$  is absolutely required for interaction with RAC3. These results further confirm that motif ii is most critical to RAC3 interaction with the retinoid receptor heterodimer, with motif i also contributing, but to a lesser extent.

### **Characterization of Retinoid Receptor Coactivator-binding Pockets**

Upon ligand binding, a coactivator-binding pocket consisting of residues from helices 3, 4, 5, and 12 that accommodates the  $\alpha$ -helical LXXLL motif of coactivators has been identified in ER $\alpha$ , TR $\beta$ , and PPAR $\gamma$ . In light of these findings, we decided to characterize the coactivator pockets of retinoid receptors by investigating the involvement of specific residues within these critical helices in RAC3 binding. Upon aligning several nuclear receptor LBD sequences, a single conserved residue from each of helices 3, 4, 5, and 12 was selected and mutated by site-directed point mutation in the context of a full-length RAR $\alpha$  expression vector. Residues

were selected based on the interaction with the LXXLL motif of homologous amino acids found in those receptors that have been crystallized with coactivator fragments. In addition, E412 of RAR $\alpha$  represents the charge clamp glutamate from helix 12, as identified in the crystal structure of PPAR $\gamma$  and an SRC-1 fragment. Each RAR $\alpha$  construct was then labeled with  $^{35}\text{S}$ -methionine by in vitro transcription/translation reaction and expressed at equal levels in the reaction as determined by autoradiography. They were then tested for interaction with RAC3 by GST-pulldown assay using the GST-RAC3-RID and all-trans RA. GST-RID pulled down a significant amount of wild-type  $^{35}\text{S}$ -RAR $\alpha$ , compared with only minimal binding to GST alone. However, mutation of any of the coactivator pocket residues drastically reduced this interaction. RAR $\alpha$  V240R, L261R, or E412K, from helices 3, 5, and 12 respectively, each abolished RAC3-receptor binding such that only a minimal background signal remained. RAR $\alpha$  F249R from helix 4 retained some interaction with RAC3, but at a very low level compared to wild-type receptor. Therefore, these results have identified specific residues of the RAR $\alpha$  coactivator-binding pocket that are required for receptor interaction with RAC3.

We then tested the contribution of the RAR $\alpha$  coactivator pocket to the binding of coactivator to the RAR $\alpha$ /RXR $\alpha$  heterodimer on DNA. This heterodimer bound to the  $^{32}\text{P}$ -DR5 element was significantly shifted by the wild-type RAC3-RID. However, each of the four point mutants in RAR $\alpha$  virtually eliminated recruitment of the RID, despite the presence of wild-type RXR $\alpha$ . RAR $\alpha$  F249R retained some very weak binding, consistent with the GST pulldown data. This finding was confirmed when RXR $\alpha$  was replaced with RXR $\alpha$ -443, which enhances the interaction between the RAC3-RID and the RAR $\alpha$ /RXR $\alpha$  heterodimer. This strong interaction was completely abolished by RAR $\alpha$  mutations V240R, L261R, or E412K while the residual binding to RAR $\alpha$  F249R was more evident. Therefore, there is a precise correlation of interactions with RAC3 between RAR $\alpha$  in solution and RAR $\alpha$ /RXR $\alpha$  on DNA. An intact RAR $\alpha$  coactivator pocket is required for interactions with RAC3, but the RXR $\alpha$  coactivator site may be dispensable.

In order to understand the functional consequences of mutating the coactivator-binding site of RAR $\alpha$  in vivo, we performed transient transfection assays to investigate the transcriptional activity of these mutants compared to wild-type RAR $\alpha$ . As a control, an additional mutant RAR $\alpha$ , RAR $\alpha$ -403, was also tested for transcriptional activity. RAR $\alpha$ -403, in which helix 12 has been deleted entirely, has previously been demonstrated to constitutively repress transcription due to enhanced corepressor binding. HEK293 cells were co-transfected with the expression plasmid for each receptor along with a luciferase reporter containing the RAR response element. Transfected cells were also treated with 50nM all-trans RA or solvent for 24 hours before harvesting. In the absence of ligand, RAR $\alpha$ -403 repressed transcription below the basal level as



expected. In addition, the RAR $\alpha$  V240R, F249R, and L261R mutants all had no effect on reporter expression. However, the helix 12 point mutant, RAR $\alpha$  E412K, did decrease basal expression somewhat. In the presence of ligand, the reporter alone was stimulated, likely due to the activation of endogenous retinoid receptors. Transfection of wild-type RAR $\alpha$  resulted in a 4-fold enhancement in reporter expression while RAR $\alpha$ -403 retained the ability to repress transcription. In contrast, all four mutant RAR $\alpha$  failed to enhance reporter expression. RAR $\alpha$  F249R retained the most activity, consistent with its ability to still bind the RAC3-RID weakly in vitro. However, RAR $\alpha$  V240R, L261R, and E412K actually displayed a slight dominant negative effect. This finding can likely be attributed to the formation of inactive heterodimers with endogenous RXR, thereby titrating away the formation of active, endogenous RAR-RXR heterodimers in 293 cells. These observations correlate with the above in vitro data in implicating specific residues in the coactivator pocket of RAR $\alpha$  as being critical to both the interaction with RAC3 and transcriptional activation upon hormone binding.

### **Role of RXR $\alpha$ in the Recruitment of RAC3**

We next wished to investigate the role of RXR $\alpha$  in the recruitment of the coactivator RAC3, since it is unclear if the proposed coactivator-binding pocket of RXR $\alpha$  had a similar function as that of RAR $\alpha$ . First, double mutants were made in this proposed domain of full-length RXR $\alpha$ . The mutations were: L276A/V280A (m1) from helix 3 and V298A/L301A (m2) from helix 5. Wild-type and mutant receptors were then labeled with  $^{35}$ S-methionine and tested for interaction with the RAC3-RID by GST-pulldown assay in the presence of 9-cis RA. Each receptor was expressed at approximately equal levels in the translation reaction. The RAC3-RID interacted specifically with wild-type RXR $\alpha$  in this assay. However, similarly to RAR $\alpha$ , either mutant receptor was impeded in its interaction with the RAC3-RID, with RXR $\alpha$  m1 mutation abolishing the interaction and m2 mutation retaining some minimal, but detectable binding.

We then tested the effect of these RXR $\alpha$  mutations on recruitment of RAC3 to the RXR $\alpha$  homodimer on DNA via gel-shift assay. As demonstrated above, the wild-type RXR $\alpha$ /RXR $\alpha$  homodimer strongly bound a  $^{32}$ P-DR1 probe and was shifted significantly by the RAC3-RID. Furthermore, each mutant RXR $\alpha$  retained the ability to homodimerize and bind DNA, but was unable to bind RAC3-RID. The m1 mutant did display reduced DNA binding, thus this mutation may affect the homodimerization or overall structure of RXR $\alpha$  to a greater extent than the m2 mutant. Overall, we have abolished the coactivator pocket of RXR $\alpha$  and demonstrated that it is required for RAC3 binding to RXR $\alpha$  in solution and to the RXR $\alpha$  homodimer bound to DNA.

However, it is currently not known if RXR $\alpha$  plays a role in recruiting coactivators to heterodimeric complexes. Therefore, we compared the ability of RAC3-RID to bind the wild-type RAR $\alpha$ /RXR $\alpha$  heterodimer versus heterodimers in which the coactivator pocket of either receptor was mutated. Interestingly, in contrast to the RXR $\alpha$  homodimer, when wild-type RAR $\alpha$  was dimerized with mutant RXR $\alpha$ , the RAC3-RID was still able to bind the complex, albeit to a slightly lesser extent. As expected, the interaction was abolished when both receptors were mutated. In order to confirm these observations, we repeated the experiment using RXR $\alpha$ -443, which enhances the interaction of RAR $\alpha$ /RXR $\alpha$  with RAC3. When RXR $\alpha$ -443 harboring the m2 mutation is dimerized with RAR $\alpha$ , the shift is reduced somewhat, but a strong interaction with the RAC3-RID is still evident. Therefore, it can be concluded that the RAR $\alpha$  coactivator pocket is required for the recruitment of RAC3 while the RXR $\alpha$  pocket contributes, but is not essential to this interaction. It is likely that the RAR $\alpha$  portion of the heterodimer serves as the primary docking point for coactivator and that any interaction between RXR $\alpha$  and coactivator is secondary and not absolutely critical.

We next sought out to provide functional support for these observations by characterizing transcriptional activation by RXR $\alpha$  in vivo by co-transfecting 293 cells with the wild-type receptor or cofactor pocket mutants with a luciferase reporter driven by a DR1-containing promoter. Wild-type RXR $\alpha$  did display some activation in the absence of ligand, suggesting hormone-independent function. However, treatment of these cells with 9-cis RA strongly stimulated reporter expression approximately 22-fold. RXR $\alpha$  m1 and m2 mutants were much less efficient in transcriptional activation, consistent with their impaired abilities in binding RAC3 in vitro.

A much different result was obtained when a similar experiment was performed to analyze the RAR $\alpha$ /RXR $\alpha$  heterodimer. RAR $\alpha$  was cotransfected with wild-type or mutant RXR $\alpha$  and a reporter under the control of a DR5 response element. All-trans RA, which is specific for RAR $\alpha$ , stimulated this reporter without overexpression of receptors, likely by activating endogenous retinoid receptors. Overexpression of wild-type RAR $\alpha$ /RXR $\alpha$  further enhanced reporter expression nearly 5-fold. Interestingly, wild-type RAR $\alpha$  coexpressed with RXR $\alpha$  m2 did not alter receptor activation of the DR5 reporter, in contrast to its function at the DR1-driven reporter, where it was 4-fold less active than the wild-type receptor. These findings are consistent with our gel-shift data, which demonstrated that the RXR $\alpha$  coactivator pocket was critical to RAC3 interaction with the RXR $\alpha$ -RXR $\alpha$  homodimer at a DR1 element, but only made minor contributions to RAC3 binding to the RAR $\alpha$ /RXR $\alpha$  heterodimer at a DR5 element.



### **Requirement of RAR $\alpha$ Coactivator Pocket for Corepressor interactions**

Several recent studies have determined that the nuclear receptor corepressors SMRT and NCoR contain an LXXLL-like motif that is required for interaction with unliganded TR and RAR. Therefore, we wished to determine if the same residues within the RAR $\alpha$  coactivator pocket that were required for RAC3 binding were also critical to the binding of the corepressor SMRT. A similar GST-pulldown assay using a GST fusion of SMRT 982-1291, which has previously been demonstrated to be sufficient for binding to RAR $\alpha$  was used to assess the effects of the RAR $\alpha$  mutations on corepressor binding. GST-SMRT 982-1291 pulled down significant amounts of wild-type RAR $\alpha$  in the absence of hormone. The V240R, F249R, and L261R mutations each inhibited the interaction, with F249R and L261R more or less abolishing the binding to the receptor, while V240R had a slightly more modest effect. As expected, mutation of E412 in helix 12 did not alter the SMRT-RAR $\alpha$  interaction, consistent with observations that helix 12 is not required and actually inhibits corepressor interactions with nuclear receptors. Similar results were obtained with NCoR. These results suggest that the RAR $\alpha$  coactivator pocket does indeed overlap with a proposed corepressor pocket. However, distinctive contributions of the residues comprising this pocket do exist, for V240R had a more modest effect on SMRT binding relative to RAC3 binding, while F249R displayed the opposite effect.

To assess the functional consequences of the RAR $\alpha$  cofactor-binding pocket mutations on transcriptional repression by the receptor, we subcloned these mutations into the RAR $\alpha$ -403 expression plasmid, which functioned as a constitutive repressor. These receptors were then tested for transcriptional repression by transient transfection in HEK293 cells. Cotransfection of RAR $\alpha$ -403 with the DR5-driven reporter resulted in significant repression of basal activity in the absence of hormone, relative to empty vector or full-length RAR $\alpha$ . However, expression of either RAR $\alpha$ -403 V240R, F249R, or L261R each abolished this repression activity, consistent with the reduction in interaction with corepressor *in vitro*. These results demonstrate a functional significance in transcriptional repression for the RAR $\alpha$  coactivator pocket residues that are also required for corepressor binding.

### **Key Research Accomplishments**

- Analyzed the mechanism of receptor-associated coactivator 3 (RAC3) interaction with the vitamin D receptor (VDR), estrogen receptor beta (ER $\beta$ ), and retinoid receptors (RAR, RXR)
- Identified different binding surfaces of RAC3 for these receptors
- Established the LXXLL motifs of RAC3 as being critical to interactions with nuclear receptors
- Demonstrated that peptides corresponding to LXXLL motifs ii and iii can compete for VDR interaction with RAC3, with peptide iii being much more potent, while peptides corresponding to LXXLL motifs i, ii, and iii can compete for ER $\beta$  interaction
- Demonstrated that mutation of RAC3 LXXLL motif iii nearly abolishes VDR interaction with RAC3 in vitro while mutation of any of the three LXXLL motifs reduces, but does not abolish, the interaction with ER $\beta$ . Furthermore, motif ii is critical to interactions with RAR $\alpha$  and motifs i/ii for RXR $\alpha$
- Demonstrated that mutation of RAC3 LXXLL motif ii or iii inhibits RAC3 interaction with a DNA-bound VDR/RXR heterodimer, mutation of motif ii inhibits interaction with RAR $\alpha$ /RXR $\alpha$ , and mutation of motifs i or ii inhibit interaction with RXR $\alpha$ /RXR $\alpha$
- Demonstrated that helix 12 of VDR or RAR $\alpha$  is required, while helix 12 of RXR inhibits, interaction of RAC3 with a DNA-bound RXR heterodimers
- Demonstrated that mutation of RAC3 LXXLL motifs ii or iii abolishes the ability of RAC3 to enhance transcription by the VDR in vivo, while mutation of any of the three LXXLL motifs reduces, but does not abolish, RAC3 coactivation of ER $\beta$  activity
- Defined the coactivator binding pockets of RAR $\alpha$  and RXR $\alpha$
- Demonstrated that the coactivator pocket of RXR $\alpha$  is required for RAC3 recruitment and transcriptional activation by the liganded RXR $\alpha$  homodimer, but is dispensable for these functions of the RAR $\alpha$ /RXR $\alpha$  heterodimer
- Revealed that the corepressor SMRT binds a similar pocket as RAC3 on RAR $\alpha$ , and that the integrity of this pocket is critical to transcriptional repression by unliganded RAR $\alpha$

### **Reportable Outcomes**

1. Leo, C., Yang, X., Liu, J., Li, H., and Chen, J.D. (2001) Role of Retinoid Receptor Coactivator Pockets in Cofactor Recruitment and Transcriptional Regulation. *The Journal of Biological Chemistry*, in press.
2. Leo, C. and Chen, J.D. (2000) The SRC family of nuclear receptor coactivators. *Gene* 245, 1-11.
3. Leo, C., Li, H., and Chen, J.D. (2000) Differential Mechanisms of Nuclear Receptor Regulation by Receptor-associated Coactivator 3. *The Journal of Biological Chemistry* 275, 5976-5982.
4. Li, H.\*, Leo, C.\*, Zhu, J., Wu, X., O'Neil, J., Park, E., and Chen, J.D. (2000) Sequestration and Inhibition of Daxx-mediated Transcriptional Repression by PML. *Molecular and Cellular Biology* 20, 1784-1796. (\*Co-first authors)

## Conclusions

The original aim of this research project was to investigate the function of the nuclear receptor corepressor SMRT in breast cancer cells. However, due to interesting data that was obtained while the proposal was being reviewed by the Army Medical Research and Materiel Command, the focus shifted to a characterization of the regulation of nuclear receptor activity by the coactivator RAC3. As RAC3 was also cloned as AIB1, amplified in breast cancer 1, the findings of this project are critical to the field of breast cancer research and hopefully, future therapies. We discovered that RAC3 differentially regulates transcriptional activation by nuclear receptors via multiple  $\alpha$ -helical LXXLL motifs. RAC3 contains three of these motifs in its receptor-interacting domain, and interestingly, different receptors require different motifs for interaction with the coactivator. Via peptide competition, site-directed mutagenesis, and reporter gene assays, we found that motif iii is critical to RAC3 regulation of VDR, motif ii for RAR, motifs i/ii for RXR, and all three motifs for ER $\beta$ . We also characterized a hydrophobic pocket in retinoid receptors that serves as a docking point for coactivators when the receptor is bound to ligand, and also for the corepressor SMRT when it is unliganded. Intriguingly, in the context of the RAR/RXR heterodimer, this pocket on RAR is required for recruitment of RAC3, while the homologous pocket on RXR contributes minimally. In contrast, with RXR homodimers, the RXR pocket is essential to RAC3 interactions. These studies have revealed potential mechanisms that provide coactivators and corepressors the flexibility to regulate the function of the many members of the steroid/nuclear receptor superfamily. Finally, it is exciting to hypothesize about the uses of small peptides that mimic the LXXLL motifs of RAC3 as potential therapeutics that inhibit the activity of receptors, including the estrogen receptors. This project provided significant data towards this hypothesis and hopefully will stimulate further research into the role that coactivators such as RAC3 play in breast cancer development, pathology, and treatment.

## Differential Mechanisms of Nuclear Receptor Regulation by Receptor-associated Coactivator 3\*

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**Steroid and nuclear receptor coactivators (NCoAs) have been implicated in the regulation of nuclear receptor function by enhancing ligand-dependent transcriptional activation of target gene expression. We have previously isolated receptor-associated coactivator 3 (RAC3), which belongs to the steroid receptor coactivator family. In this study, we investigated the differential mechanisms by which RAC3 interacts with and modulates the transcriptional activity of different nuclear receptors. We found that the vitamin D receptor (VDR) and estrogen receptor  $\beta$  interact with different  $\alpha$ -helical LXXLL motifs of RAC3. Peptides corresponding to these motifs have diverse affinities for the VDR and estrogen receptor  $\beta$ , and mutation of specific motifs differentially impairs the ability of RAC3 to interact with these receptors *in vitro*. Consequently, these mutations inhibit the enhancement of transcriptional activation by these receptors *in vivo*. Furthermore, we found that the activation function-2 (AF-2) domain of the retinoid X receptor interferes with RAC3 binding to a DNA-bound VDR/retinoid X receptor (RXR) heterodimer, whereas the VDR AF-2 domain is required for this interaction. These results suggest a receptor-specific binding preference for the different LXXLL motifs of RAC3, which may provide flexibility for RAC3 to differentially regulate the function of different nuclear receptors.**

The vitamin D receptor (VDR)<sup>1</sup> and estrogen receptor  $\beta$  (ER $\beta$ ) belong to the steroid/thyroid hormone receptor superfamily, which is a large class of ligand-dependent transcription factors that plays critical roles in regulating genes involved in a wide array of biological processes, including development and homeostasis (1). This superfamily can be divided into three subgroups. The ER $\beta$  is a Type I receptor, which also includes receptors for steroids such as progestins, androgens, glucocorticoids, and mineralocorticoids. These receptors are coupled to

heat shock proteins and sequestered to the cytoplasm in the absence of ligand. Upon hormone binding, they dissociate from the heat shock proteins, homodimerize, and translocate to the nucleus where they bind to cognate response elements consisting of palindromic repeats. The VDR is a Type II receptor like those for thyroid hormone (TR) and all-trans retinoic acid (RAR). These receptors are strictly nuclear and form heterodimers with the receptor for 9-cis retinoic acid (RXR). They also bind constitutively to response elements consisting of direct repeats (DRs). A third class of nuclear receptors is the orphan receptors, so-called because endogenous ligands for these proteins are currently unknown.

Most members of the nuclear receptor superfamily share a common domain structure. The N terminus contains the variable A/B region, which also includes the ligand-independent AF-1 activation domain. The highly conserved DNA binding domain and the C-terminal ligand binding domain (LBD) follow this region. The LBD contains the ligand-dependent AF-2 activation domain and also mediates dimerization of nuclear receptors. In the absence of ligand, nuclear receptors are able to repress basal transcription via functional interactions with the nuclear receptor corepressors SMRT and NCoR (2, 3). SMRT and NCoR are found in complexes with the corepressor mSin3 and the histone deacetylase HDAC1, suggesting that transcriptional repression by nuclear receptors may involve histone deacetylation (4–6). Ligand binding triggers the release of these corepressors and subsequent recruitment of coactivators through a drastic conformational change in the AF-2 domain of the receptor. Structural studies have demonstrated that helix 12, which contains the AF-2 domain, projects away from the LBD in the unbound RXR structure, but rotates nearly 180° to pack tightly against the LBD upon hormone binding in the RAR, TR, and ER (7–10). This conformational change, together with induced changes in helices 3–5, is believed to facilitate interactions of the receptor with coactivators (11–16).

Coactivators recruited by ligand-bound nuclear receptors include members of the SRC family of coactivators such as SRC1 (also known as NCoA-1), TIF2/GRIP1 (also known as SRC2 or NCoA-2), and RAC3/ACTR/pCIP/AIB1 (also known as SRC3 or NCoA-3) (reviewed in Refs. 17 and 18). SRC family members share an N-terminal basic helix-loop-helix/PAS-A/PAS-B domain of unknown function, centrally located receptor interaction domain, and C-terminal transcriptional activation domain. These cofactors interact with receptors in a hormone- and AF-2-dependent manner and enhance transcriptional activation by nuclear receptors. Both coactivators and receptors also have been demonstrated to interact with the general transcriptional activators CBP/p300 and PCAF (19–26), suggesting that a large multi-protein complex is assembled at the target gene promoter to activate transcription. Furthermore, several coactivators, including SRC1, ACTR, PCAF, and CBP/p300, possess

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<sup>1</sup> The abbreviations used are: VDR, vitamin D receptor; RXR, retinoid X receptor; RAR, retinoic acid receptor; ER $\beta$ , estrogen receptor  $\beta$ ; RAC3, receptor-associated coactivator 3; SRC, steroid receptor coactivator; RID, receptor-interacting domain; AF-2, activation function-2 domain; NR box, nuclear receptor interacting box; DR, direct repeat; LBD, ligand binding domain; GST, glutathione S-transferase; NCoA, nuclear receptor coactivator; PAS, Per-Arnt-Sim domain; CBP, CREB-binding protein; PCAF, p300/CBP-associated factor; ERE, estrogen response element; TR, thyroid hormone.

intrinsic histone acetylation activity, which disrupts nucleosomes (21, 27–30). Therefore, the mechanism by which nuclear receptors activate transcription may entail the recruitment of a coactivator complex via the AF-2 domain that can modify chromatin structure, thereby facilitating access to the promoter by the general transcription machinery.

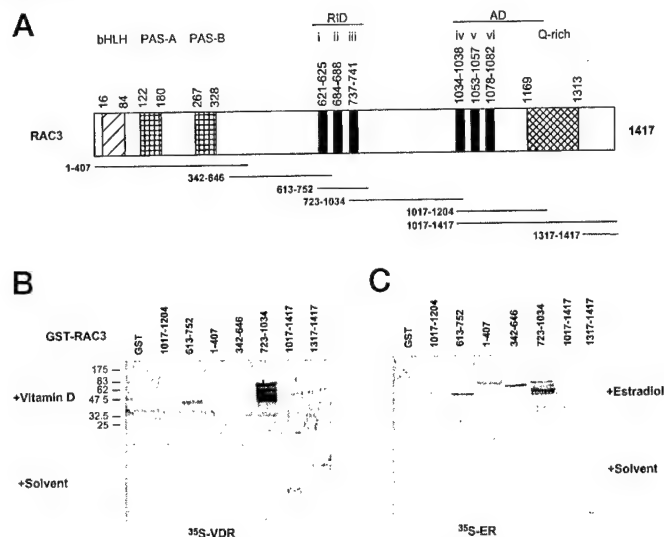
Intriguingly, members of the SRC family of coactivators have been found to contain several conserved motifs, termed NR boxes, with the consensus sequence LXXLL, where X is any amino acid (31). Motifs within the receptor-interacting domain and transcriptional activation domains of SRC1 and TIF2 have been demonstrated to mediate interactions with liganded nuclear receptors and CBP/p300, respectively (23, 32). Crystallographic and protein structure prediction analyses have indicated that these motifs form amphipathic  $\alpha$ -helices with the leucine residues comprising a hydrophobic surface on one face of the helix (11, 12, 14, 24). The helical motif is able to interact with the AF-2 domain of the liganded receptor via a hydrophobic groove made up of residues from receptor helices 3, 4, 5, and 12 that is the result of the conformational change induced by hormone binding (11, 14, 16). Mutational analyses of the NR boxes of SRC1 and TIF2/GRIP1 have also uncovered a receptor-specific code of interaction, where different nuclear receptors require different NR boxes to interact with the coactivator (32–34). These studies indicate that flanking residues outside the NR box may also be important to nuclear receptor-coactivator interactions.

In this study, we investigate the mechanisms by which RAC3 regulates the function of the VDR and ER $\beta$ , for little is known concerning the regulation of these receptors by SRC coactivators, particularly RAC3. These analyses reveal receptor-specific interactions in which the VDR and ER $\beta$  interact with different surfaces of RAC3. We demonstrate different preferences of these receptors for specific NR boxes of RAC3 and that single mutations in these LXXLL motifs are able to severely impair the ability of RAC3 to interact with and, thus, coactivate the VDR and ER $\beta$ . In analyzing the requirement of nuclear receptor AF-2 domains, we observe that the AF-2 domain of RXR can inhibit RAC3-RID binding to the DNA-bound VDR/RXR heterodimer, whereas the AF-2 domain of VDR is required for this interaction. These data add a new level of complexity to the regulation of nuclear receptor activity by SRC coactivators and suggest that different classes of nuclear receptors may be regulated by RAC3 via different mechanisms.

#### EXPERIMENTAL PROCEDURES

**Far Western Analysis**—Far Western assays were carried out as described (20). Briefly, GST fusion proteins were expressed in DH5 $\alpha$  cells and purified with glutathione-agarose beads (Amersham Pharmacia Biotech). Purified proteins were then separated by SDS-polyacrylamide gel electrophoresis and electroblotted onto a nitrocellulose membrane. Proteins were denatured with 6 M guanidine hydrochloride and renatured by the stepwise dilution of guanidine hydrochloride. Membranes were then blocked and hybridized overnight with  $^{35}$ S-labeled protein. The membrane was washed, and bound probe was detected by autoradiography.  $^{35}$ S-labeled probes were generated by Quick-coupled *in vitro* transcription/translation (Promega). For peptide competition experiments, the given concentration of peptide was added to the probe 10 min before hybridization with the membrane. Peptide sequences were as follows: NR box i peptide (LESKGHKLLQLLTLSDDRGHSSL), NR box ii peptide (LQEKHRLHKLQNGNSP), NR box iii peptide (KKKENNALLRYLLDRDD), control peptide (GSGSATATLYENKRP-PYIL). Radioactive bands were quantified by PhosphorImager using the ImageQuant software (Molecular Dynamics).

**GST Pull-down Assay**—Approximately 5  $\mu$ g of purified GST fusion protein was incubated with 5  $\mu$ l of  $^{35}$ S-labeled protein with moderate shaking at 4 °C overnight in binding buffer (20 mM HEPES, pH 7.7, 75 mM KCl, 0.1 mM EDTA, 2.5 mM MgCl $_2$ , 0.05% Nonidet P-40, 1 mM dithiothreitol, 1 mg/ml BSA). The bound protein was washed three times with binding buffer, and beads were collected by centrifugation. The



**FIG. 1. The VDR and ER $\beta$  interact with different fragments of RAC3.** A, a schematic illustration of RAC3 and its functional domains as well as the purified GST-RAC3 fragments used for Far Western assays. AD, activation domain; i-iii, RAC3 LXXLL NR boxes. bHLH, basic helix-loop-helix. B, Far Western assay using  $^{35}$ S-VDR to probe GST-RAC3 fusion proteins in the presence (top) or absence (bottom) of 1  $\mu$ M 1,25-dihydroxy-vitamin D $_3$ . C, Far Western assay using  $^{35}$ S-ER $\beta$  to probe GST-RAC3 fusion proteins in the presence (top) or absence (bottom) of 1  $\mu$ M 17 $\beta$ -estradiol.

bound protein was eluted in SDS sample buffer, subjected to SDS-polyacrylamide gel electrophoresis, and detected by autoradiography.

**Site-directed Mutagenesis**—NR box mutants were generated with the Quick-change site-directed mutagenesis system (Stratagene). The sequences of all mutant constructs were confirmed by dideoxynucleotide chain termination reactions using the T7 Sequenase protocol (U. S. Biochemical Corp.).

**Gel Electrophoresis Mobility Shift Assay**—The sequence of the DR3 element used for VDR/RXR gel-shift assays is AGCTTAAGAGGTCAGAAAGGTCACGTCAT. The double-stranded DR3 was end-labeled with [ $^{32}$ P]dCTP by standard Klenow fill-in reaction. The purified probe was incubated with  $^{35}$ S-labeled receptors in binding buffer containing 7.5% glycerol, 20 mM HEPES, pH 7.5, 2 mM dithiothreitol, 0.1% Nonidet P-40, 1  $\mu$ g of poly(dI-dC) and 100 mM KCl. Wild-type or mutant GST-RAC3-RID was eluted from glutathione-agarose beads with 10 mM reduced glutathione and added to the binding reaction. The DNA-protein complex was formed on ice for 1 h and resolved on a 5% native polyacrylamide gel, which was subsequently dried and subjected to autoradiography.

**Cell Culture and Transient Transfection**—HEK293 and CV-1 cells were maintained in Dulbecco's modified Eagle's medium supplemented with 10% fetal bovine serum and 5  $\mu$ g/ $\mu$ l gentamycin at 37 °C, 5% CO $_2$ . Cells were plated for transfection in Dulbecco's modified Eagle's medium supplemented with 10% resin charcoal-stripped fetal bovine serum in 12- or 6-well plates 1 day before transfection. HEK293 cells were transfected using the standard calcium phosphate method, whereas CV-1 cells were transfected using LipofectAMINE according to the manufacturer's protocol (Life Technologies, Inc.). Twelve hours after transfection, cells were washed with phosphate-buffered saline and refed fresh medium containing the indicated concentration of ligand. After 24 h, cells were harvested for  $\beta$ -galactosidase and luciferase activities as described (35). Luciferase activity was determined with a MLX plate luminometer (Dynex) and normalized relative to  $\beta$ -galactosidase activity.

#### RESULTS

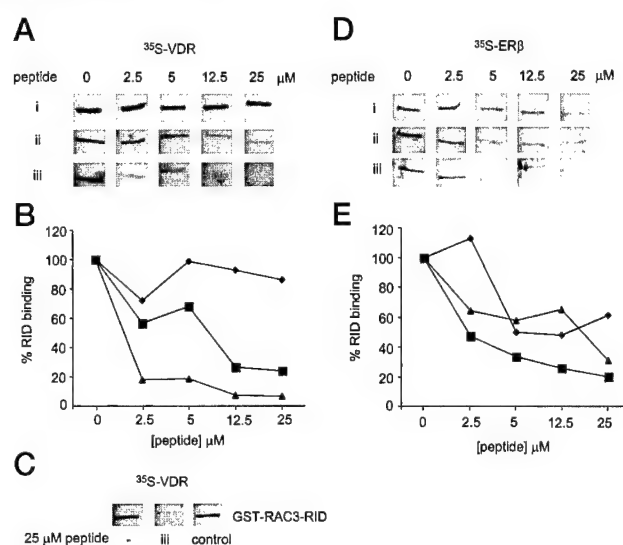
**VDR and ER $\beta$  Interact with Multiple Surfaces of RAC3**—We have previously defined the minimal receptor interacting domain (RID) of RAC3 to be amino acids 613–752, which contains the first three LXXLL motifs (Fig. 1A) (20). We wished to further determine if different receptors were capable of binding to the same regions of RAC3. To accomplish this, we purified a panel of GST-RAC3 fusion proteins, in total comprising the full-length RAC3 (Fig. 1A), and probed these fusions with



[ $^{35}\text{S}$ ]methionine-labeled VDR and ER $\beta$  in a Far Western assay. The VDR, as expected, interacted in a ligand-dependent manner with GST-RAC3 613–752 in this assay (Fig. 1B). It also bound GST-RAC3 723–1034, which only contained NR box iii, in a ligand-dependent manner. The VDR did not interact with any other GST-RAC3 fragment, including GST-RAC3 342–646, which contained NR box i. It also appeared that the VDR interacted more strongly with GST-RAC3 723–1034 than with GST-RAC3 613–752, suggesting a more important role for NR box iii in the RAC3-VDR interaction. However, a different pattern was evident upon repeating this assay with  $^{35}\text{S}$ -ER $\beta$ , for in addition to ligand-dependent interactions with GST-RAC3 613–752 and 723–1034, ER $\beta$  also bound the 342–646 fragment, which contained only NR box i (Fig. 1C). These interactions were of approximately equal intensity. There was also a weak, ligand-independent interaction with GST-RAC3 1–407. Identical results were obtained for ER $\alpha$  (data not shown). A Coomassie Blue-stained polyacrylamide gel of the GST-RAC3 fusion protein confirmed the identity of each GST-RAC3 fusion protein and approximately equal protein concentrations in each lane (data not shown). Thus, the VDR and ER $\beta$  display different binding patterns for RAC3 fragments, with the VDR interacting preferentially with regions containing NR box iii and the ER $\beta$  interacting equally well with regions containing any of the three NR boxes and the N-terminal basic helix-loop-helix-PAS domain.

**NR Box Peptides Differentially Compete with Nuclear Receptors for RAC3 Binding**—We then wanted to investigate the relative importance of individual NR boxes within the RAC3-RID in mediating the interactions between RAC3 and the VDR or ER $\beta$ . Peptides were synthesized corresponding to NR boxes i, ii, and iii, which were incubated with  $^{35}\text{S}$ -labeled receptor and 1  $\mu\text{M}$  ligand before probing the GST-RAC3 613–752 fragment in the Far Western assay. With the VDR, peptides corresponding to the second and third LXXLL motif were able to compete away the RAC3-RID interaction with VDR in a dose-dependent manner (Fig. 2A). Upon quantifying the data, it was evident that peptide iii was a more potent inhibitor than peptide ii, whereas the peptide comprising NR box i had little, if any, effect on the VDR-RID interaction (Fig. 2B). A control experiment demonstrated that the effects of these peptides were specific, for a random peptide did not alter the interaction between the  $^{35}\text{S}$ -VDR and GST-RAC3-RID (Fig. 2C). We again identified a different pattern when the same experiment was done with the ER $\beta$  (Fig. 2D). Here, all three peptides were able to compete efficiently for ER $\beta$  binding with the RAC3-RID, with peptide ii being the most potent (Fig. 2E). Thus, these data reveal receptor-specific preferences for interactions between RAC3 and different nuclear receptors, for the VDR and ER $\beta$  have different affinities for the NR boxes of RAC3.

**NR Box Mutations Can Impair RAC3 Interactions with Nuclear Receptors in Vitro**—To assess the integrity of the LXXLL motif in mediating the interaction between the RAC3-RID and nuclear receptors, we used site-directed mutagenesis to switch the leucine residues of each motif to alanines (Fig. 3A). The mutants were made using the GST-RAC3-RID fusion as the template and tested for their ability to interact with the VDR or ER $\beta$  in GST pull-down assays. The wild-type RAC3-RID was able to pull down a significant amount of  $^{35}\text{S}$ -VDR in the presence of 1  $\mu\text{M}$  vitamin D (Fig. 3B). This interaction was specific, for GST alone pulled down much less  $^{35}\text{S}$ -VDR. Mutations in NR boxes i or ii displayed wild-type binding. However, when NR box iii was mutated, the RID-VDR interaction was greatly reduced to a level only slightly higher than background binding to GST alone. GST-RAC3 342–646, which contained only NR box i, also had minimal binding to the VDR, consistent

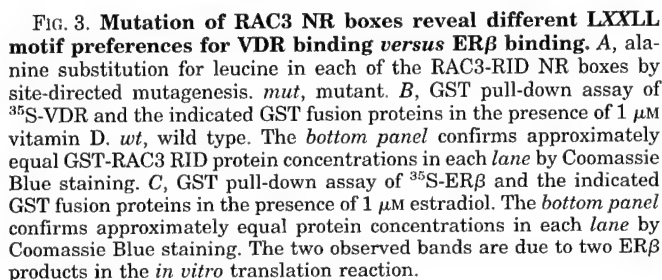


**FIG. 2. Peptides corresponding to the NR boxes of the RAC3-RID can compete for VDR and ER $\beta$  binding with the RAC3-RID.** A, Far Western assay using  $^{35}\text{S}$ -VDR to probe GST-RAC3-RID in the presence of 1  $\mu\text{M}$  vitamin D and given concentration of each peptide. B, the data from A was quantified by PhosphorImager and plotted as percent GST-RAC3-RID binding to  $^{35}\text{S}$ -VDR versus peptide concentration. 100% RID binding represents the density of the band in the absence of peptide.  $\diamond$ , peptide i;  $\blacksquare$ , peptide ii;  $\blacktriangle$ , peptide iii. C, Far Western assay demonstrating that inhibition of  $^{35}\text{S}$ -VDR interaction with GST-RAC3-RID by peptide iii is specific. Peptide iii abolishes nearly all the interaction, whereas a control, random peptide has no effect. D, Far Western assay using  $^{35}\text{S}$ -ER $\beta$  to probe GST-RAC3-RID in the presence of 1  $\mu\text{M}$  estradiol and given concentration of each peptide. E, the data from D was quantified by PhosphorImager and plotted as the percent GST-RAC3-RID binding to  $^{35}\text{S}$ -ER $\beta$  versus peptide concentration.  $\diamond$ , peptide i;  $\blacksquare$ , peptide ii;  $\blacktriangle$ , peptide iii.

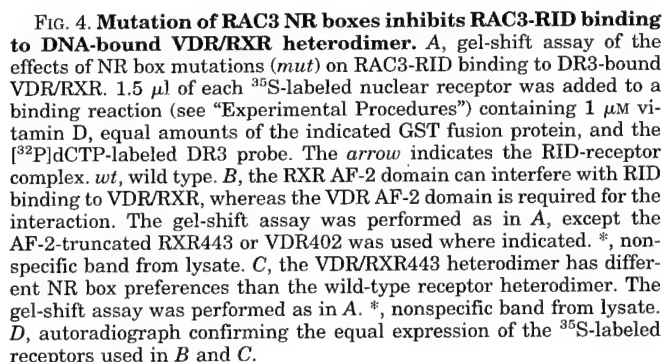
with the Far Western assay (Fig. 1B). Equal protein concentrations of each GST fusion confirmed the specificity of these findings. Thus, these data support the above observations in implicating NR box iii as being most critical to RAC3 interaction with the VDR.

The wild-type RID was also able to pull down significant amounts of  $^{35}\text{S}$ -ER $\beta$  in the GST pull-down assay (Fig. 3C). In contrast to the VDR, an alanine substitution for leucine in any of the three NR boxes weakened the interaction of the RAC3-RID with ER $\beta$ , with the mutation of NR box ii being the most deleterious, again supporting the results of LXXLL peptide competition experiments (Fig. 2B). However, with each mutation, significant binding above background between the RAC3-RID and  $^{35}\text{S}$ -ER $\beta$  was still observed. Furthermore, the GST-RAC3 342–646 fragment, with only NR box i, was able to interact efficiently with ER $\beta$  (Fig. 3C) as in the Far Western assay (Fig. 1C). These data suggest that although all three motifs are capable of interacting with ER $\beta$  separately, none of them is absolutely required for the interaction. In contrast, NR box iii of RAC3 appears to be essential for the interaction with the VDR.

**RAC3-RID Interactions with DNA-bound Nuclear Receptors**—The above data provide compelling evidence that the VDR interacts specifically with RAC3 in solution via the LXXLL motifs of the RAC3 RID, particularly NR box iii. To gain further insight into the function of NR boxes in coactivator-VDR interactions on a heterodimeric complex bound to DNA, we performed gel-shift assays with VDR/RXR heterodimers on a DR3 element in the presence of wild-type or mutant RAC3-RID (Fig. 4). The VDR/RXR heterodimer bound strongly to the  $^{32}\text{P}$ -labeled DR3 probe and was unaffected by the addition of GST alone (Fig. 4A, lanes 1 and 2). The addition of the RAC3-RID resulted in a ligand-dependent shift of the

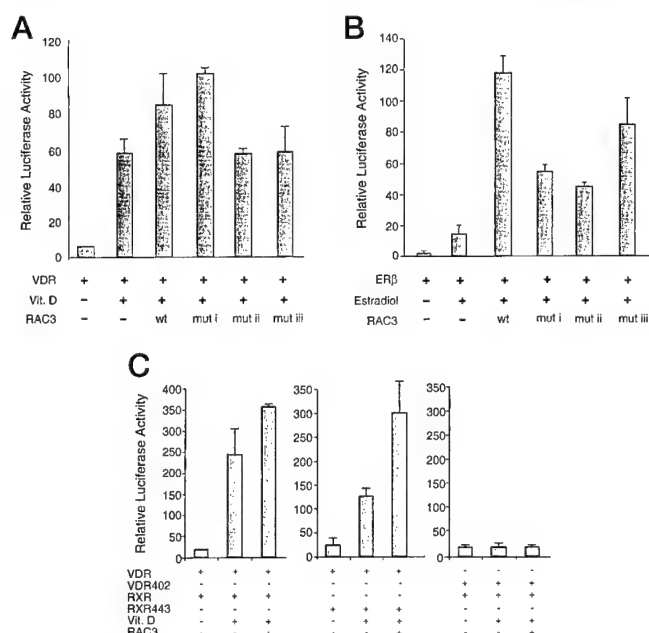


We next analyzed the involvement of nuclear receptor AF-2 domains in regulating the interaction between the RAC3-RID and the VDR/RXR heterodimer (Fig. 4B). Using the gel-shift assay, we compared the ability of the RID to bind the wild-type heterodimer *versus* the VDR/RXR443 and VDR402/RXR heterodimers, in which the AF-2 domain of RXR or VDR had been deleted, respectively. As demonstrated above, the RID was able to bind the DNA-bound, wild-type VDR/RXR complex (Fig. 4B, lane 2). Deletion of the VDR AF-2 domain did not affect the formation of the heterodimer-DNA complex but resulted in the loss of the RID-shifted complex (lane 4). This suggests that the VDR AF-2 domain is required for interaction of RAC3 with the heterodimer and that RXR AF-2 domain alone is not sufficient for the interaction. Interestingly, deletion of the RXR AF-2 domain resulted in a much stronger shift of the heterodimeric complex by the RAC3-RID (lane 6) without affecting heterodimer formation (lane 5), suggesting that the RXR AF-2 domain can inhibit the interaction between RAC3 and VDR/RXR. The strong interaction was abolished upon deletion of the VDR AF-2 domain (lane 8), further supporting a requirement of VDR AF-2 helix 12 for RAC3 binding to the DNA-bound heterodimer.



**Effects of NR Box Mutations on RAC3 Coactivation Function *In Vivo***—RAC3 has previously been shown to enhance the transcriptional activity of the RAR and progesterone receptor (37). However, its effect on VDR and ER $\beta$  function *in vivo* has not been demonstrated. To address this, we performed transient transfection assays in HEK293 and CV-1 cells using luciferase reporters harboring either two copies of the vitamin D response element of the osteopontin gene for VDR studies or a consensus ERE element for ER $\beta$  studies (Fig. 5). Transfection of the VDR into CV-1 cells minimally activated the vitamin D response element driven reporter (Fig. 5A). However, treating





**FIG. 5. Mutation of RAC3 NR boxes blocks coactivation of VDR and ERβ activity *in vivo*.** A, mutation (*mut*) of NR box ii or iii inhibits RAC3 enhancement of VDR activity. CV-1 cells were transfected with expression plasmids for VDR, wild-type (*wt*), or mutant RAC3 and the Sppx2-luciferase reporter and treated with 40 nM vitamin D for 24 h where indicated. Upon harvesting cells, luciferase activity was measured and normalized to β-galactosidase activity. B, mutation of NR box i, ii, or iii inhibits RAC3 enhancement of ERβ activity. CV-1 cells were transfected with expression plasmids for ERβ, wild-type, or mutant RAC3 and the ERE-luciferase reporter and treated with 10 nM estradiol for 24 h where indicated. Upon harvesting cells, luciferase activity was measured and normalized to β-galactosidase activity. C, deletion of the RXR AF-2 domain results in enhanced coactivation of VDR/RXR activity by RAC3. HEK293 cells were transfected with the appropriate nuclear receptor expression plasmids along with expression plasmids for RAC3 and Sppx2-luciferase and treated with 10 nM vitamin D where indicated. Upon harvesting cells, luciferase activity was measured and normalized to β-galactosidase activity.

these cells with vitamin D strongly stimulated its activity. Cotransfection of RAC3 further enhanced VDR transcriptional activation by approximately 50%, consistent with the coactivation function of RAC3 (37).

We then analyzed the role of the NR boxes in mediating the ability of RAC3 to potentiate VDR activity. Mutations of each NR box of the RAC3-RID were made in the context of the full-length RAC3 protein and tested for their ability to coactivate the VDR in transient transfection assays (Fig. 5A). Mutation of NR box i did not inhibit RAC3 enhancement of VDR transactivation, consistent with its inability to block the interaction of RAC3 with VDR *in vitro*. However, the RAC3- NR box ii or iii mutations reduced the function of RAC3 in enhancing VDR activity (Fig. 5A), consistent with their requirement for RAC3 binding to the DNA-bound VDR/RXR heterodimer in gel-shift assays (Fig. 4A). Taken together, it is clear that NR boxes ii and iii are both involved in RAC3 regulation of VDR function, whereas the role of NR box i appears minimal.

We then repeated these experiments with the ERβ (Fig. 5B). Estradiol treatment of CV-1 cells transfected with the ERβ and the ERE-luciferase reporter activated reporter expression approximately 8-fold. Cotransfection of wild-type RAC3 resulted in a strong enhancement of ERβ activity. It is evident from these data that RAC3 is a more potent coactivator for the ERβ than for the VDR. Cotransfection of RAC3 expression plasmids containing mutations in NR boxes i, ii, or iii all suppressed the ability of RAC3 to coactivate the ERβ, with the NR box ii mutant having the greatest and NR box iii mutant having more

modest effects on RAC3 function. Thus, these *in vivo* data correlate with the *in vitro* data in implicating all three NR boxes of the RAC3-RID as being important for RAC3 regulation of ERβ function.

Finally, we decided to assess the functional consequences of the antagonism of RAC3 interaction with DNA-bound VDR/RXR by the RXR AF-2 domain observed in gel-shift assays (Fig. 4B). To do this, we compared the ability of RAC3 to coactivate VDR/RXR and VDR/RXR443 activities by transient transfection assays (Fig. 5C). When wild-type VDR and RXR were expressed in HEK293 cells, RAC3 was able to enhance transcriptional activation by approximately 50% (Fig. 5C, *left*). However, when VDR was coexpressed with RXR443, RAC3 displayed a 2.5-fold enhancement of receptor activity (Fig. 5C, *center*). As expected, the VDR402 mutant was transcriptionally inactive, and RAC3 could not modulate its activity (Fig. 5C, *right*). Thus, these *in vivo* data are consistent with the gel-shift data in demonstrating that the RXR AF-2 domain can inhibit RAC3 modulation of the VDR/RXR heterodimer, whereas the VDR AF-2 domain is absolutely required for this regulation.

#### DISCUSSION

In this study, we have investigated the role of the NR boxes of RAC3 in mediating the ability of this coactivator to bind and coactivate the VDR and ERβ. We found that NR box iii is most critical to VDR binding, whereas NR boxes i, ii, and iii are involved in ERβ interaction. Peptides corresponding to these respective motifs were able to compete with the RAC3-RID for VDR and ERβ binding. The integrity of the motifs themselves was also important, for mutations in specific NR boxes inhibited RAC3 interaction with these receptors in solution and when bound to DNA. The AF-2 domain of VDR is required for binding of the RAC3-RID to DNA-bound VDR/RXR, whereas the AF-2 domain of RXR was able to antagonize this interaction. Removal of this inhibitory AF-2 helix of RXR enhances ligand-dependent binding of RAC3 to the VDR/RXR443 heterodimer and alters the NR box requirements. Furthermore, the mutation of NR box ii or iii blocked the ability of RAC3 to enhance transcriptional activation by the VDR *in vivo*. In contrast, mutation of NR boxes i, ii, or iii reduced RAC3 coactivation of ERβ. Together, these *in vitro* and *in vivo* studies suggest a mechanistic difference in the manner by which RAC3 regulates VDR and ERβ activities.

The NR boxes are highly conserved among the SRC family of coactivators (18). Our data and that of others clearly reveal that multiple motifs are necessary for high affinity interactions with nuclear receptors (23, 32, 33). With the DNA-bound VDR/RXR heterodimer, we found that mutation of NR boxes ii or iii of RAC3 weakens the interaction with the RAC3-RID. In contrast, NR box iii of RAC3 is the only critical motif for interaction with VDR in solution. Therefore, it is likely that each motif binds to each monomer of the receptor heterodimer, consistent with the structure of a peroxisome proliferator-activated receptor γ-LBD dimer co-crystallized with a fragment of SRC1 containing two NR boxes (12). Our data on ERβ suggest that all three NR boxes of the RAC3-RID are involved for a wild-type interaction, whereas the presence of two motifs is sufficient for a strong interaction, motif ii being most important. In light of this finding, RAC3 may utilize motif ii in combination with motif i or iii for efficient interaction with the ERβ homodimer. The integrity of the other motif may be critical to the overall conformation of the coactivator or potentially make an additional contact with another region of the receptor. Support for the latter possibility can be found in recent studies detailing the enhancement of the N-terminal AF-1 activation function of nuclear receptors by SRC coactivators (38, 39). The presence of multiple NR boxes also likely provides coactivators the flexi-

bility to interact with a broad range of nuclear receptors, resulting in the different preferences that are observed between nuclear receptors and distinct motifs, depending on the precise structural nuances of each receptor-coactivator interface. This is evident upon comparing the NR box requirements of the VDR/RXR heterodimer *versus* those of VDR/RXR443. Deletion of the AF-2 helix of RXR not only enhances RAC3-RID binding to the heterodimer but also switches the NR box preferences from motifs ii/iii to motifs i/iii. Finally, amino acids flanking the NR boxes also likely contribute to the specificity of interaction (15, 32), for, despite the high homology between the RAC3 NR boxes, peptides comprising each motif and surrounding residues displayed different affinities for VDR or ER $\beta$  binding. Thus, it is clear that the multiple NR boxes do not serve merely redundant functions.

Our finding that the AF-2 domain of RXR can interfere with RAC3-RID binding to a DNA-bound VDR/RXR heterodimer is consistent with studies suggesting allosteric inhibition of coactivator binding to RAR/RXR by the RXR AF-2 domain (36). This inhibition may be the result of competition between the AF-2 domain of RXR and the LXXLL motif for the coactivator binding site on the other receptor (11, 12, 36). In the antagonist-bound ER $\alpha$ -LBD crystal structure, the AF-2 domain occupies the coactivator binding groove, mimicking the hydrophobic interactions of the NR box peptide with this domain in the agonist-NR box peptide-receptor complex (11). Biochemical studies with RAR/RXR and SRC1 support these observations, for binding of RAR- and RXR-specific ligands enhance SRC1 interaction with the receptor dimer relative to the interaction in the presence of either ligand alone (36). Presumably, one ligand binding recruits a single NR box to the receptor dimer, which displaces the AF-2 domain from the coactivator binding site and relieves allosteric inhibition, allowing the second ligand to bind the other receptor monomer. This, in turn, enhances the interaction with coactivator by recruiting a second NR box (36). In the case of wild-type receptors, hormone does stimulate RAC3-RID binding to the heterodimer, but only weakly compared with the VDR/RXR443 dimer, where a very strong, vitamin D-dependent interaction is observed. These observations are confirmed by *in vivo* studies demonstrating that RAC3 can coactivate VDR/RXR443 activity to a greater extent than VDR/RXR activity. Hormone binding and RID recruitment must not be able to displace every RXR AF-2 domain from the coactivator binding site of the partnering receptor; thus, fewer RID molecules are able to bind in the presence of the RXR AF-2 domain. This suggests that the AF-2 domain of RXR plays a critical role in regulating RAC3 modulation of receptor function. However, other possibilities may explain this finding, foremost being the hypothesis that deletion of the AF-2 domain of RXR results in a conformational change of the VDR/RXR443 dimer that enhances its affinity for the RAC3-RID.

Our data demonstrate for the first time that RAC3 can enhance the transcriptional activation function of the VDR and ER $\beta$  and that this coactivation activity depends on different NR box requirements. Several other cofactors have been found to stimulate VDR activity, including SRC1, GRIP1/TIF2, NCoA-62, and the DRIP (VDR-interacting proteins) complex (13, 40–42), whereas SRC1 can coactivate the ER $\beta$  (43). The role of multiple coactivators in the function of the VDR *in vivo* is unknown, but several possibilities exist that suggest that the function of these coactivators is not completely redundant. First, the relative contribution of each coactivator may depend on cell or tissue type and/or coactivator levels in these cells. RAC3 is expressed at a high level in placenta, heart, and HeLa cells relative to TIF2 and SRC1 (20); thus, it may serve a more prominent role in receptor function in these cells. Second, dif-

ferent coactivators may serve different functions that in total result in maximal transcriptional activation by the VDR. For example, RAC3 can interact with CBP; thus, RAC3 may recruit CBP to the VDR. SRC1 has intrinsic histone acetylation activity, and the DRIP VDR-interacting proteins complex may remodel nucleosomes (21, 44), which also may contribute to the overall function of the VDR in stimulating target gene expression. Finally, we cannot rule out the existence of a complex containing multiple coactivators, which synergize to potentiate VDR activity.

In summary, our data establish RAC3 as a potent coactivator of the vitamin D receptor and estrogen receptor  $\beta$ . Interestingly, RAC3 modulates the function of these receptors differently via interactions that depend on specific LXXLL motifs in the RAC3 receptor-interacting domain. Although the biological role of RAC3 in nuclear receptor function remains to be explored, this study sheds light on the molecular mechanisms of RAC3 regulation of receptors that will hopefully lead to a better understanding of SRC coactivator function *in vivo*.

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Review

# The SRC family of nuclear receptor coactivators

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## Abstract

Nuclear hormone receptors are ligand-dependent transcription factors that regulate genes critical to such biological processes as development, reproduction, and homeostasis. Interestingly, these receptors can function as molecular switches, alternating between states of transcriptional repression and activation, depending on the absence or presence of cognate hormone, respectively. In the absence of hormone, several nuclear receptors actively repress transcription of target genes via interactions with the nuclear receptor corepressors SMRT and NCoR. Upon binding of hormone, these corepressors dissociate away from the DNA-bound receptor, which subsequently recruits a nuclear receptor coactivator (NCoA) complex. Prominent among these coactivators is the SRC (steroid receptor coactivator) family, which consists of SRC-1, TIF2/GRIP1, and RAC3/ACTR/pCIP/AIB-1. These cofactors interact with nuclear receptors in a ligand-dependent manner and enhance transcriptional activation by the receptor via histone acetylation/methylation and recruitment of additional cofactors such as CBP/p300. This review focuses on the mechanism of action of SRC coactivators in terms of interactions with receptors and activation of transcription. Specifically, the roles of the highly conserved LXXLL motifs in mediating SRC function will be detailed. Additionally, potential diversity among SRC family members, as well as several recently cloned SRC-associated cofactors, will be discussed. © 2000 Elsevier Science B.V. All rights reserved.

**Keywords:** CBP; LXXLL motif; RAC3/ACTR/pCIP/AIB-1; Steroid receptor coactivator; TIF2/GRIP1

## 1. Introduction

The steroid and thyroid nuclear receptor superfamily is a large class of ligand-dependent transcription factors involved in the regulation of genes that play critical roles in a wide array of biological processes, including development, reproduction, and homeostasis (Mangelsdorf et al., 1995). This superfamily can be

further subdivided into three classes of nuclear receptors. Type I or steroid receptors include those for estrogens (ER), progestins (PR), androgens (AR), glucocorticoids (GR), and mineralcorticoids (MR). Type I receptors are coupled to heat-shock proteins and sequestered to the cytoplasm in the absence of ligand (Tsai and O'Malley, 1994). Upon hormone binding, they dissociate from the heat-shock proteins, homodimerize, and translocate to the nucleus, where they bind cognate response elements consisting of palindromic repeats. Type II receptors include those for all-*trans* retinoic acid (RAR), thyroid hormone (TR), and vitamin D (VDR). These receptors are strictly nuclear and form heterodimers with the receptor for 9-*cis* retinoic acid (RXR). They also bind constitutively to response elements consisting of direct repeats. A third class of nuclear receptors is the orphan receptors, so-called because endogenous ligands for these proteins are currently unknown.

Most members of the nuclear receptor superfamily share a common domain structure. The N-terminus

Abbreviations: ACTR, activator of retinoic acid receptor; AF-2, activation function-2 domain; AIB-1, amplified in breast cancer-1; CARM1, coactivator-associated arginine methyltransferase; GRIP1, glucocorticoid receptor-interacting protein 1; HAT, histone acetyltransferase; LBD, ligand binding domain; NR box, nuclear receptor interacting box; pCIP, p300/CBP cointegrator-associated protein; RAC3, receptor associated coactivator 3; SRA, steroid receptor RNA activator; SRC, steroid receptor coactivator; TIF2, transcription intermediary factor 2; TRAM-1, thyroid receptor activator molecule 1.

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contains the variable A/B region, which also includes the ligand-independent activation function-1 (AF-1) domain. The C region represents the highly conserved DNA-binding domain (DBD) and is followed by the hinge region (D) and the C-terminal ligand-binding domain (LBD) (E). The LBD contains the ligand-dependent activation function-2 (AF-2) domain and also mediates dimerization of nuclear receptors. In the absence of ligand, several nuclear receptors are able to repress basal transcription via recruitment of the nuclear receptor corepressors SMRT and NCoR (Horlein et al., 1995; Chen and Evans, 1995). SMRT and NCoR are found in complexes with the corepressor mSin3 and histone deacetylases (HDACs), suggesting that transcriptional repression by nuclear receptors may involve histone deacetylation (Nagy et al., 1997; Heinzl et al., 1997; Alland et al., 1997). Ligand binding triggers the release of these corepressors and subsequent recruitment of coactivators through a drastic conformational change in the AF-2 domain of the receptor. Structural studies have demonstrated that helix 12 within the AF-2 domain projects away from the LBD in the unliganded structure (Renaud et al., 1995; Wagner et al., 1995; Bourguet et al., 1995; Brzozowski et al., 1997). This helix rotates nearly 180° to pack tightly against the LBD upon hormone binding in the RAR, TR, and ER crystal structures. This conformational change, together with ligand-induced changes in helices 3–5, is believed to facilitate interactions of the receptor with coactivators (Shiau et al., 1998; Darimont et al., 1998; Feng et al., 1998; Nolte et al., 1998). Subsequently, coactivators are able to enhance transcriptional activation by the receptor via mechanisms that include recruitment of the general coactivator CBP/p300 and histone acetylation.

Coactivators are generally defined as proteins that can interact with DNA-bound nuclear receptors and enhance their transcriptional activation function. Although many nuclear receptor coactivators have been identified (McKenna et al., 1999), the steroid receptor coactivator (SRC) family has been the focus of intense study in recent years. Thus this review will focus on the mechanisms of action of these cofactors in regulating the function of nuclear receptors and also highlight several of the recently cloned SRC-associated proteins.

## 2. The SRC family of coactivators

The first nuclear receptor coactivator, steroid receptor coactivator-1 (SRC-1), was cloned by using the PR-LBD as bait in a yeast-two-hybrid screen of a human B-cell cDNA library (Oñate et al., 1995). SRC-1 interacts in a ligand-dependent manner with and enhances AF-2 transcriptional activation by a broad range of nuclear receptors, including PR, ER, TR, RXR, GR, and PPAR. Recent data also detail the enhancement of ER (Webb

et al., 1998) and AR (Alen et al., 1999; Bevan et al., 1999; Ma et al., 1999) AF-1 activities by SRC-1. In addition, SRC-1 has been demonstrated to interact with the general transcription factors TBP and TFIIB, although the functional consequences of these interactions are unknown (Takeshita et al., 1996; Ikeda et al., 1999). Furthermore, SRC-1 is able to enhance transcriptional activation mediated by NF- $\kappa$ B, SMAD3, and AP-1 (Lee et al., 1998; Yanagisawa et al., 1999; Na et al., 1998), supporting a role for nuclear receptor coactivators in multiple intracellular signaling pathways. Subsequent studies have identified two functionally distinct SRC-1 isoforms, SRC-1a and SRC-1e, which contain unique C-termini, suggesting that alternative splicing may regulate SRC-1 function (Kalkhoven et al., 1998).

The identification of transcription intermediary factor 2 (TIF2) and GR-interacting protein 1 (GRIP1) established the SRC family of coactivators (Voegel et al., 1996; Hong et al., 1996). TIF2 was isolated in a Far-western screen as an ER- and RAR-interacting factor, while GRIP1 was isolated using the GR-LBD as bait in a yeast-two-hybrid screen. TIF2 and GRIP1 share 94% amino acid identity, thus represent the human and murine orthologs, respectively. TIF2 and GRIP1 associate *in vivo* with hormone-bound RAR, ER, and PR and coactivate ligand-dependent transactivation. Like SRC-1, GRIP1 also has been demonstrated to enhance receptor AF-1 activity in addition to that of the AF-2 domain (Ma et al., 1999). Intriguingly, the inv(8)(p11q13) chromosomal translocation results in a fusion between TIF2 and the MOZ gene, which contributes to the pathogenesis of acute myeloid leukemia (AML), suggesting a role for transcriptional regulation by nuclear receptor coactivators in these leukemias (Carapeti et al., 1998).

The third member of the SRC family was reported simultaneously by several groups as an RAR-interacting protein (RAC3), a CBP-interacting protein (p/CIP), a hRAR $\beta$ -stimulatory protein (ACTR), a gene amplified in breast cancer (AIB-1), and a TR-interacting protein (TRAM-1) (Li et al., 1997; Torchia et al., 1997; Chen et al., 1997; Anzick et al., 1997; Takeshita et al., 1997). p/CIP represents the mouse homolog, while RAC3/ACTR/AIB-1/TRAM are human isoforms. In addition to coactivating many nuclear receptors, pCIP has also been demonstrated to enhance the activity of interferon- $\alpha$  and cAMP regulatory element binding protein (CREB), suggesting that this coactivator may be involved in multiple signaling pathways (Torchia et al., 1997). Furthermore, RAC3/TRAM-1 expression can be upregulated by hormone treatment, which represents another possible mechanism by which coactivators may potentiate hormone action (Li and Chen, 1998; Misiti et al., 1998).

### 3. The LXXLL motif

The SRC family of coactivators also shares a common domain structure, with the most highly conserved region being the N-terminal bHLH–PAS domain (Fig. 1a). The bHLH region functions as a DNA-binding or dimerization surface in many transcription factors, including the MyoD family of proteins (Murre et al., 1989a, b). The PAS motif is also found in several transcriptional regulators, including Period (Per), Aryl hydrocarbon receptor (AhR), and single-minded (Sim). Similar to the bHLH domain, the PAS domain also plays a role in protein–protein interactions and dimerization. However, the function of the bHLH–PAS domains of SRC coactivators remains unknown, though it is likely to mediate intra- or intermolecular interactions. This bHLH–PAS

domain is followed by a centrally located receptor-interacting domain (RID) and C-terminal transcriptional activation domain (AD), which will be discussed in detail below.

The RID of SRC coactivators mediates ligand-dependent, direct interactions with nuclear receptors (Li and Chen, 1998; Voegel et al., 1998; Oñate et al., 1998). Intriguingly, detailed analysis of the sequence of the RID identified a conserved motif, LXXLL, where L is leucine and X is any amino acid, that is termed the NR box (Heery et al., 1997) (Fig. 1b). Three such motifs are found in the RID of SRC coactivators, with an additional, non-conserved NR box also present at the C-terminus of the SRC-1 isoform SRC-1a. Site-directed mutagenesis and peptide competition experiments have provided strong evidence for the requirement of these

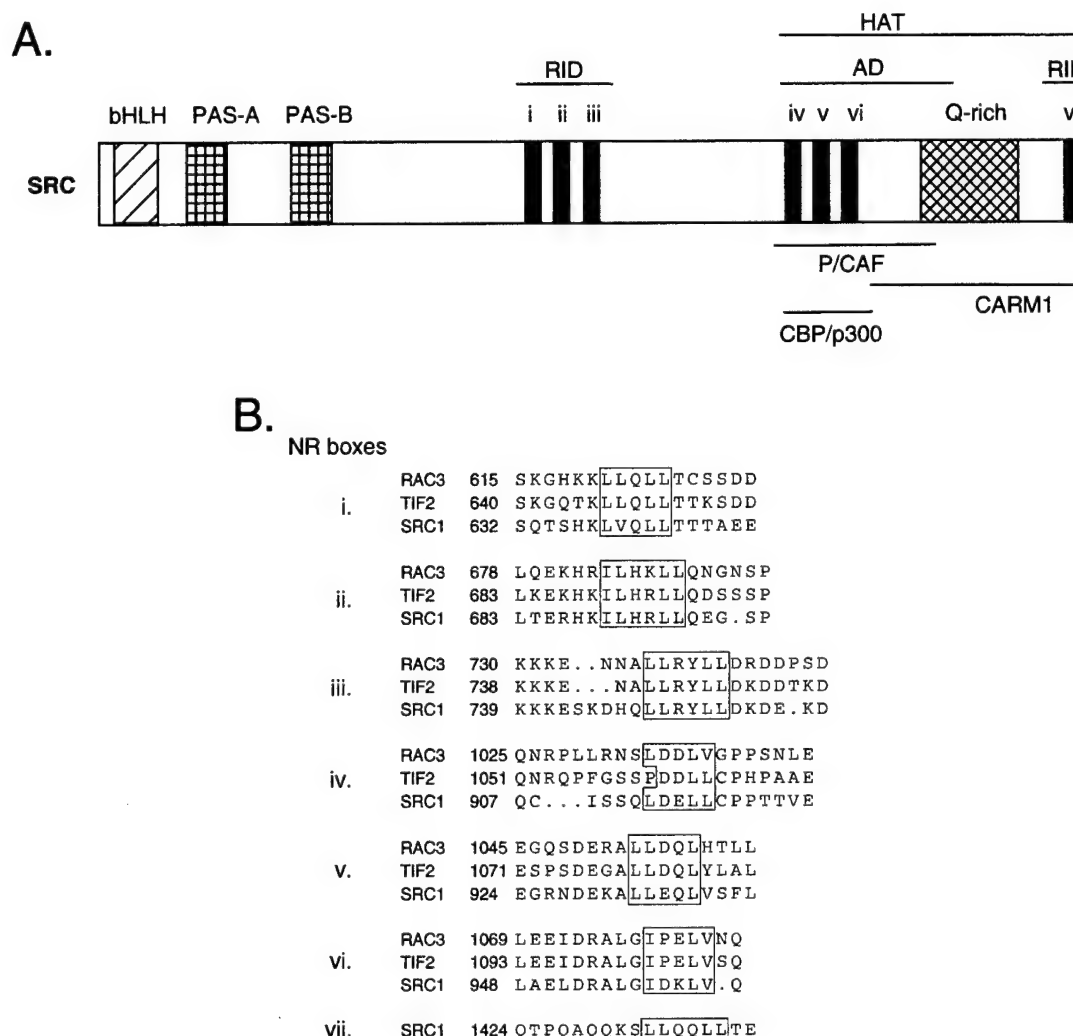


Fig. 1. SRC family domain structure. (A) Schematic representation of the structural domains of SRC coactivators. The N-terminus contains the highly conserved bHLH and PAS A/B domains. The centrally located receptor-interacting (RID) and activation (AD) domains each contain three LXXLL motifs, while SRC-1 contains an additional, non-conserved motif at the C-terminus. The C-terminus contains a glutamine-rich domain. The specific domains for interaction with P/CAF, CBP/p300, and CARM1, as well as the histone acetyltransferase (HAT) domain, are indicated. (B) Sequence alignment of the SRC LXXLL motifs. The starting amino acids are in parentheses. Motifs i–iii are located in the receptor-interacting domain and motifs iv–vi are found in the transcriptional activation domain. SRC-1 contains an additional non-conserved motif at its C-terminus.



motifs for mediating interactions between coactivators and liganded nuclear receptors (Heery et al., 1997; Torchia et al., 1997; Ding et al., 1998). Further support for the role of these motifs in mediating agonist-dependent interactions with nuclear receptors is found in a study in which phage-displayed peptide libraries were screened for peptides that interact specifically with agonist or antagonist bound estrogen receptor (Norris et al., 1999). Many peptides isolated with estradiol-bound ER $\alpha$  contained the LXXLL motif, while those isolated with tamoxifen-bound receptor did not. These findings suggest that the activation of the ER $\alpha$  by tamoxifen that is observed in some tissues might occur via a different mechanism than estradiol-induced activation, such as through the recruitment of non-LXXLL containing coactivators to tamoxifen-specific surfaces of the ER. Crystallographic and protein structure prediction analyses have indicated that these motifs form amphipathic  $\alpha$ -helices with the leucine residues comprising a hydrophobic surface on one face of the helix. The helix is able to interact with the AF-2 domain of the liganded receptor via a hydrophobic groove made up of residues from receptor helices 3, 4, 5, and 12 that is the result of the conformational change induced by hormone binding (Torchia et al., 1997; Shiau et al., 1998; Nolte et al., 1998; Darimont et al., 1998; Feng et al., 1998).

The most interesting aspect of NR box function is the revelation that a receptor-specific code exists, where different nuclear receptors prefer different NR boxes of the RID for interaction with coactivators (Leers et al., 1998; Ding et al., 1998; Darimont et al., 1998; McInerney et al., 1998). For example, a 13-aa peptide encompassing GRIP1 motif ii efficiently blocked interaction between GRIP1 and the TR $\beta$ -LBD *in vitro*, while a peptide comprising motif iii was a more potent competitor for GR binding (Darimont et al., 1998). Similarly, yeast-two-hybrid assays demonstrate that mutation of TIF2 motif ii is most deleterious to interactions with PPAR $\alpha$ , while a motif i mutation has the greatest effect on the TIF2-RXR $\beta$  interaction (Leers et al., 1998). In all cases, however, mutation of a single motif does not completely abolish coactivator interaction with nuclear receptors, suggesting that multiple NR boxes contribute to the overall, high-affinity binding to the receptor. It is likely that the precise arrangement of multiple motifs and structural nuances of each receptor determines the relative contribution of each NR box to the interaction.

This receptor-specific code has also been analyzed *in vivo* in terms of transcriptional coactivation of nuclear receptors by SRC-1 via site-directed mutagenesis and antibody microinjection assays (McInerney et al., 1998). The requirement of specific NR boxes for transactivation of reporter genes by different receptors was determined by injecting anti-SRC-1 antibodies into cells along with rescuing plasmids for wild-type or NR box mutants of SRC-1. Anti-SRC-1 IgG completely abolishes transcrip-

tional activation by ER, PR, RAR, TR, and PPAR $\gamma$ , while coinjection of wild-type SRC-1 rescues receptor function. Mutation of NR box ii prevented rescue of ER function in SRC-1 immunodepleted cells, while NR boxes ii and iii were required for rescue of RAR and TR activity and boxes i and ii for PR activity. Furthermore, in the case of PPAR $\gamma$ , different ligands elicited different NR box requirements for SRC-1 coactivation. Troglitazone-bound PPAR $\gamma$  preferred NR box ii over box i, while the opposite was observed in indomethacin-treated cells. Together, these data support receptor-specific LXXLL motif requirements for coactivation function and receptor interactions that account for the presence of multiple NR boxes within SRC coactivators and imply that these motifs do not serve merely redundant functions.

Other determinants that contribute to the specificity of NR box selectivity by different nuclear receptors include residues flanking each NR box. For instance, a chimeric peptide containing the GRIP1 NR box iii motif in the context of the flanking sequences of NR box ii competed for TR-LBD binding with a similar potency as the peptide comprising NR box ii (Darimont et al., 1998). Also, using phage-displayed libraries enriched for LXXLL-containing peptides, it was demonstrated that several subclasses of these peptides exist which contain different flanking residues and which vary in their abilities to interact with different ER mutants and other receptors (Chang et al., 1999). Furthermore, it has been shown that the flanking N-terminal amino acids are not essential, while the eight residues C-terminal to the NR box are required for SRC-1 mediated coactivation of RAR, TR, and ER (McInerney et al., 1998). These studies also revealed additional preferences of ER and RAR for different NR box ii C-terminal amino acids. Intact residues +12 and +13 (where L of LXXLL is +1) are required for SRC-1 rescue of ER activity, while residues at +6, +7, +11, and +13 are necessary for rescue of RAR function. Finally, since most nuclear receptors require two intact NR boxes of coactivator for interaction, the spacing between the motifs also can serve as a determinant for recognition. Deletion of 30 of the 50 amino acids between NR boxes ii and iii abolished the ability of SRC-1 to rescue IgG-mediated inhibition of RAR activity (McInerney et al., 1998). In contrast, proper spacing between NR boxes i and ii was required for coactivation of PPAR $\gamma$ , consistent with the requirement of intact motifs i and ii for maximal PPAR $\gamma$  transactivation.

#### 4. X-Ray crystal structures

The biochemical studies outlined above clearly outline the LXXLL motifs of SRC coactivators as being critical to the interaction with and coactivation of nuclear

receptors. Further insight into the molecular basis of these interactions can be found in the recently solved crystal structures of several nuclear receptor LBDs with coactivator fragments containing NR boxes. In the structure of TR $\beta$ -LBD complexed with T3 and a 13-aa peptide encompassing NR box ii of GRIP1, the leucines of the  $\alpha$ -helical NR box make contacts with a hydrophobic groove consisting of residues from helices 3, 4, 5, and 12 of TR $\beta$  (Darimont et al., 1998). A single LXXLL peptide interacts with each monomer of the TR $\beta$  dimer. Mutagenesis confirms the importance of these receptor residues for *in vitro* binding of GRIP1 to TR $\beta$ . A very similar structure is observed with agonist-bound ER $\alpha$ -LBD complexed with a peptide comprising NR box ii of GRIP1 (Shiau et al., 1998). However, in the antagonist-bound ER $\alpha$ -LBD structure, helix 12 of the ER $\alpha$  is occluding the coactivator-binding site, consistent with the inability of SRC coactivators to bind antagonist-bound nuclear receptors. Most strikingly, a region of helix 12 contains an NR box-like sequence (LXXML) and functions as an intramolecular mimic of the LXXLL motif by making contact with the hydrophobic groove. This structural data supports the model of allosteric inhibition of the RXR-RAR heterodimer by the RXR AF-2 domain (Westin et al., 1998). These observations provide a molecular basis of antagonist function via conformational changes of helix 12 and inhibition of coactivator binding. Finally, the structure of the PPAR $\gamma$ -LBD bound to rosiglitazone and an 88-aa fragment of SRC-1 containing NR boxes i and ii has also been described (Nolte et al., 1998). This study details the function of a "charge clamp" of conserved glutamine and lysine residues of the LBD that positions the LXXLL motif into the hydrophobic groove of the receptor. In addition, the two NR boxes of the SRC-1 fragment are observed to contact simultaneously the PPAR $\gamma$  dimer, providing further support for the role of multiple motifs in mediating coactivator-receptor interactions.

## 5. Transcriptional activation by SRC coactivators

The SRC coactivators also contain an intrinsic transcriptional activation function, which is evident upon tethering coactivator to DNA via a heterologous DNA-binding domain. All three members are able to efficiently activate transcription when fused to the Gal4 DNA-binding domain in both yeast and mammalian cells (Li et al., 1997; Oñate et al., 1998; Voegel et al., 1996). Detailed deletional analysis has subsequently mapped the activation domain (AD) as being located C-terminal to the receptor-interacting domain. Interestingly, this AD also contains three additional LXXLL motifs representing NR boxes iv, v, and vi, that have been linked to interaction with the general transcriptional activators CBP/p300. CBP/p300 has been demonstrated to interact

with SRC proteins *in vitro* and *in vivo* and mutation of one or more of the AD NR boxes markedly impairs these interactions, as well as the activation function of the coactivator (Voegel et al., 1998; McInerney et al., 1998). Furthermore, microinjection studies have shown that anti-CBP antibodies abolish the ability of SRC-1 to coactivate RAR, suggesting that CBP/p300 is required for the coactivation function of SRC-1 (McInerney et al., 1998). However, it is worth noting that additional, CBP-independent transcriptional activation domains have also been attributed to members of the SRC family, supporting the existence of multiple mechanisms of transcriptional activation by coactivators (Ikeda et al., 1999; Ma et al., 1999; Voegel et al., 1998). The contribution of these multiple activation domains to overall coactivator function is not completely clear, but several studies suggest that coactivators may preferentially utilize specific ADs depending on the receptor or activation function (AF-1 vs. AF-2) that is mediating the response to hormone (Ma et al., 1999). In particular, the N-terminal AF-1 activation domain seems to be most critical to transcriptional activation by the androgen receptor (AR). SRC-1 and GRIP1 have been demonstrated to bind and coactivate the AF-1 domain of the AR (Alen et al., 1999; Bevan et al., 1999; Ma et al., 1999). These interactions are mediated by the C-terminus of the SRC coactivator, rather than the centrally located domain containing NR boxes i/ii/iii. Consistent with this observation, mutation of these motifs does not inhibit the ability of the coactivator to enhance transcriptional activation by the full-length AR, but only the isolated AF-2 domain (Alen et al., 1999; Bevan et al., 1999). This AF-1 interacting domain also lacks the CBP-interacting domain of the coactivator, thus coactivation of AF-1 likely occurs via mechanisms other than CBP recruitment, but through additional coactivators, such as CARM1 (see below), which also binds the C-terminus of GRIP1 (Chen et al., 1999a). Interestingly, the N-terminus of the AR also can interact with the C-terminal AF-2 domain and is required for both AF-2-induced transcription and SRC coactivation of AF-2 activity (Alen et al., 1999). These studies suggest that the AF-1 and AF-2 domains may synergize for complete AR activity and that the interaction of the two activation domains may result in recruitment of coactivator to the AR. Finally, SRC coactivators have also been demonstrated to enhance the AF-1 activity of the ER $\alpha$  in the presence of both estradiol and tamoxifen, suggesting that the partial agonism of tamoxifen occurs by coactivator recruitment to the AF-1 domain (Webb et al., 1998).

Another potential mechanism of transcriptional activation by SRC coactivators is histone acetylation. Hyperacetylated histones have long been linked to transcriptionally active chromatin, for acetylation leads to an unpacking of the condensed chromatin network, thereby facilitating the access of transcription factors to



target gene promoters. Accordingly, both CBP/p300 and the CBP/p300-associated factor P/CAF have been demonstrated to possess potent histone acetyltransferase (HAT) activity (Bannister and Kouzarides, 1996; Ogryzko et al., 1996; Yang et al., 1996), which is required for transcriptional activation by CBP (Martinez-Balbas et al., 1998). Both factors interact with SRC coactivators, as well as with nuclear receptors themselves, and enhance receptor transcriptional activation (Kamci et al., 1996; Blanco et al., 1998; Chakravarti et al., 1996). Therefore, recruitment of HAT-containing coactivators by the receptor may lead to a modulation of chromatin structure, thereby facilitating the access of either additional transcriptional activators, such as the DRIP/TRAP complex (see below), or the assembly of the pre-initiation complex, ultimately leading to transcriptional activation. Interactions between coactivators and the basal transcription machinery may also play a role in transcriptional activation, for both CBP/p300 (Kwok et al., 1994; Yuan et al., 1996) and SRC-1 (Ikeda et al., 1999; Takeshita et al., 1996) have been reported to interact with TBP and TFIIB. Interestingly, moderate HAT activity has also been attributed to SRC-1 and ACTR, suggesting that liganded nuclear receptors recruit a coactivator complex containing multiple enzymatic activities (Chen et al., 1997; Spencer et al., 1997). The apparent redundancy of HAT activities among the coactivator complex remains to be resolved completely. However, transcription factor-specific differences in HAT requirements have been established for RAR versus CREB via microinjection analysis (Korzus et al., 1998). Whereas P/CAF HAT activity was required for transcriptional activation by RAR, CBP HAT activity was required for CREB function. Additionally, cell-type and promoter-specific differences may also account for the existence of numerous HAT-containing coactivators. Finally, multiple HAT activities may be required if non-histone proteins also serve as substrates for these enzymes. In support of this, p300 has been demonstrated to acetylate p53, increasing its DNA binding activity (Gu and Roeder, 1997). Also, CBP and P/CAF can acetylate TFIIE and TFIIIF *in vitro*, which again links the basal transcription machinery to transcriptional activation by nuclear receptor coactivators (Imhof et al., 1997). Furthermore, a recent study reports that ACTR itself can be acetylated by CBP/p300 (Chen et al., 1999b). In this paper, hormone treatment results in enhanced histone acetylation at ER, RAR, and VDR target gene promoters and increased recruitment of coactivators, including ACTR and CBP/p300. However, this effect is transient in nature and is strongly downregulated after prolonged hormone treatment. Surprisingly, acetylation of ACTR by CBP/p300 at specific lysine residues causes the dissociation of ACTR from the DNA-bound ER homodimer. These results suggest that the mechanism of downregula-

tion of receptor activity involves release of the coactivator complex from the receptor via acetylation of the coactivator itself.

## 6. Diversity among SRC coactivators

One of the most important remaining questions to be answered concerning the function of SRC coactivators *in vivo* focuses on whether or not these three cofactors serve redundant functions. Although all three SRC family members do possess similar properties in terms of interactions with nuclear receptors and enhancement of transcriptional activation, several reports suggest that their activities are not completely overlapping and particularly outline a division between SRC-1 and TIF2/GRIP1 versus RAC3/ACTR/pCIP/AIB-1 functions. For example, microinjection of expression plasmids for SRC-1 or NCoA-2, but not pCIP, were able to rescue RAR-dependent activation in SRC-1 immunodepleted cells (Torchia et al., 1997). Also, the relative contribution of each coactivator may depend on cell or tissue type and/or coactivator levels in these cells. RAC3/ACTR/AIB-1 is expressed at high levels in placenta, heart, and HeLa cells relative to TIF2 and SRC-1; thus it may serve a more prominent role in nuclear receptor function in these cells (Li and Chen, 1998). In addition, AIB-1 was cloned as a gene that is amplified in ER-positive BT-474, MCF-7, and ZR75 breast cancer cell lines (Anzick et al., 1997). AIB-1 mRNA and protein levels are expectedly higher in these cells as well. SRC-1 and TIF2/GRIP1 are expressed at relatively low levels in these cell lines, suggesting that AIB-1 is specifically involved in the pathogenesis of these tumors. Furthermore, the viability of an SRC-1 knockout mouse may, in part, be due to the observed compensatory overexpression of GRIP1/TIF2 in certain tissues (Xu et al., 1998). RAC3/pCIP levels are unchanged in these tissues compared to the wild-type mouse, again supporting a different functional role for this coactivator versus SRC-1 and TIF2/GRIP1. Finally, a recent study demonstrates that SRC-1 does not colocalize with ER $\alpha$  in rat mammary epithelial cells, but rather is expressed in a distinct subset of cells, suggesting that TIF2/GRIP1 or RAC3/ACTR/AIB-1 may be more important for ER $\alpha$  function in these cells (Shim et al., 1999).

## 7. Other nuclear receptor coactivators

In addition to the SRC family of coactivators discussed above, many other cofactors have been identified which stimulate the activity of nuclear receptors. For the sake of brevity, we will focus on those associated with SRC coactivators.

### 7.1. SRA

Recently, in a search for nuclear receptor cofactors, a novel steroid receptor RNA activator (SRA) was isolated in a yeast-two-hybrid screen using the AF-1 domain of the PR as the bait (Lanz et al., 1999). This coactivator is selective for the N-terminal AF-1 activation domain of nuclear receptors and can reverse estrogen-induced squelching of PR-driven gene expression. Interestingly, SRA is also selective for steroid receptors versus RXR heterodimers, for it enhances only PR, GR, AR, and ER activities while having no effect on the activities of the TR $\beta$ , RAR $\gamma$ , RXR $\gamma$ , or PPAR $\gamma$ . However, maybe the most surprising characteristic of SRA is that it apparently functions as an RNA transcript, which is evident from several observations. First, efforts to generate SRA-encoded protein in vitro or in vivo were not successful. Second, several mutant constructs of SRA that disrupt translational start sites or open reading frames were still able to potentiate PR activity. Third, SRA retained coactivation activity in the absence of protein synthesis via cyclohexamide treatment. Finally, SRA transcripts were identified as components of an SRC-1 complex in vivo via whole-cell fractionation followed by gel filtration chromatography. SRA, detected by RT-PCR, specifically copurified with SRC-1 in the same fractions. SRA mRNA was also efficiently coimmunoprecipitated with SRC-1 antibodies, further supporting the existence of a complex containing SRA and SRC-1. This study suggests that SRA is a novel RNA coactivator that forms a complex with SRC-1 in vivo and selectively enhances the activity of steroid hormone receptors via the AF-1 domain.

### 7.2. CARM1

A novel enzymatic activity was attributed to nuclear receptor coactivators with the cloning of CARM1 (coactivator-associated arginine methyltransferase 1) via a yeast-two-hybrid screen using the C-terminal amino acids 1121–1462 of GRIP1 (Chen et al., 1999a). This region represents a second, CBP-independent activation domain of GRIP1 (Ma et al., 1999). CARM1 showed extensive homology to the PRMT (protein methyltransferase) family of arginine-specific methyltransferases and interacted with all three members of the SRC coactivator family in vitro. Furthermore, CARM1 contained potent histone methyltransferase activity in vitro, with a preference for histone H3. In vivo, CARM1 enhanced transcription by a Gal4-DBD fusion of GRIP1 1121–1462 and further stimulated GRIP1 coactivation of AR, TR, and ER activities. This coactivation function was dependent upon three amino acids located in the region critical to methyltransferase activity, suggesting that this enzymatic activity is required for CARM1's ability to enhance receptor function. In the

absence of GRIP1, CARM1 had no effect on receptor function, thus SRC coactivators are likely required in order to recruit CARM1 to the receptor complex. Overall, the cloning of CARM1 contributes to the mechanism by which SRC coactivators activate transcription through multiple domains. One activation domain may be required in order to recruit CBP/p300 and histone acetylation activity, while the second activation domain recruits CARM1 and histone methylation activity. These multiple enzymatic functions may be promoter specific or cooperate to remodel chromatin and facilitate transcriptional activation.

### 7.3. PGC-1

The cloning and characterization of PGC-1 (PPAR gamma coactivator-1) was a critical finding to the field of nuclear receptor coactivators, for it linked coactivator function to the regulation of a specific physiological process, namely adaptive thermogenesis. PGC-1 was isolated in a yeast-two-hybrid screen using PPAR $\gamma$  183–505 as the bait and was demonstrated to interact with several members of the nuclear receptor superfamily (Puigserver et al., 1998). It also possesses potent coactivation function for PPAR $\gamma$  and TR activities at the UCP-1 (uncoupling protein-1) promoter, inducing the expression of this mitochondrial protein involved in heat generation in brown fat cells. Consistently, PGC-1 is also upregulated in muscle and brown fat cells upon exposure to cold temperatures. Further studies have demonstrated that PGC-1 enhances mitochondrial biogenesis and oxygen consumption in muscle cells via the induction of UCP-2 and the regulation of NRFs (nuclear respiratory factors), which are transcription factors that regulate genes involved in mitochondrial DNA replication and transcription (Wu et al., 1999). Finally, a very recent study reports the functional association between PGC-1 and SRC-1 (Puigserver et al., 1999). SRC-1, as well as CBP/p300, interacts with PGC-1 in vitro and in vivo and enhances transcriptional activation by a Gal4DBD fusion of PGC-1 in transient transfection assays. These interactions are mediated by SRC-1 782–1139 and p300 1805–2441. Intriguingly, expression of PPAR $\gamma$  or NRF-1 also enhanced Gal-PGC-1 activity, while cotransfection of PPAR $\gamma$  increased the interaction between PGC-1 and SRC-1 or CBP/p300 in vitro and in vivo. These data support a model of PGC-1 activation in which the interaction with a transcription factor such as PPAR $\gamma$  stimulates PGC-1 activity by inducing SRC-1 recruitment (Puigserver et al., 1999). This recruitment likely is the result of a conformational change in PGC-1 that occurs upon binding to the transcription factor. This study also suggests the SRC coactivator function may be essential to adaptive thermogenesis, for it may be required for transcriptional activation by PGC-1.

## 8. DRIP/TRAP coactivator complexes

Much effort has been made recently to isolate and purify an entire complex of polypeptides that functions to coactivate nuclear receptor function. To this end, several groups have identified virtually identical complexes that appear distinct from the SRC coactivator complex. Using the VDR-LBD as an affinity matrix, a complex was purified from Namalwa cell extracts termed DRIP (VDR-interacting proteins) that specifically interacts with ligand-bound VDR-LBD (Rachez et al., 1998). The same complex was also purified using affinity chromatography from HeLa cells that constitutively express Flag-tagged TR and named TRAP (TR-associated proteins) (Fondell et al., 1996). Subsequently, this complex was identified as ARC (activator-recruited cofactor) (Naar et al., 1999) and SMCC (Srb/Mediator coactivator complex) (Gu et al., 1999). The DRIP/TRAP complex lacks CBP/p300 or SRC proteins (Rachez et al., 1999) and is recruited to the receptor AF-2 domain by the DRIP205/TRAP220 subunit via a single LXXLL motif (Rachez et al., 1999; Yuan et al., 1998). Unlike SRC coactivators, the DRIPs/TRAPs have been demonstrated to be required

for transcriptional activation by nuclear receptors in cell-free *in vitro* transcription assays (Rachez et al., 1998; Fondell et al., 1996). The DRIPs also enhance VDR activity on chromatin-organized templates despite a lack of HAT activity, suggesting a potential unidentified chromatin remodeling function (Rachez et al., 1999). Furthermore, it is evident that this complex plays a more global role in transcriptional activation rather than being specific to nuclear receptors, for ARC was identified as a coactivator for VP16 and p65 (Naar et al., 1999), while SMCC enhances p53 activity (Gu et al., 1999). What is not clear is the mechanism by which the DRIP/TRAP and SRC complexes both contribute to overall nuclear receptor function. One possibility involves a two-step model in which the SRC complex is first recruited to the nuclear receptor to open up the chromatin network via histone acetylation (Freedman, 1999). This would allow access for the large DRIP/TRAP complex, which would subsequently remodel chromatin, facilitating the organization of the pre-initiation complex or binding of other transcription factors. However, it is also possible that the DRIPs/TRAPs may target RNA polymerase to the target gene promoter, for several subunits are homolo-

## Model of SRC coactivator function

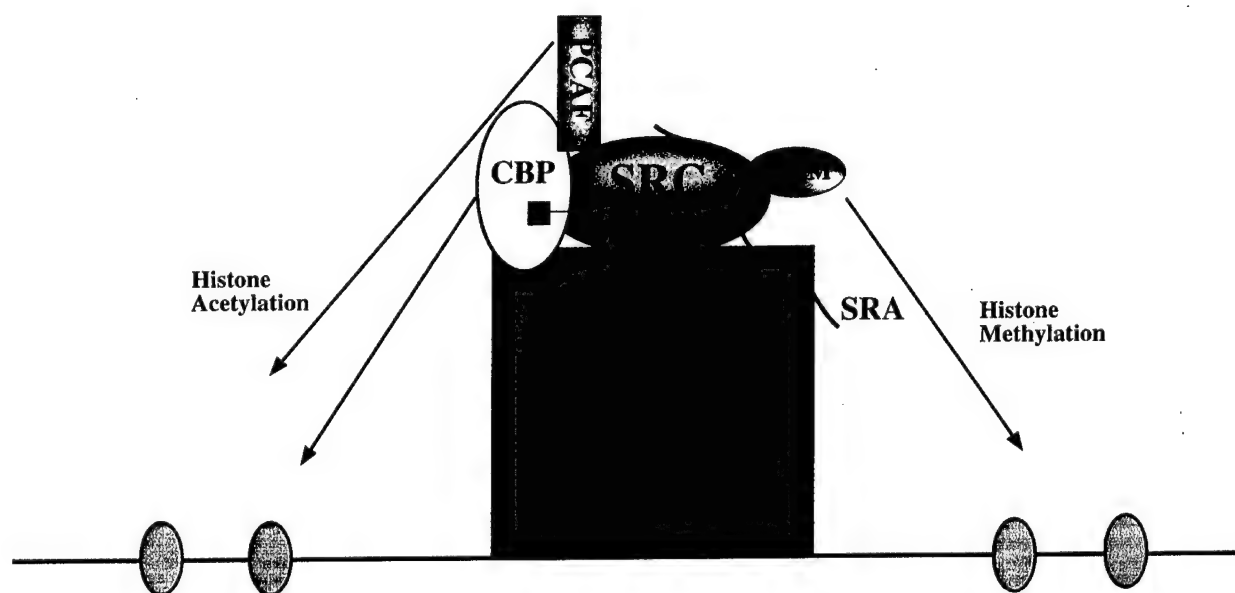


Fig. 2. Model of SRC coactivator function. The nuclear receptor is able to recruit an SRC coactivator upon binding hormone, which subsequently results in the recruitment of additional coactivators to the complex. SRC is able to interact with the receptor and CBP/p300 via LXXLL nuclear receptor (NR) boxes. The histone acetylation and methylation activities of various constituents of the coactivator complex facilitate the relaxation of the chromatin architecture at the target gene promoter, thereby enhancing transcriptional activation. It should be noted that this is only a general model of the coactivator complex. It is likely that additional cofactors are involved and that different receptors may recruit different components of the complex, thus achieving a level of specificity among receptors and coactivators. NR = nuclear receptor, SRC = steroid receptor coactivator, SRA = steroid receptor RNA activator, CARM = coactivator associated arginine methyltransferase, CBP = CREB-binding protein, PCAF = p300/CBP-associated factor.

gous to proteins found in Mediator, a transcriptional regulatory complex that associates with RNA pol II (Kim et al., 1994). In support of this, RNA pol II can be isolated with the SMCC complex at low ionic strength (Gu et al., 1999). One also cannot rule out the possibility that SRC and DRIP/TRAP functions are not integrated at all, but rather have cell-type, promoter, or transcription factor specificity. Specificity may also be the result of the alteration of one or more of the subunits of the complex, depending on the target gene. Overall, it is clear that the DRIP/TRAP complex is likely involved in the regulation of a broad range of signaling pathways, but whose biological role is unknown.

## 9. Model of SRC function

In order to integrate the wealth of data collected on the mechanism of action of SRC coactivators, we propose the following model of SRC function in the regulation of nuclear receptor activity (Fig. 2). Hormone binding triggers nuclear translocation of Type I steroid receptors and the release of the corepressor complex from Type II non-steroid receptors and subsequent recruitment of an SRC coactivator to the target gene promoter. SRC is able to interact with the AF-2 domain of each monomer of the dimer via multiple,  $\alpha$ -helical NR boxes located in the receptor-interacting domain. SRC is likely complexed with the RNA coactivator SRA, which enhances AF-1 activity. After initial SRC docking to the receptor, it is able to recruit additional coactivators to the complex. These include CBP/p300, which uses the NR boxes of the SRC transcriptional activation domain for interaction with coactivator, and the CBP/p300-associated factor P/CAF. Additional, direct interactions between CBP/p300 and nuclear receptors and between P/CAF and SRC have also been reported, which may enhance complex formation. Furthermore, SRC is also able to recruit CARM1 to the target gene via a different domain than that required for CBP/p300 binding. Once this complex is assembled, the histone acetylase activities of CBP/p300, P/CAF, and possibly SRC itself, together with the histone methyltransferase activity of CARM1, serve to remodel the chromatin architecture, thus facilitating the access of additional transcription factors, coactivators such as the DRIP/TRAP complex, and/or the basal transcription machinery to the target gene promoter to activate transcription. Of course, caveats to this model likely exist. For example, the coactivator complex may be comprised of different components depending on the specific nuclear receptor, cell type, or target gene. Different coactivator complex components may create a level of specificity among different receptors that answers the questions surrounding the potential redundancy among the members of the SRC family. Also, with the

intense focus on hormone action and plethora of receptor cofactors being cloned in recent years, it is likely that additional members of the coactivator complex have yet to be identified. In addition, non-histone substrates for the enzymatically active cofactors may be involved, for as described above, CBP/p300 can acetylate non-histone proteins such as ACTR, p53, and TFIIE/TFIIF. Finally, it is possible that the receptor is able to recruit single, pre-formed coactivator complex to the target gene upon hormone binding. However, though the precise details of transcriptional activation by nuclear receptors are still not clear, it is evident that the SRC family of coactivators is critical to receptor function and will continue to warrant investigation into its role in intracellular signaling pathways.

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## Sequestration and Inhibition of Daxx-Mediated Transcriptional Repression by PML

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**PML fuses with retinoic acid receptor  $\alpha$  (RAR $\alpha$ ) in the t(15;17) translocation that causes acute promyelocytic leukemia (APL). In addition to localizing diffusely throughout the nucleoplasm, PML mainly resides in discrete nuclear structures known as PML oncogenic domains (PODs), which are disrupted in APL and spinocellular ataxia cells. We isolated the Fas-binding protein Daxx as a PML-interacting protein in a yeast two-hybrid screen. Biochemical and immunofluorescence analyses reveal that Daxx is a nuclear protein that interacts and colocalizes with PML in the PODs. Reporter gene assay shows that Daxx drastically represses basal transcription, likely by recruiting histone deacetylases. PML, but not its oncogenic fusion PML-RAR $\alpha$ , inhibits the repressor function of Daxx. In addition, SUMO-1 modification of PML is required for sequestration of Daxx to the PODs and for efficient inhibition of Daxx-mediated transcriptional repression. Consistently, Daxx is found at condensed chromatin in cells that lack PML. These data suggest that Daxx is a novel nuclear protein bearing transcriptional repressor activity that may be regulated by interaction with PML.**

Acute promyelocytic leukemia (APL) arises as a result of chromosomal translocation involving the retinoic acid (RA) receptor  $\alpha$  (RAR $\alpha$ ) gene on chromosome 17 fused with either the promyelocytic leukemia gene (PML) on chromosome 15, the promyelocytic leukemia zinc finger gene (PLZF) on chromosome 11, the nucleophosmin/B23 (NPM) gene on chromosome 5, or the nuclear mitotic apparatus gene (NuMA) on chromosome 11 (30, 39). The t(15;17) translocation between PML and RAR $\alpha$  accounts for nearly all APL cases. This translocation creates an oncogenic fusion protein, PML-RAR $\alpha$ , which contains both the DNA-binding domain (DBD) and ligand-binding domains of RAR $\alpha$  and the N terminus of PML. Transgenic mice that overexpress PML-RAR $\alpha$  or PLZF-RAR $\alpha$  developed an APL-like phenotype (9, 21, 26), suggesting that these fusion proteins are directly involved in APL pathogenesis. Recent studies have focused on analyzing the functional properties of PML-RAR $\alpha$  and PLZF-RAR $\alpha$  (20, 22, 25, 40) in order to understand the molecular basis of leukemogenesis. Both fusion proteins form homodimers that bind to RA response elements and interact with the nuclear receptor corepressors SMRT (silencing mediator for retinoid and thyroid hormone action) and N-CoR (nuclear receptor corepressor), which in turn recruit a histone deacetylase complex (1, 27, 40, 46). Pharmacological concentrations of all-*trans*-RA (atRA) induce dissociation of the corepressors from PML-RAR $\alpha$ , but not PLZF-RAR $\alpha$ , due to the presence of an additional, RA-insensitive corepressor-interacting surface on PLZF. This differential degree of dissociation of corepressors induced by atRA correlates with the ability of histone deacetylase inhibitors and atRA to induce terminal differentiation of these two subtypes of APL cells. These findings indicate that abnormalities in transcriptional repression by the oncogenic fusion proteins may be involved in leukemogenesis.

PML belongs to a family of proteins characterized by the presence of a RING finger domain (8). RING finger proteins are implicated in transcriptional regulation, and some members of the RING family are associated directly with chromatin (53). Ablation and overexpression experiments suggest an important role of PML in the regulation of cell growth, hematopoietic cell differentiation, tumorigenesis, apoptosis, and RA signaling (44, 63). In normal cells, PML is concentrated within 10 to 20 nuclear structures known as nuclear domains 10 (ND10), Krüppel bodies, nuclear bodies, or PML-oncogenic domains (PODs) (2, 17, 33, 59, 65). The POD structure is disrupted in the t(15;17) translocated APL cells (17, 33, 65), presumably through interaction of wild-type PML with PML-RAR $\alpha$ . Interestingly, the POD structure reorganizes upon treatment with atRA or arsenic trioxide (As<sub>2</sub>O<sub>3</sub>), a process that correlates with differentiation of APL cells, indicating that the POD structure might affect promyelocyte differentiation.

In addition to PML, the POD contains several other proteins, including the 100-kDa nuclear protein antigen (Sp100) (2), the small ubiquitin-related modifier (SUMO-1 [41], also known as PML-interacting clone 1 [PIC1] [7], ubiquitin-like 1 [UBL1] [57], or sentrin [48]), and the 140-kDa protein (Sp140) (6). Sp100 is a nuclear antigen recognized by autoantibodies from patients with primary biliary cirrhosis (62). Expression of both PML and Sp100 are upregulated by interferon (23). SUMO-1 was recently identified as a ubiquitin-like protein that forms covalent conjugates with PML and Sp100 (7, 58). In addition, the CREB-binding protein (CBP) and the retinoblastoma tumor suppressor (pRB) have been found in the PODs (35, 61). Also, the PODs are targets of several viral proteins, which alter POD structure (11, 14, 18). Although there is evidence for POD's role in transcriptional activation (15, 35), DNA replication (19), apoptosis (51, 64), and viral infection (14, 42), the precise function of PODs in these processes remains unclear.

We have sought to understand the function of PODs through identification of PML-interacting proteins that also localize in the PODs. By using the yeast two-hybrid system, we identified SUMO-1 and the Fas-binding protein Daxx (68)

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(J. D. Chen and R. M. Evans, unpublished data). Daxx has been shown to promote Fas-mediated apoptosis through activation of the Jun NH<sub>2</sub>-terminal kinase (JNK) and JNK kinase kinase ASK1 (apoptosis signal-regulating kinase 1) (12). Recent data suggest that Daxx is not sufficient for Fas-mediated apoptosis, since a Fas mutant that selectively binds to Daxx but not the Fas-adaptor death domain-containing protein (FADD/MORT1) failed to induce apoptosis (13). Other evidence suggests that Daxx may interact with the centromeric protein-c (CENP-C) and may bind to a steroidogenic factor 1 (SF-1)-like DNA element (32, 50). Therefore, the exact mechanism by which Daxx regulates Fas-mediated apoptosis may involve nuclear processes.

In the present study, we have characterized both biochemical and functional interactions between Daxx and PML. Daxx resides primarily in the cell nucleus, where it forms a complex with PML. Confocal immunofluorescence data demonstrate that Daxx colocalizes with PML in the PODs, and such colocalization persists in NB4 APL cells (36) before and after treatment with atRA and As<sub>2</sub>O<sub>3</sub>. Daxx possesses strong transcriptional repressor activity and appears to interact directly with histone deacetylases. Intriguingly, overexpression of PML inhibits Daxx-mediated transcriptional repression and, in cells that lack PML, Daxx is preferentially associated with condensed chromatin. Our data reveal a new role for Daxx in transcriptional repression and suggest a novel function of PML and the POD structure in the suppression of transcriptional repression.

## MATERIALS AND METHODS

**Yeast two-hybrid system.** The screening of PML-interacting proteins was conducted by the yeast two-hybrid system by using the Y190 strain as previously described (16). The Gal4 DBD (amino acids 1 to 147) fusion of full-length PML (29) was constructed in the yeast vector pAS1 (16). The resulting Gal4 DBD-PML fusion protein was used as bait to screen a Gal4 activation domain (AD)-fused human B-lymphocyte cDNA library in the pACT expression vector (16). About 10<sup>6</sup> yeast transformants were screened on selection plates containing 50 mM 3-aminotriazole (Sigma). For ligand treatment, the culture was incubated in the presence of ligand or solvent (control) for 24 h before measuring the  $\beta$ -galactosidase ( $\beta$ -Gal) activity.

**Biochemical cell fractionation.** HeLa cells ( $2 \times 10^6$ ) were harvested into 500  $\mu$ l of CLB buffer (10 mM HEPES, 10 mM NaCl, 1 mM KH<sub>2</sub>PO<sub>4</sub>, 5 mM NaHCO<sub>3</sub>, 1 mM CaCl<sub>2</sub>, 0.5 mM MgCl<sub>2</sub>–5 mM EDTA–1 mM phenylmethylsulfonylfluoride–proteinase inhibitors). Cells were allowed to swell for 5 min on ice, Dounce homogenized 35 times, and centrifuged at 7,500 rpm for 5 min to pellet nuclei and debris. The supernatant (cytosol plus plasma membrane) was then spun at 25,000 rpm for 30 min to pellet the membrane. The nucleus-debris pellet was resuspended in 1 ml of TSE buffer (10 mM Tris, pH 7.5; 300 mM sucrose; 1 mM EDTA) and Dounce homogenized 30 times, followed by centrifugation at 5,000 rpm for 5 min. The pellet was resuspended and washed twice to obtain the final nucleus pellet. Equal amounts of protein in each fraction were analyzed by Western blotting.

**Western blotting.** Western blotting was conducted by using the enhanced chemiluminescence reagents according to the manufacturers' recommendation (Amersham). The affinity purified anti-Daxx polyclonal antibodies were raised against glutathione S-transferase (GST)-Daxx (amino acids 556 to 740) fusion protein and subsequently purified with the GST-Daxx protein column as described earlier (24). Anti-Gal4-DBD antibody was purchased from Santa Cruz, and anti-HDAC1 antibody was from Upstate Biotechnology.

**Co-IP.** Coimmunoprecipitation (Co-IP) was conducted according to a standard procedure by using the protein A-agarose beads (Santa Cruz) (24). Nuclear extracts were prepared as described earlier (3). HeLa and NB4 cells were lysed in cell lysis buffer (0.4 M NaCl, 0.2 mM EGTA, 10% glycerol, 1% NP-40), and cell extracts were precleared by incubating them with protein-A agarose beads for 1 h at room temperature. The affinity-purified IP antibodies were conjugated with protein A-agarose beads in cell lysis buffer for 2 h at room temperature. The antibody-protein A-agarose was collected by brief centrifugation and incubated with cell extracts (100  $\mu$ g) overnight at 4°C. The precipitates were collected by centrifugation and washed five times with excess phosphate-buffered saline containing 0.1% NP-40. The final precipitate was dissolved in sodium dodecyl sulfate (SDS) sample buffer and analyzed by SDS-polyacrylamide gel electrophoresis (PAGE) and Western blotting.

**Immunofluorescence and confocal microscopy.** Cells were grown on cover glasses (VWR Scientific), fixed in a methanol-acetic acid (1:1) mixture on dry ice

for 2 min and processed for immunofluorescence staining as described elsewhere (17). For NB4 cells, the cover glasses were coated with poly-L-lysine before seeding the cells. After immunostaining, cell nuclei were stained with DAPI (4',6-diamidino-2-phenylindole dihydrochloride hydrate) (Sigma). Confocal microscopy was conducted with a Leica TCS SP spectral laser scanning confocal microscope. Channel cross-talk was avoided by reducing the intensity of the excitation laser beam in the absence of the other excitation laser. Standard epifluorescence microscopy was performed on an Olympus IX-70 microscope equipped with a back-illuminated cool charge-coupled device (CCD) camera (Princeton Instruments), and the image was processed by using the MetaMorph software (Universal Imaging Corp.).

**Transient-transfection assay.** Transient transfection was conducted using a standard calcium phosphate precipitate method as described earlier (3). Cultured cells were maintained in Dulbecco modified Eagle medium or RPMI medium (for NB4 cells) supplemented with 10% fetal bovine serum (Gibco). Twelve hours prior to transfection,  $2 \times 10^4$  cells were plated in each well of 12-well plates. Transfected cells were refed with fresh media and harvested 36 to 48 h after transfection. Transfected cells in each well were lysed and processed for luciferase and  $\beta$ -Gal assay as described elsewhere (38). The luciferase activity was determined with an MLX plate luminometer (Dynex) and normalized with the cotransfected  $\beta$ -Gal.

**Far-Western blot.** GST fusion proteins were expressed in DH5 $\alpha$  cells and purified by standard glutathione agarose beads according to manufacturer's recommendation (Pharmacia). The purified proteins were separated by SDS-PAGE and electroblotted onto a nitrocellulose filter in transfer buffer (25 mM Tris-HCl, pH 8.3; 192 mM glycine; 0.01% SDS). Proteins were denatured with 6 M guanidine hydrochloride (GnHCl) and renatured by stepwise dilution of GnHCl. Filters were blocked and hybridized overnight with <sup>35</sup>S-labeled protein as described elsewhere (38). The membrane was then washed three times with hybridization buffer, and the bound probe was detected by autoradiography.

**GST pull-down assay.** The GST pull-down assay was conducted according to a protocol as described earlier (24). Briefly, 5  $\mu$ g of glutathione agarose-protein beads was incubated with 5  $\mu$ l of in vitro-translated <sup>35</sup>S-labeled protein with moderate shaking at 4°C overnight in binding buffer (20 mM HEPES, pH 7.7; 75 mM KCl; 0.1 mM EDTA; 2.5 mM MgCl<sub>2</sub>; 0.05% NP-40; 1 mM dithiothreitol; 1 mg of bovine serum albumin per ml). The bound protein was washed three times with the binding buffer, and the beads were collected by centrifugation. The bound protein was eluted in SDS sample buffer and analyzed by SDS-PAGE and autoradiography.

**Site-directed mutagenesis.** Site-directed mutagenesis was conducted by using the Quick-Change site-directed mutagenesis kit according to manufacturer's instruction (Stratagene). A mammalian hemagglutinin (HA)-PML vector was used as a template, and mutagenesis was conducted in three rounds consecutively on the same template. The mutated construct was confirmed by DNA sequencing by using dideoxynucleotide chain-termination reactions and Sequenase (U.S. Biochemicals).

## RESULTS

**Identification of Daxx as a PML-interacting protein.** In the yeast two-hybrid screen, we identified a PML-interacting clone that encodes the C-terminal 184 amino acids of Daxx (32, 50). Yeast two-hybrid assay shows that this Daxx clone interacts with Gal4 DBD fusions of both PML and PML-RAR $\alpha$  but not SP100 (Fig. 1A), suggesting that Daxx may be a PML-interacting protein. Since atRA binds to PML-RAR $\alpha$  in a way similar to that of wild-type RAR $\alpha$  (4), we determined the effect of atRA on interaction between Daxx and PML-RAR $\alpha$  (Fig. 1B). atRA inhibits the two-hybrid interaction between Daxx and PML-RAR $\alpha$  efficiently and in a dose-dependent manner. The inhibition of binding is slightly more sensitive with the long form of PML-RAR $\alpha$  than with the short form, a finding consistent with the higher affinity of the long form of PML-RAR $\alpha$  for atRA (4). This atRA-dependent inhibition of binding is specific, for atRA has no effect on the interaction between PML and Daxx while it enhances the interaction between PML-RAR $\alpha$  and the coactivator RAC3 (38). Also, the thyroid hormone triiodothyronine that does not bind PML-RAR $\alpha$  also has no effect on the interaction between Daxx and PML-RAR $\alpha$ . These data suggest that Daxx is a PML-interacting protein that may also associate with the oncoprotein PML-RAR $\alpha$  in the absence of atRA.

**Daxx forms a complex with PML in vivo.** In addition to being diffusely distributed in the cytoplasm, PML is mainly a nuclear protein, while Fas is a transmembrane cell surface

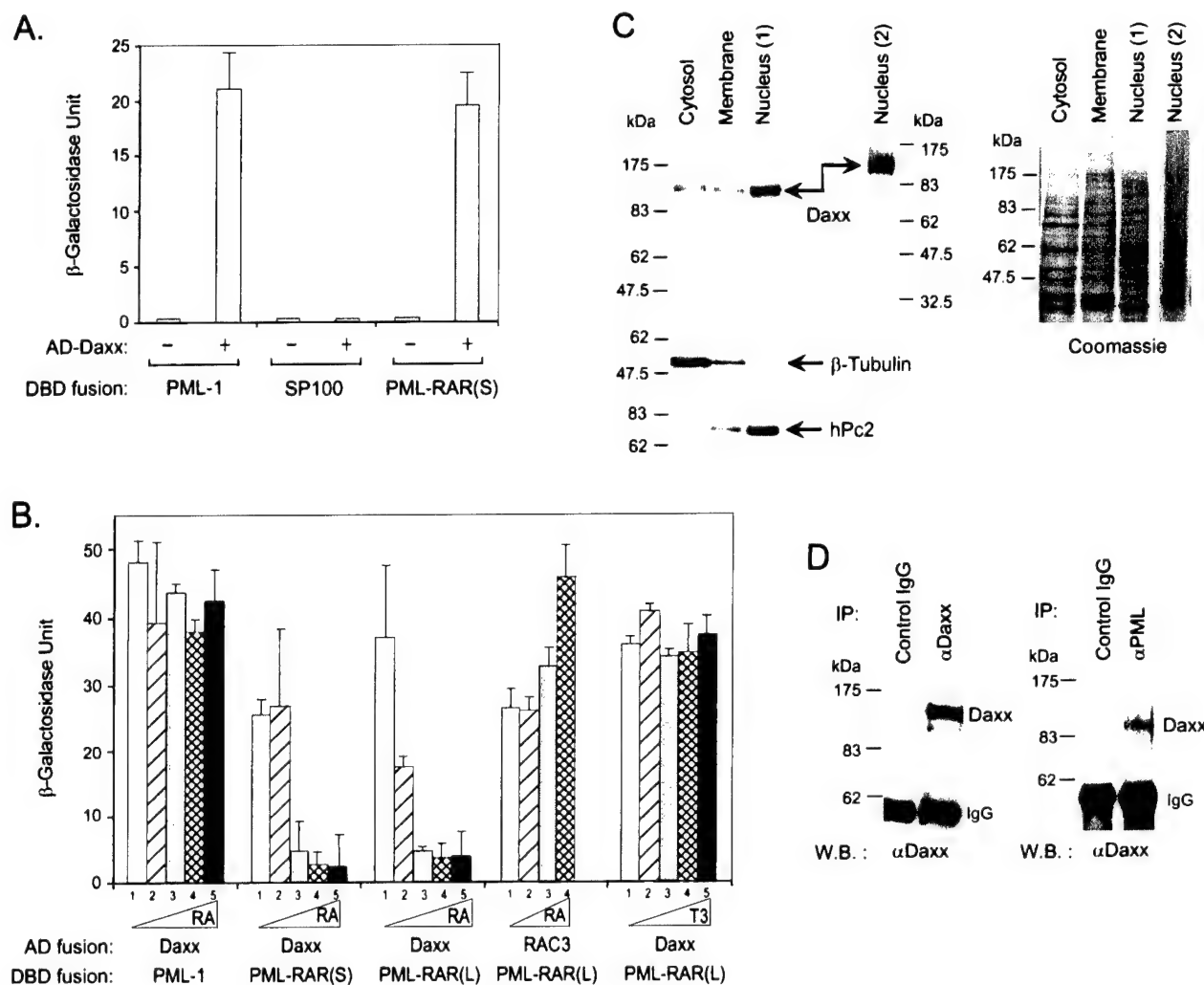


FIG. 1. Interaction between Daxx and PML in vivo. (A) Interaction of Daxx with PML in yeast two-hybrid system. The average  $\beta$ -Gal activities of three transformants expressing the indicated combinations of Gal4 AD and DBD fusion proteins were determined as described in Materials and Methods. The AD-Daxx fusion protein contains amino acids 556 to 740 of human Daxx. The DBD fusion proteins contain full-length PML-1, SP100, and PML-RAR $\alpha$  short form, respectively. The minus sign indicates empty vector alone. (B) *atRA* disrupts the interaction between Daxx and PML-RAR $\alpha$ . The effect of *atRA* on Daxx-PML-RAR interaction was determined after a 24-h incubation of the culture in the presence of the indicated concentrations of respective ligands. Columns: 1, solvent only; 2, 1 nM; 3, 10 nM; 4, 100 nM; and 5, 1,000 nM. T3, 3,3',5-triiodo-L-thyronine. (C) Subcellular fractionation of Daxx. HeLa cells were fractionated into cytosolic, membrane, and nuclear fractions, and an equal amount of protein was analyzed by Western blotting for Daxx (left panel). The distribution of the cytoplasmic protein  $\beta$ -tubulin and the nuclear protein hPc2 in each fraction was also determined by immunoblotting to validate the fractionation. Two independent preparations of HeLa nuclear extracts are shown. The right panel is a Coomassie blue-stained gel that shows the relative amount of proteins in each fraction used in the Western blot. (D) Co-IP of Daxx with PML. NB4-cell extracts were immunoprecipitated with affinity-purified anti-Daxx and anti-PML antibodies, and the presence of Daxx in the immunoprecipitates was determined by immunoblotting with anti-Daxx antibodies. The antibodies used for the IP and the Western blot (W.B.) are indicated.

receptor. Since Daxx interacts with both PML and Fas, it is important to determine whether Daxx is a nuclear or cytoplasmic protein. We analyzed the subcellular distribution of Daxx by using biochemical fractionation followed by Daxx immunoblotting. In this assay, Daxx cofractionates primarily with nuclear fraction, with a minority also present in the cytosolic and membrane fractions (Fig. 1C). Control antibodies against the cytoplasmic protein  $\beta$ -tubulin and the nuclear protein polycomb hPc2 (55) show no cross-contamination between the cytoplasmic and nuclear fractions. All of these proteins were detected in the membrane fraction, presumably because this fraction also contains insoluble organelles involved in protein synthesis and transportation. These results demonstrate that Daxx resides mainly in the cell nucleus, suggesting that Daxx may interact with PML in the nucleus.

To confirm that the interaction between Daxx and PML also occurs in mammalian cells, we performed Co-IP assays from HeLa and NB4 cell extracts (Fig. 1D). Both anti-Daxx and anti-PML antibodies, but not preimmune serum, efficiently coimmunoprecipitate endogenous Daxx. These data suggest that Daxx may form a stable complex with PML in vivo. In the immunoprecipitates of Daxx and PML antibodies, we also detected weak signals of the 90-kDa PML and two SUMO-1-conjugated forms of PML (data not shown), confirming the presence of PML in the IP. We also attempted to demonstrate an interaction between Daxx and PML in vitro in GST pull-down and far-Western assays, but all experiments failed to show a convincing interaction. We reasoned that this might be due to the fact that PML is extensively modified by SUMO-1 in

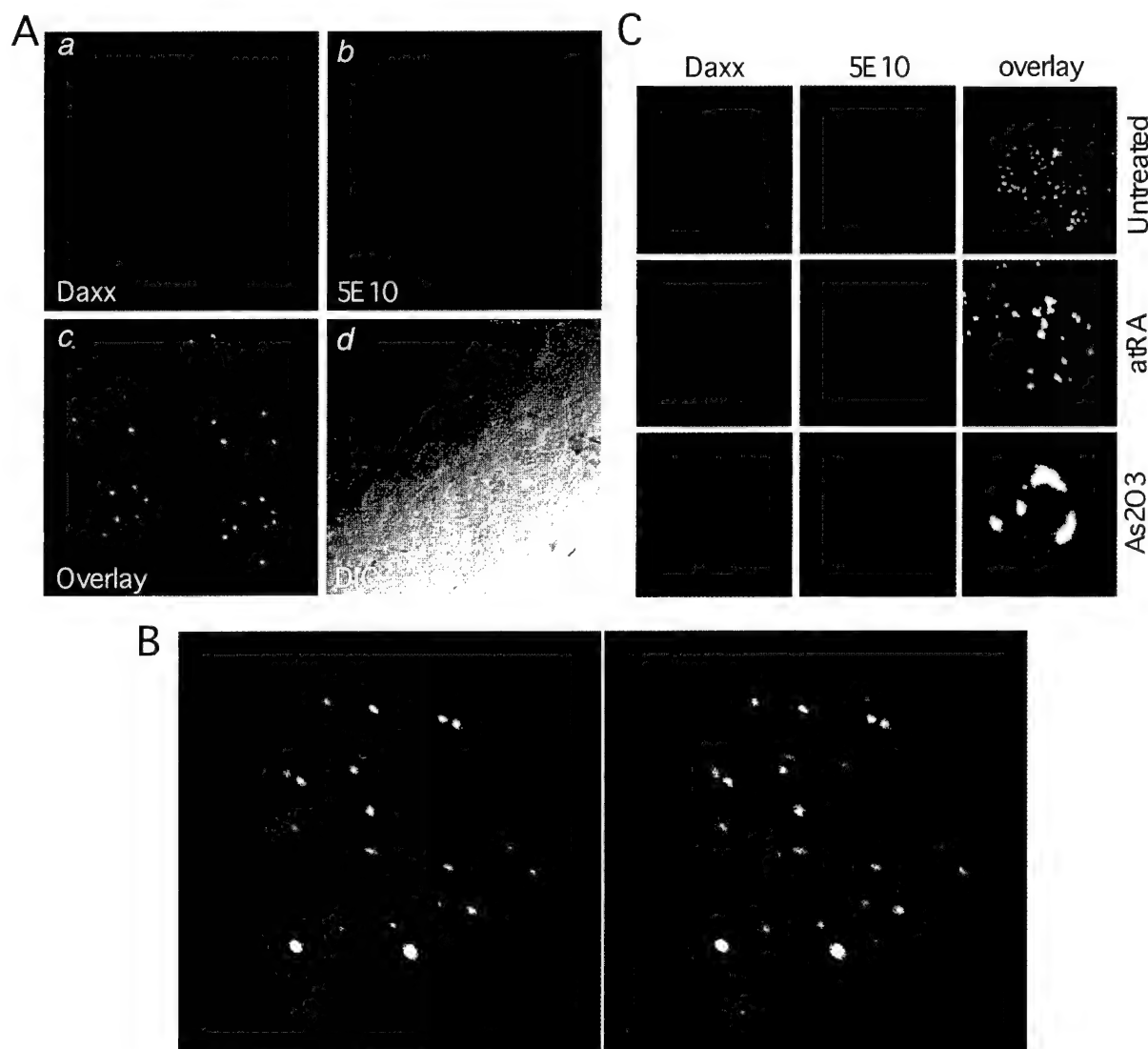


FIG. 2. Daxx colocalizes with PML at the PODs. (A) Confocal immunofluorescence analysis of endogenous Daxx and PML. HEP2 cells were fixed and immunostained with affinity-purified rabbit anti-Daxx polyclonal antibodies and mouse anti-PML 5E10 monoclonal antibodies as described in Materials and Methods. The sample was analyzed by use of a confocal microscope. Panels a and b show the signals of Daxx (green) and PML (red) on a single confocal section. Panel c shows colocalization (yellow signals) of Daxx and PML in the merged image. Panel d is a differential interference contrast image showing the surfaces of the cells and nuclei. Bar, 10  $\mu$ m. (B) Three-dimensional presentation of the colocalization between Daxx and PML. Total of 32 consecutive z-sections at increments of 0.08  $\mu$ m were reconstructed into a three-dimensional image by using the Leica confocal software. The right and left projected images were rotated 4.5° at opposite directions along the x (horizontal) axis. Yellow represents the colocalization between Daxx (green) and PML (red). (C) Colocalization of Daxx and PML in APL cells. NB4 cells were plated on cover glasses coated with poly-L-lysine. The control (untreated), atRA-treated (1  $\mu$ M for 72 h), and As<sub>2</sub>O<sub>3</sub>-treated (1  $\mu$ M for 72 h) cells were fixed and immunostained with anti-Daxx polyclonal and anti-PML monoclonal antibodies. Colocalization of Daxx and PML was revealed by confocal laser microscopy (except for the untreated cells).

vivo (44, 45, 58) or that an additional factor may bridge the interaction between PML and Daxx.

**Daxx colocalizes with PML in the PODs.** We then wished to determine if Daxx colocalizes with PML in the PODs in order to provide further evidence for a physiological interaction between Daxx and PML. Confocal immunofluorescence microscopy using affinity-purified anti-Daxx antibodies reveals discrete nuclear structures in interphase HEP2 cells, in addition to an evenly distributed nucleoplasmic staining (Fig. 2Aa). Double immunostaining, together with use of anti-PML antibodies, demonstrates that the Daxx foci colocalize perfectly with the PODs in cell nuclei (Fig. 2Aa to d). Such colocalization occurs in many different cell types, including HeLa, HEK293, and A549 cells and normal human fibroblasts, sug-

gesting that colocalization between Daxx and PML may be a common phenomenon in different cell types. The colocalization has been confirmed by using antibodies against different POD antigens, including SP100 and SUMO-1, as well as under conditions that modify the POD structure, such as with interferon, As<sub>2</sub>O<sub>3</sub> treatments, and viral infections (unpublished data). A three-dimensional topographic analysis of the colocalization between Daxx and PML demonstrates an extensive colocalization between Daxx and PML in the PODs (Fig. 2B).

**Colocalization of Daxx and PML in NB4 APL cells.** We next analyzed the distribution of Daxx in the NB4 APL cells (Fig. 2C), in which the PODs are disrupted into "microparticulate" structures. Similar to PML, Daxx is also disrupted in the NB4 cells, in which it remains colocalized with PML. The presence

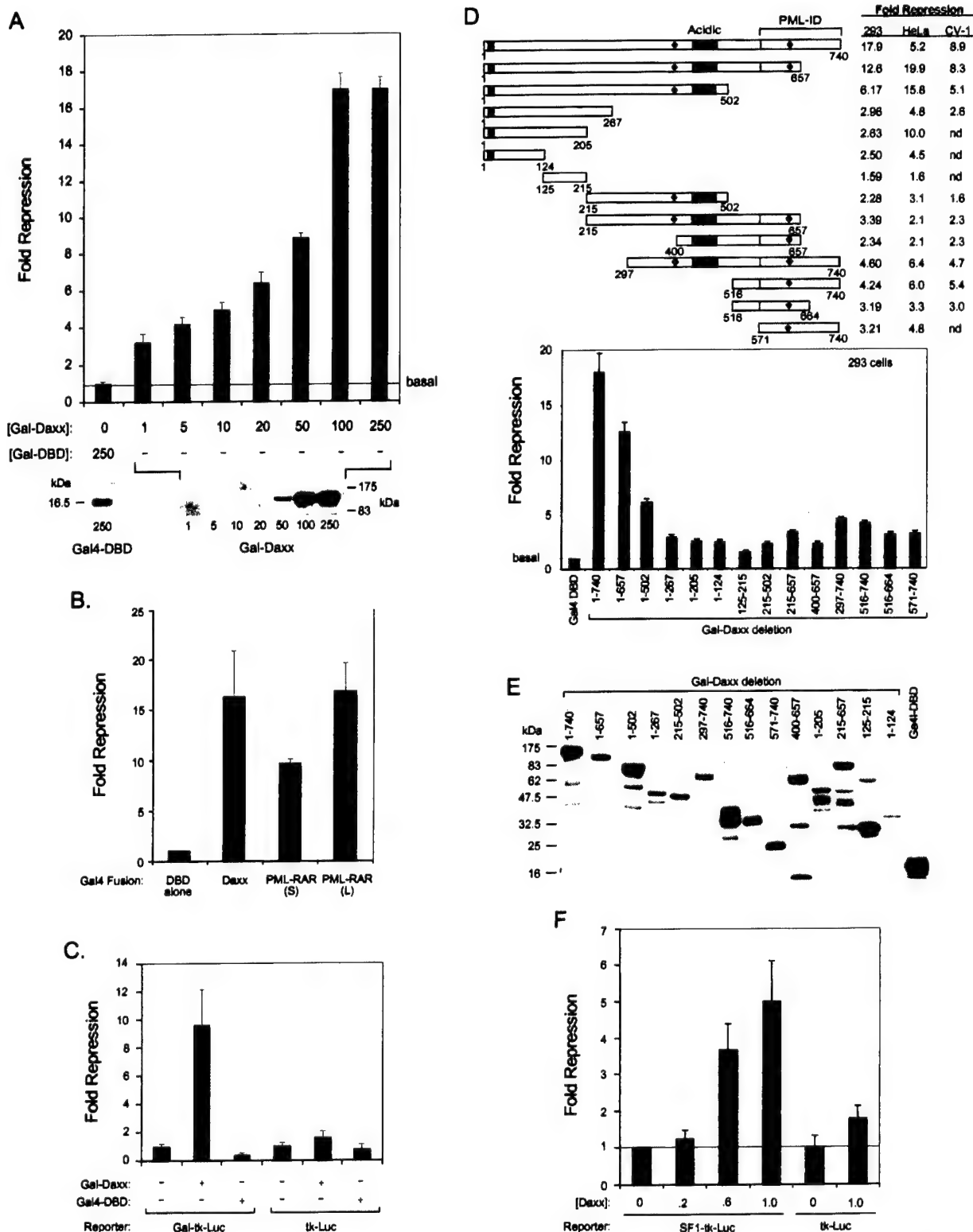


FIG. 3. Modulation of promoter activity by Daxx. (A) Transcriptional repression by Gal-Daxx. Recruitment of Daxx to a promoter via Gal4-DBD results in inhibition of basal transcription in a dose-dependent manner. Transient transfection was conducted in HEK293 cells with increasing concentrations (nanograms) of Gal-Daxx as indicated. The relative fold repression of the basal promoter activity in the presence of Gal-Daxx was compared to that of Gal4-DBD alone. The bottom panels show immunoblots with anti-Gal4-DBD antibodies of the transfected fusion protein at indicated concentrations of expression vector. (B) Daxx represses basal transcription as strong as PML-RAR $\alpha$ . HEK293 cells were transfected with equal amounts (250 ng) of each expression vector, and the relative repression was determined as described in Materials and Methods. The results show that Gal-Daxx represses basal transcription as strongly as Gal-PML-RAR $\alpha$ . (C) Requirement of binding sites for transcriptional repression by Gal-Daxx. HEK293 cells were transfected with 250 ng of Gal-Daxx or Gal4-DBD alone, and the effects on the promoter activities of Gal-tk-luciferase (luc) and tk-luc reporters were determined. The Gal-tk-luc reporter contains four copies of Gal4-binding sites in front of the minimal tk promoter,

of PML-RAR $\alpha$  fusion protein in the microparticulate structures (17) supports the observed interaction between Daxx and PML-RAR $\alpha$  (Fig. 1). Upon atRA treatment, PML-RAR $\alpha$  is degraded in NB4 cells (47), and these microparticulate structures reorganize into normal size of the PODs (17, 65), where Daxx and PML remain colocalized. The colocalization between Daxx and PML is more evident in NB4 cells treated with As<sub>2</sub>O<sub>3</sub>, in which larger and fewer PODs are observed. These results suggest that Daxx and PML colocalize in APL NB4 cells, and such colocalization persists after reorganization of the PODs induced by atRA or As<sub>2</sub>O<sub>3</sub>.

**Daxx represses basal transcription.** Several POD-associated proteins, including PML, are implicated in transcriptional regulation (for reviews see references 34 and 39). Since Daxx interacts with PML and localizes at the PODs, we decided to test whether Daxx might regulate transcription. Transfection of the Gal4-DBD full-length Daxx fusion protein (Gal-Daxx) in HEK293 cells strongly inhibits basal transcription of the Gal4-tk-luciferase reporter in a dose-dependent manner (Fig. 3A, top). Western blotting using anti-Gal4 DBD antibodies confirms increased expression of Gal-Daxx in transfected cells in the presence of higher concentrations of DNA (Fig. 3A, bottom). Comparison of Daxx-mediated transcriptional repression with that of PML-RAR $\alpha$  fusion proteins indicates that Daxx represses as strongly as the PML-RAR $\alpha$  oncoprotein (Fig. 3B). Moreover, repression by Gal-Daxx requires Gal4-binding sites (Fig. 3C) and occurs in multiple cell types (Fig. 3D), demonstrating the specificity of the observed Daxx-mediated transcriptional repression.

We attempted to determine the sequences in Daxx that are responsible for the repression activity by standard deletion analysis (Fig. 3D). Progressive deletion from the C terminus to residue 124 gradually reduces the repression activity of Daxx in a cell-type-dependent manner. Deletions of the N terminus and several other mutants also show a significant decrease in repression. Equal expression of these Gal-Daxx deletion proteins in transfected cells is confirmed by Western blotting using the anti-Gal4 DBD antibodies (Fig. 3E). These data suggest that multiple regions of Daxx may be important for transcriptional repression in a cell-type-dependent manner.

Daxx was previously isolated in a yeast one-hybrid screen using a reporter containing a SF1-like element (32). We decided to investigate whether Daxx can repress transcription from a promoter containing the SF1-like element in a transient-transfection assay (Fig. 3F). As expected, overexpression of wild-type Daxx represses basal transcription from the SF1-tk-luciferase reporter that contains four copies of the SF1-like element, while it has little effect on the tk-luciferase reporter lacking the SF1 sites. These data indicate that Daxx may repress the basal transcription of natural promoters containing SF1-like elements, a result consistent with the strong repressor activity observed with the Gal-Daxx fusion protein.

**Daxx interacts with HDACs.** Histone deacetylation has been demonstrated to play a central role in transcriptional repression by inducing chromatin assembly and condensation (49, 66). To determine whether histone deacetylation is required

for Daxx-mediated transcriptional repression, we analyzed the interaction between Daxx and the three available human histone deacetylases (HDACs) (67). The three human HDACs are highly conserved in structure and function. All of them repress basal transcription in the Gal4-DBD fusion assay, and all display histone deacetylase activity (67). Far-Western analyses demonstrate interactions between Daxx and all three GST-HDAC fusion proteins, but not GST alone, while PML and SP100 show no interaction with any of these GST-HDACs under the assay conditions (Fig. 4A and B and data not shown). A positive control shows that PML interacts efficiently with GST-PML under identical conditions (Fig. 4C). Furthermore, a Daxx mutant (amino acids 400 to 657) that possesses weak repression activity also does not interact with HDAC1 (Fig. 4C). These data support a role for HDAC interaction in Daxx-mediated transcriptional repression. The interaction between Daxx and HDAC1 is further confirmed in a GST pull-down assay (Fig. 4D), in which GST-HDAC1, but not GST alone, precipitates about 20% of input <sup>35</sup>S-labeled Daxx. Moreover, an interaction between Daxx and HDAC1 *in vivo* is also observed by Co-IP of HeLa nuclear extracts (Fig. 4E), in which HDAC1 coimmunoprecipitates with Daxx antibodies but not with the preimmune serum. Together, these experiments provide strong evidence that Daxx and HDACs interact *in vitro* and *in vivo*.

**HDAC inhibitor reverses Daxx-mediated repression.** The physical interaction observed between Daxx and HDAC suggests that Daxx may recruit a HDAC corepressor complex to repress basal transcription via histone deacetylation and chromatin condensation. To provide more evidence for this possibility, we assayed the effect of a histone deacetylase inhibitor, trichostatin A (TSA), on the repressor activity of Gal-Daxx in a transient-transfection assay (Fig. 4F). As expected, TSA reverses transcriptional repression by Gal-Daxx in a dose-dependent manner, while it has little effect on Gal4-DBD alone under identical conditions. These data indicate that histone deacetylation is involved in transcriptional repression by Daxx.

**Inhibition of Daxx-mediated transcriptional repression by PML.** Since Daxx was identified as a PML-interacting protein and subsequently demonstrated to possess strong transcriptional repression activity, we decided to investigate the role of PML in the regulation of transcriptional repression by Daxx. To do this, Gal-Daxx was cotransfected with increasing amounts of full-length PML into HEK293 cells and the activity of the luciferase reporter was measured (Fig. 5A). As observed above, Gal-Daxx represses reporter expression strongly when compared to the Gal4-DBD alone (Fig. 5A, compare lanes 1 and 6). Interestingly, coexpression of increasing amounts of PML inhibits this repression in a dose-dependent manner, abolishing nearly all of the repressor function of Gal-Daxx (lanes 2 to 5). This effect is specific to Gal-Daxx, for cotransfection of PML with the Gal4-DBD alone has little effect on reporter activity (lanes 7 and 8). These data suggest that PML may inhibit Daxx-mediated transcriptional repression.

Similar experiments were then performed to determine if PML-RAR $\alpha$  might also regulate the function of Gal-Daxx.

while the tk-luc lacks the binding sites. (D) Mapping of the Daxx sequences required for repression. Schematic presentation of Gal-Daxx deletion mutants and their effects on promoter activity in HEK293, HeLa, and CV-1 cells are summarized. The two acidic regions are indicated by black bars, and the two potential nuclear localization signals are marked with diamonds. The bottom graph shows a column presentation of the repression activity of various Gal-Daxx deletion mutants in HEK293 cells. (E) Expression of Gal-Daxx mutants in transfected cells. The transfected lysates were analyzed by immunoblotting by using mouse anti-Gal4-DBD monoclonal antibodies. The top band in each lane represents the expected molecular weights of the Gal-Daxx mutants, except for Gal-Daxx (125-215), where the lower band is the expected product. The deviation in protein expression level was compensated by normalization of luciferase activity with the coexpressed  $\beta$ -Gal activity. (F) Inhibition of basal transcription from a natural promoter by Daxx. Wild-type Daxx was transfected into HEK293 cells together with either the SF1-tk-luciferase or tk-luciferase reporter. The fold repression of the luciferase activity at increasing concentrations (micrograms) of Daxx is presented.

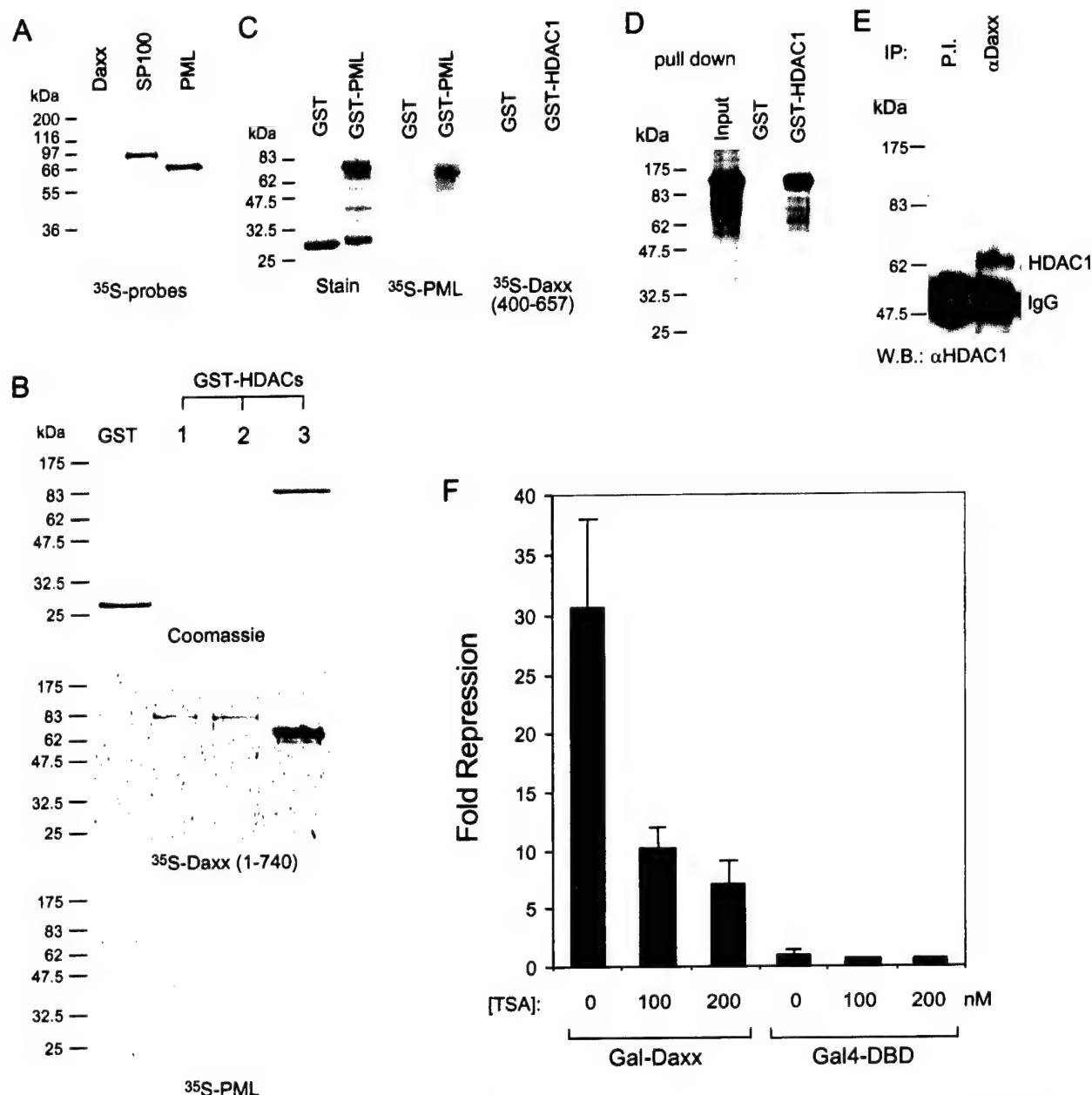


FIG. 4. Interaction of Daxx with HDACs. (A)  $^{35}\text{S}$ -labeled protein probes used in the far-Western assays. In vitro-translated [ $^{35}\text{S}$ ]methionine-labeled Daxx, PML, and SP100 were analyzed by SDS-PAGE and detected by autoradiography. (B) Far-Western analysis of interaction between Daxx and HDACs. The top panel shows the Coomassie blue-stained proteins used in the far-Western assay. The middle panel shows the far-Western blot of GST-HDACs with the  $^{35}\text{S}$ -labeled Daxx probe. The bottom panel shows the far-Western blot with the  $^{35}\text{S}$ -labeled PML probe. (C) PML interacts with GST-PML in the far-Western assay. A positive control showing that PML interacts with GST-PML in the far-Western assay was conducted under conditions identical to those for panel B. A far-Western blot showing that the Daxx mutant (400-657) fails to interact with GST-HDAC1 fusion protein. (D) GST pull-down assay showing interaction between GST-HDAC1 and Daxx. The input  $^{35}\text{S}$ -labeled Daxx contains one-third of the lysate used in the pull-down reaction, which was conducted as described in the Materials and Methods. (E) Co-IP of HDAC1 and Daxx. HeLa nuclear extracts were incubated with affinity-purified anti-Daxx antibody or an equal concentration of the preimmune serum. The immunoprecipitates were resolved by SDS-PAGE and analyzed by Western blot by using anti-HDAC1 polyclonal antibodies. (F) TSA reverses transcriptional repression by Gal-Daxx. HEK293 cells were transfected with 250 ng of Gal4-DBD or Gal-Daxx mammalian expression vector together with a Gal4-dependent luciferase reporter. The fold repression by Gal-Daxx at different concentrations of TSA was determined relative to that for the Gal4-DBD alone.

When either the short or long forms of PML-RAR $\alpha$  were cotransfected with Gal-Daxx, the repression activity of Gal-Daxx was unchanged (Fig. 5B). Thus, despite the observation that both PML and PML-RAR $\alpha$  interact with Daxx, only PML can inhibit the ability of Daxx to repress transcription, suggesting a differential role of PML and its oncogenic fusion protein in regulation of Daxx function.

**PML recruits Daxx to the POD.** To elucidate the mechanism by which PML blocks Daxx-mediated transcriptional repression, immunofluorescence microscopy was used to investigate the subcellular localization of Gal-Daxx upon coexpression of PML. In these experiments, HEp2 cells were transiently transfected with Gal-Daxx in the absence or presence of HA-PML and subsequently stained with the mouse anti-Gal4-DBD and



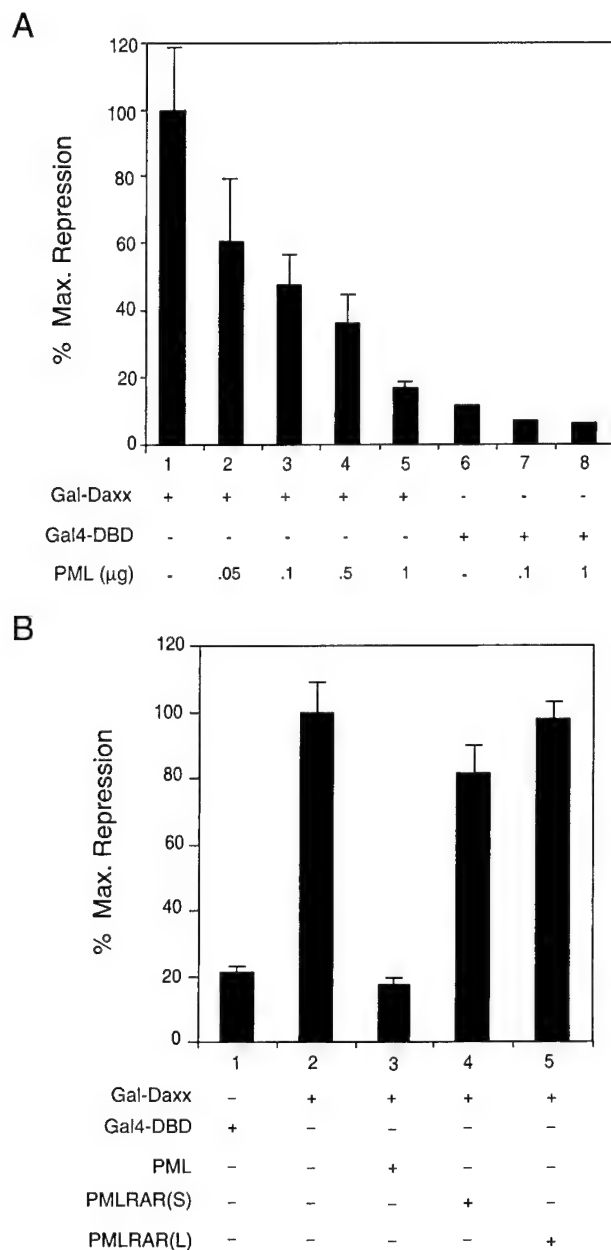


FIG. 5. Inhibition of Daxx-mediated transcriptional repression by PML. (A) PML inhibits Daxx-mediated transcriptional repression. HEK293 cells were transiently transfected with 100 ng of the Gal4-DBD or Gal-Daxx mammalian expression vectors in the absence or presence of the indicated amounts of PML expression vector together with a Gal4-dependent luciferase reporter. Data are presented as the percentage of maximum repression, where Gal-Daxx activity is represented as 100% repression. (B) PML-RAR $\alpha$  has no effect on Daxx-mediated transcriptional repression. HEK293 cells were transiently transfected with 100 ng of the Gal4-DBD or Gal-Daxx mammalian expression vectors in the absence or presence of expression vectors for PML, PML-RAR $\alpha$  (short form), or PML-RAR $\alpha$  (long form), together with a Gal4-dependent luciferase reporter. Data are presented as the percentage of maximum repression, where Gal-Daxx activity is represented as 100% repression.

rabbit anti-HA antibodies (Fig. 6A). When Gal-Daxx was overexpressed alone in HEP2 cells, a fairly diffuse, evenly distributed staining pattern is observed in the nucleus (Fig. 6A, panels a and b). Cotransfection of PML drastically alters the

distribution of Gal-Daxx, for nearly all of the Gal-Daxx protein is recruited to the PODs, even at very high levels of Gal-Daxx expression (Fig. 6A, panels c to f). Examination of the localization of these enlarged PODs indicates that they occupy the loose chromatin regions (Fig. 6Ae and f), similar to the localization of PODs in the absence of PML overexpression. On the contrary, cotransfection of PML does not recruit a Daxx mutant (Gal-Daxx 1-502) lacking the PML-interacting domain to the PODs (Fig. 6A, panels g to j), suggesting the specificity of the assay. The abilities of PML to reverse Daxx-mediated repression and to recruit Daxx to the PODs support the hypothesis that PML may inhibit Daxx repressor function by sequestration of Daxx to the PODs.

**PML recruits endogenous Daxx to the PODs.** To address whether recruitment of Daxx to the PODs also occurs at the endogenous levels of Daxx, HA-PML was transfected into HEP2 cells alone, and the localization of endogenous Daxx was analyzed by immunofluorescence staining by using anti-Daxx antibodies (Fig. 6B). Double immunostaining with anti-PML antibodies reveals that overexpression of PML leads to accumulation of endogenous Daxx to the PODs, resulting in reduced nucleoplasmic staining (Fig. 6B, panels a to c). Recruitment of endogenous Daxx to the PODs is confirmed with anti-HA antibodies that detect only the transfected HA-PML (Fig. 6B, panels d to f). These data indicate that PML is able to recruit endogenous nucleoplasmic Daxx to the PODs.

**PML does not recruit HDAC1 to the PODs.** So far we have shown that Daxx interacts with HDACs (Fig. 4) and that PML recruits Daxx to the PODs (Fig. 6). Accordingly, we wished to determine the localization of HDAC and other corepressors, such as SMRT, after PML overexpression. We find that overexpression of PML does not alter the distribution of HDAC1 or SMRT (Fig. 6B, panels g to l), suggesting that PML may segregate Daxx away from the corepressor complex. These observations are consistent with a speculative mechanism by which PML may inhibit transcriptional repression of Daxx via sequestering Daxx to the PODs.

**SUMO-1 modification of PML is required for recruitment of Daxx to the PODs.** To determine if SUMO-1 modification of PML may play a role in Daxx interaction, we generated a PML mutant with all three SUMO-1 modification lysine residues replaced with arginines by site-directed mutagenesis, based on a prior study that mapped the modification sites (31). Upon mutation of the three lysine residues of PML, we no longer observe SUMO-1-conjugated forms of PML, even after treatment of the transfected cells with As<sub>2</sub>O<sub>3</sub> and coexpression with SUMO-1 (Fig. 7A). This PML  $\Delta$ SUMO mutant behaves similarly to the wild-type protein in localizing to the PODs and in enlarging the POD structure (Fig. 7B, panels a, d, and g). Interestingly, while this SUMO-1-deficient mutant is capable of localizing to PODs (panels b and c and panels d and e), overaccumulation of the mutant protein in the PODs fails to recruit nucleoplasmic Daxx (Fig. 7B, panels g to i). In contrast, many of the enlarged PODs show reduced staining of Daxx (Fig. 7B, panels g to i), suggesting that accumulation of the unmodified form of PML in the PODs may lead to the disappearance of Daxx in PODs. These data suggest SUMO-1 modification as being the underlying mechanism for the observed interaction and colocalization between Daxx and PML in vivo.

**SUMO-1 modification of PML is required for efficient inhibition of Daxx-mediated repression.** If our hypothesis that recruitment of Daxx to the POD is inhibitory to its transcriptional repression activity, one would predict that the PML  $\Delta$ SUMO mutant that fails to recruit Daxx to the PODs will be defective in reversing transcriptional repression by Daxx. As expected, we found that the PML  $\Delta$ SUMO mutant is less

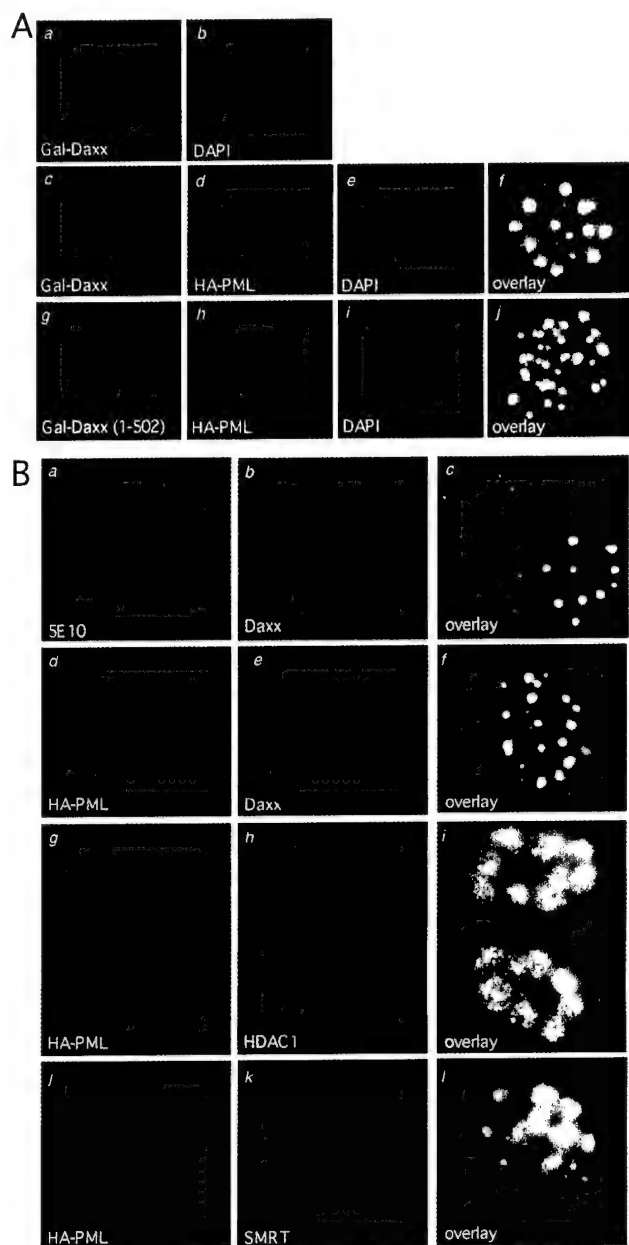


FIG. 6. Recruitment of Daxx to POD domains by overexpression of PML. (A) Overexpression of PML recruits transfected Gal-Daxx into the PODs. Gal-Daxx or Gal-Daxx (1-502) were transiently transfected into Hep2 cells in the absence or presence of HA-tagged PML and subsequently stained with the mouse anti-Gal4-DBD and rabbit anti-HA antibodies. Primary antibodies were detected with rhodamine-conjugated anti-mouse immunoglobulin G and fluorescein isothiocyanate-conjugated anti-rabbit immunoglobulin G secondary antibodies and analyzed by immunofluorescence microscopy. Panels a and b show diffuse nuclear staining of Gal-Daxx in the absence of PML. Panels c to f show Gal-Daxx and HA-PML colocalization at the PODs. Panels g to j show that HA-PML cannot recruit a Gal-Daxx (1-502) mutant lacking the PML-interacting domain to the PODs. (B) Recruitment of endogenous Daxx but not HDAC1 and SMRT to the PODs. HA-PML was transfected into Hep2 cells, and the localization of endogenous Daxx, HDAC1, and SMRT was analyzed by immunofluorescence microscopy. Panels a to c show colocalization of transfected and endogenous PML with endogenous Daxx by using anti-PML monoclonal 5E10 and anti-Daxx rabbit polyclonal antibodies. Panels d to f show HA-PML and Daxx colocalization by using the anti-HA monoclonal and anti-Daxx polyclonal antibodies. Panels g to l demonstrate that HA-PML does not recruit HDAC1 or SMRT to the PODs by using anti-HA, anti-HDAC1, or anti-SMRT antibodies. Yellow signals in the overlay images indicate colocalization.

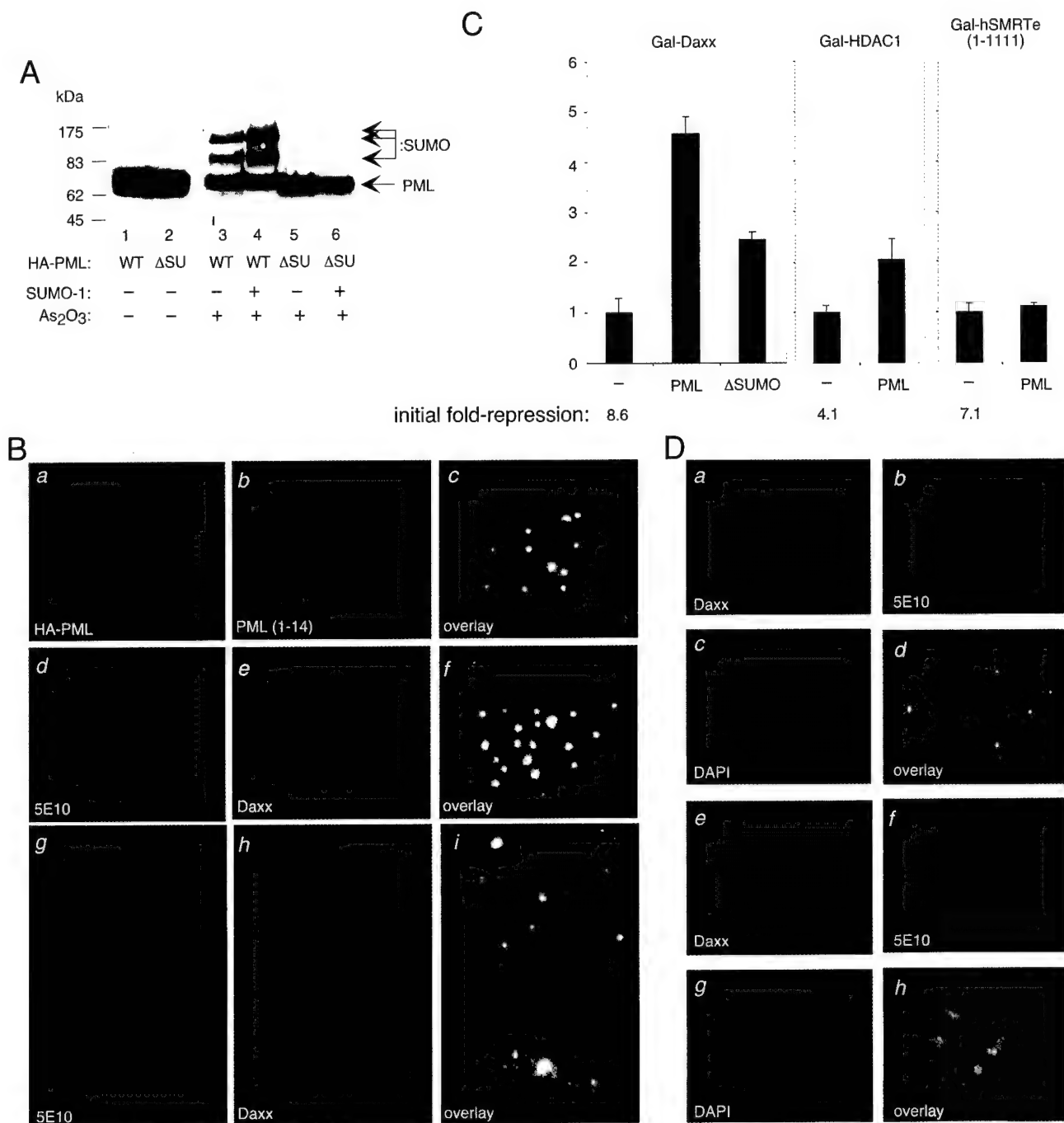
effective in reversing transcriptional repression by Daxx in transient transfection (Fig. 7C). Furthermore, we found that the wild-type PML is incapable of reversing transcriptional repression by Gal-HDAC1 and Gal-SMRTe (Fig. 7C). These data correlate with immunofluorescence studies demonstrating PML recruitment of Daxx, but not HDAC or SMRT, to the POD, where it presumably is unable to repress transcription.

**Daxx is associated with condensed chromatin in the absence of PML.** To provide further evidence that the demonstrated functional interactions between Daxx and PML may be physiologically relevant, we screened several cell lines to find a cell type that may display abnormal localization of Daxx and/or PML. We identified the embryonic carcinoma NT2 cell line; upon staining with the anti-PML antibody, it is evident that only a subset of these cells express PML and thus contain PODs (Fig. 7D). In these cells, PML and Daxx colocalize in the PODs (panels a to d). However, in cells lacking detectable PODs, Daxx forms aggregates around the condensed chromatin (Fig. 7D, panels e to h). Therefore, the localization and thus the function of Daxx may depend on the level of PML in the cell. At low PML levels, Daxx is concentrated at condensed chromatin, where it may repress transcription. When PML levels are higher, it is able to recruit Daxx away from condensed chromatin to the PODs, where Daxx no longer represses basal transcription.

## DISCUSSION

In the present study, we have identified Daxx as a PML-interacting protein and characterized the functional interaction between Daxx and PML. We find a majority of Daxx in the nucleus of HeLa and Hep2 cells where it colocalizes with PML in the PODs. In the NB4 APL cell line, Daxx is distributed in the microparticulate structures that contain the PML-RAR $\alpha$  oncoprotein (17). The repressor function of Daxx is observed upon tethering it to a reporter gene via a heterologous DNA binding domain, as well as from a reporter containing a natural SF1-like promoter element. The mechanism by which Daxx represses basal transcription is found as involving histone deacetylation, for Daxx interacts with HDACs *in vitro* and *in vivo* and the histone deacetylase inhibitor, TSA, blocks the repressor activity. Coexpression of PML reverses the transcriptional repression by Daxx, which, in turn, correlates with the recruitment of Daxx to the PODs. In addition, we show that SUMO-1 modification of PML is required for both recruitment of Daxx to the PODs and efficient inhibition of Daxx-mediated repression. The physiological role of Daxx in transcriptional repression is further supported by the observation that Daxx associates with condensed chromatin in cells that lack PML. Together, these data establish novel roles for Daxx, as a transcriptional repressor, and for PML, as a protein that can potentially regulate the repressor function of Daxx.

Consistent with our findings, Daxx has recently been identified as an inhibitor of transcriptional activation by Pax3, a member of the homeodomain family of transcription factors (28). Thus, Daxx not only is able to repress basal transcription, as suggested from our data, but can also inhibit transcriptional activation via interactions with DNA-binding transcription factors. While the exact mechanism of the inhibition of Pax3 transactivation by Daxx is unclear, our data elucidate the mechanism of Daxx-mediated repression of basal transcription as involving histone deacetylation. We observe Daxx localization to condensed chromatin in NT2 cells that lack detectable PML. Condensed chromatin is considered as a site of transcriptional repression that also includes transcriptionally silent centromeric heterochromatin. Other POD-associated pro-



**FIG. 7.** SUMO-1 modification of PML is required for sequestration of Daxx to the POD and inhibition of Daxx-mediated transcriptional repression. (A) The PML  $\Delta$ SUMO ( $\Delta$ SU) mutant lacks SUMO-1 modification. This mutant was created by replacing all three lysines at residues 65, 160, and 490 with arginines. The wild-type (WT) PML and the  $\Delta$ SUMO mutant were transfected into HEK293 cells alone or in combination with a SUMO-1 expression vector. Cells were treated with 1  $\mu$ M arsenic trioxide (As<sub>2</sub>O<sub>3</sub>) for 6 h where indicated. The total cell lysates were analyzed by Western blotting by using anti-HA monoclonal antibodies. The upper bands in the wild-type proteins represent SUMO-1 conjugated forms of PML. (B) The PML  $\Delta$ SUMO mutant localizes to the PODs but fails to recruit Daxx. The HA-PML  $\Delta$ SUMO mutant was transfected into HEK293 cells and analyzed by immunofluorescence microscopy to detect localization of the transfected mutant protein and the distribution of endogenous Daxx. Panels a to c show localization of the transfected HA-PML  $\Delta$ SUMO mutant protein (detected by a HA antibody) in the PODs that were revealed by a PML polyclonal antibody (1-14). Panels d to f show that the enlarged PODs in the HA-PML  $\Delta$ SUMO mutant transfected cells do not result in prominent recruitment of Daxx to the PODs. Panels g to i show that many enlarged PODs containing PML- $\Delta$ SUMO mutant have little or no Daxx protein. The 5E10 antibodies also detect PODs in untransfected cells that show smaller structures colocalized with Daxx foci. (C) The PML  $\Delta$ SUMO mutant is deficient in reversing transcriptional repression by Daxx. The transfection was conducted in HEK293 cells, and the initial fold repression mediated by the Gal4-DBD fusion proteins is as indicated at the bottom. The y axis indicates the fold reversal of repression. The wild-type PML does not reverse transcriptional repression mediated by HDAC1 or SMRTe. (D) Association of Daxx with condensed chromatin in cells that lack PODs. The human neuronal NT2 stem cells were analyzed by double immunofluorescence staining with anti-Daxx polyclonal and anti-PML 5E10 monoclonal antibodies. The NT2 cells display heterogeneous staining for PML. In cells that contain normal PML nuclear bodies (panels a to d), Daxx appears normal and shows complete colocalization with PML. In contrast, cells that contain only two or fewer PML nuclear bodies show aggregated Daxx surrounding the condensed chromatin areas stained with DAPI (panels e to h).

teins, such as SP100, have been demonstrated to interact with heterochromatin protein 1 (HP1) and also colocalize with centromeric chromatin (10, 54). Consistent with this idea, Daxx has been shown to interact with CENP-C in a yeast two-hybrid assay and partially to colocalize with interphase centromeres (50). Also, Daxx has been shown to interact with DNA methyltransferase 1, which plays a role in gene silencing (43).

Previous studies have implicated the PODs as sites of transcriptional activation. For example, PML has been demonstrated to interact with the transcription coactivator CBP and recruit CBP to the PODs (15, 35). Furthermore, PML can enhance the transactivation functions of both CBP and members of the nuclear receptor superfamily (15). PML also induces genes of the major histocompatibility complex, while PML<sup>-/-</sup> mice display reduced transactivation responses to atRA (64, 69). Finally, the transcriptional activator Sp140 (5, 6) and nascent RNA (35) have been found in at least a subset of PODs. Our findings that Daxx represses basal transcription and PML inhibits such repressor activity suggest a new role for the POD structure in gene regulation. The POD may enhance transcription of target genes not only through recruitment of activators but also through the inactivation of repressors such as Daxx via recruitment by PML. Because other transcriptional repressors, such as PLZF, pRB, and Sp100, have also been found in the PODs, it will be interesting to determine if PML can regulate the repressor activities of these proteins as well.

Our observations that PML-RAR $\alpha$  can interact with Daxx but not inhibit transcriptional repression by Daxx suggest a potential role for Daxx in acute promyelocytic leukemia. Support for this hypothesis is evident in our finding that Daxx, PML-RAR $\alpha$ , and PML colocalize at diffusely distributed microparticulate structures in nucleus of the APL NB4 cells. The PML-RAR $\alpha$  fusion protein disrupts the POD structure in these cells and, through its interaction with Daxx, may direct Daxx to the microparticulate structures, where it is capable of repressing gene expression. PML-RAR $\alpha$  itself is a potent transcriptional repressor, which acts via the recruitment of the corepressors SMRT, N-CoR, and HDAC1 (40). The POD structure is reorganized upon treatment of these cells with atRA or arsenic trioxide, leading to the degradation of the PML-RAR $\alpha$  fusion protein and colocalization of Daxx and PML in the PODs (47). Therefore, Daxx inactivation through localization to the PODs may be critical to the differentiation of normal hematopoietic cells. Since expression of the PML-RAR $\alpha$  fusion protein disrupts the integrity of the PODs, Daxx may act as a constitutive repressor in the APL cells, which along with the repressor function of PML-RAR $\alpha$ , may block expression of specific genes that are critical for cell differentiation and culminate in the subsequent APL pathology.

Daxx was initially identified as a Fas-binding protein that promoted Fas-mediated apoptosis via activation of the JNK kinase cascade pathway (12, 68). Interestingly, PML has also been found to be involved in apoptosis triggered by Fas, tumor necrosis factor alpha, and type I and II interferons, possibly by recruitment of the death effector Bax and cdk inhibitor p21 (37, 51, 64). In contrast, expression of PML-RAR $\alpha$  prevents apoptosis in response to these signals (51). Our findings, together with these reports, suggest that the regulation of Daxx repressor function by PML may also be important in programmed cell death. Consistent with this possibility, several transcriptional repressors are known to play a role in apoptosis. For example, the adenovirus E1B and the cellular Bcl-2 oncoprotein block p53-mediated apoptosis by inhibiting transcriptional repression by p53, suggesting that p53 induces apoptosis via transcriptional repression (52, 56). In the case of Daxx, PML may recruit it to the PODs, where it is inactivated,

thus allowing the expression of certain genes required for apoptosis. Conversely, PML-RAR $\alpha$  might inhibit apoptosis in APL cells through disruption of the PODs, thereby promoting enhanced or constitutive repression of these target genes by Daxx and the PML-RAR $\alpha$  fusion protein itself, which leads to the APL phenotype. Retinoic acid treatment would stimulate degradation of PML-RAR $\alpha$  and restoration of the POD structure (17, 47, 65). This would allow Daxx to be inactivated through sequestration to the PODs and allow apoptosis to proceed and would eventually lead to remission of the APL phenotype. Because PML can shuttle between the nucleus and cytoplasm (59, 60), it is possible that Daxx may be brought along with PML to regulate cytoplasmic events relevant to Fas-mediated apoptosis. However, a recent study reports that the loss of Daxx leads to extensive apoptosis in early mouse development (43), a result seemingly opposite to other findings concerning the function of Daxx in apoptosis (12, 13, 68). Therefore, the precise role of Daxx in apoptosis remains to be further elucidated.

Our data provide strong evidence for the roles of PML and the PODs in regulating the function of Daxx as a transcriptional repressor. Daxx and PML interact *in vivo* and colocalize in the PODs. Overexpression of PML recruits Daxx to the PODs, which correlates with a complete inhibition of transcriptional repression by Daxx. Although the detailed mechanism of this inhibition of Daxx by PML remains to be determined, our data provide several possibilities. First, PML might inactivate Daxx by transporting it to the PODs and separating it from HDAC and putative target genes. In response to certain stimuli such as interferon, PML levels increase in the PODs, which, via competition for Daxx binding or conformational change of Daxx upon PML binding, might result in the dissociation of Daxx from HDAC and recruitment of Daxx, but not HDAC, to the PODs. Confinement of Daxx in the PODs would thus block access to target genes, whose expression level would then increase to at least the basal level in the absence of Daxx repression. Our findings that PML overexpression results in increased Daxx levels in the PODs, while having no effect on HDAC1 distribution or repression by HDAC1, support this possibility. Alternatively, the increased PML levels may dissociate HDAC from Daxx and recruit both Daxx and its putative target genes, but not HDAC, to the PODs. Because Daxx requires HDAC and histone deacetylation for its repressor activity, the target genes may be expressed in the absence of HDAC. The presence of transcriptional activators in the PODs would facilitate transcription of target genes. With either possibility, it is evident that the POD is involved in maintaining the balance of Daxx function, depending on the PML level. At normal, physiological levels of PML, Daxx might repress transcription at areas of condensed chromatin. However, with increased PML expression, more Daxx is recruited to the PODs, thus reducing its overall repression activity. Although the precise mechanism of the inhibition of Daxx repression by the PODs awaits further investigation, our data clearly reveal a novel connection between Daxx and PML in regulating transcriptional repression that may play a critical role in acute promyelocytic leukemia and apoptosis.

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H.L. and C.L. contributed equally to this work.

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# Role of Retinoid Receptor Coactivator Pockets in Cofactor Recruitment and Transcriptional Regulation\*

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**AQ: A** The nuclear receptor for retinoic acid (RAR) forms a heterodimeric complex with the retinoid X receptor (RXR). This RXR/RAR heterodimer binds to the promoter of retinoic acid target genes and recruits coactivators and corepressors to regulate gene expression. **AQ: B** Currently, the role of each receptor monomer in regulating coactivator and corepressor recruitment remains largely unknown. Here we show that the receptor-associated coactivator 3 (RAC3) uses two separate LXXLL motifs to bind RAR and RXR. The mutation of the coactivator-binding pockets of RAR and RXR abolishes RAC3 binding. Although the coactivator pocket of RXR is essential for the function of the RXR homodimer, it has a minor role for the recruitment of RAC3 and transactivation by the RXR/RAR heterodimer. Consistently, deletion of the activation function 2 helix of RXR enhances binding of RAC3 to the heterodimer, and mutation of the coactivator pocket of RXR had little effect on RXR/RAR activity. **AQ: C** In contrast, the coactivator pocket and the activation function 2 helix of RAR are absolutely required. We also show that different residues of the RAR coactivator pocket are used differently for interactions with the corepressor silencing mediator for retinoid and thyroid hormone receptor and coactivator RAC3. **AQ: D** These results indicate a differential role for each retinoid receptor to the overall binding of cofactors and regulation of transcription by the retinoid receptor heterodimer.

**AQ: E** The steroid/nuclear hormone receptor superfamily is a large class of ligand-dependent transcription factors that plays critical roles in regulating genes involved in a wide array of biological processes including development and homeostasis (1). In the absence of a ligand, several receptors are able to repress basal transcription via functional interactions with the corepressors SMRT<sup>1</sup> and nuclear receptor corepressor (2, 3). Ligand **Fnl**

binding triggers the release of corepressors and subsequent recruitment of coactivators, which enhance transcription by recruiting chromatin-modifying activities such as histone acetylation and methylation (4). Coactivators directly recruited by liganded receptors include members of the steroid receptor coactivator/p160 family such as SRC-1, transcriptional intermediary factor 2/glucocorticoid receptor interacting protein 1, and RAC3/activator of thyroid and retinoic acid receptors/amplified in breast cancer 1 (5). These coactivators contain highly conserved  $\alpha$ -helical LXXLL motifs, where L is leucine and X is any amino acid (6, 7). Previous analyses of these motifs have indicated that motifs i, ii, and iii are critical to ligand-dependent interactions with nuclear receptors. In contrast, motifs iv, v, and vi are important for transcriptional activation likely via direct interaction with CREB-binding protein/p300 (6–8). Our laboratory and others have also uncovered a receptor-specific code of interaction, where different nuclear receptors prefer different LXXLL motifs of the coactivator (9–12).

Further insight into the biochemical basis of these interactions comes from crystal structures of the receptor ligand-binding domain complexed with the LXXLL peptide (13–16). These studies suggest a ligand-dependent formation of a hydrophobic pocket in the ligand-binding domain consisting of helices 3, 4, 5, and 12. The leucines of the  $\alpha$ -helical LXXLL motif make direct contacts with this coactivator pocket, and a single LXXLL peptide interacts with each monomer of the receptor dimer. In addition, a “charge clamp,” consisting of a conserved glutamate in the AF-2 helix (helix 12/H12) and a conserved lysine in helix 3, positions the LXXLL motif into the coactivator pocket of the receptor (15). Interestingly, in the antagonist-bound structure, helix 12 mimics the LXXLL motif and occludes the coactivator site, consistent with the inability of coactivators to bind antagonist-bound receptors (16, 17). Recent studies also suggested a similar mechanism of interaction for the corepressors SMRT and nuclear receptor corepressor with unliganded receptors (18–20). Both proteins contain LXXLL-like motifs in their respective receptor-interacting domains (ID) (20). These motifs share a consensus sequence of LXXI/HLXXXI/L and form  $\alpha$ -helices. Mutation of these motifs blocked the interaction with unliganded receptors and abolished transcriptional repression. Furthermore, using mutational analysis and molecular modeling, the corepressor motif seems to contact the receptor at the same surface that accommodates the LXXLL motif of the coactivator (18).

The receptors for retinoic acid (RAR), thyroid hormone (TR), vitamin D3 (VDR), and peroxisome proliferators (PPAR) form heterodimeric complexes with the retinoid X receptor (RXR). These RXR heterodimers are physiologically relevant complexes that bind DNA and regulate gene expression. However,

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<sup>1</sup> The abbreviations used are: SMRT, silencing mediator for retinoid and thyroid hormone receptor; SRC, steroid receptor coactivator; RAC3, receptor-associated coactivator 3; AF-2, activation function 2; ID, receptor-interacting domain; RAR, retinoic acid receptor; TR, thyroid hormone receptor; VDR, vitamin D3 receptor; PPAR, peroxisome proliferator receptor; RXR, retinoid X receptor; GST, glutathione S-transferase; DR, direct repeat; 9-*cis*-RA, 9-*cis*-retinoic acid; atRA, all-*trans*-retinoic acid; TTNPB, (E)-4-[2-(5,6,7,8-tetrahydro-5,5,8,8-tet-

ramethyl-2-naphthalenyl)-1-propenyl] benzoic acid; m1, leucine 276/valine 280 from helix 3; m2, valine 298/leucine 301 from helix 5.

the role of each receptor monomer in the context of a receptor dimer in regulating coactivator and corepressor recruitment remains largely unknown. In this study, we have characterized the mechanism of recruitment of the coactivator RAC3 and corepressor SMRT to the RXR/RAR heterodimer. We demonstrate that the multiple LXXLL motifs of RAC3 are differentially required for interactions with RAR and RXR. The coactivator and corepressor binding pockets of RAR overlap extensively. However, differences in contribution from each helix of the pocket are evident. We find that although the coactivator pocket of RXR is essential to coactivator binding and transcriptional activation by the RXR homodimer, this pocket is not sufficient for the RXR/RAR heterodimer. In contrast, the coactivator pocket of RAR is absolutely required and sufficient for the function of the RXR/RAR heterodimer. Consistently, deletion of the AF-2 helix from RXR enhances RAC3 binding to the RXR/RAR heterodimer, whereas deletion of the AF-2 helix from RAR abolishes RAC3 binding and transcriptional activation by the receptor heterodimer.

#### MATERIALS AND METHODS

**GST Pull-down Assay**—GST fusion proteins were expressed in *Escherichia coli* BL21 cells and purified with glutathione-Sepharose beads. Approximately 5  $\mu$ g of purified GST fusion protein was incubated with 5  $\mu$ l of  $^{35}$ S-labeled protein with moderate shaking at 4 °C overnight in binding buffer (20 mM HEPES, pH 7.7, 75 mM KCl, 0.1 mM EDTA, 2.5 mM MgCl<sub>2</sub>, 0.05% Nonidet P-40, 1 mM dithiothreitol, and 1 mg/ml bovine serum albumin). The bound protein was washed three times with binding buffer, and the beads were collected by centrifugation. The bound protein was eluted in SDS sample buffer, subjected to SDS-polyacrylamide gel electrophoresis, and detected by autoradiography.

**Site-directed Mutagenesis**—Site-directed mutagenesis was conducted by the QuickChange site-directed mutagenesis system (Stratagene). All mutations were confirmed by DNA sequencing.

**Gel Electrophoresis Mobility Shift Assay**—The sequence of the direct-repeat (DR) 5 element is AGCTTAAGAGGTCACCGAAAGGTCACGTCGAT. The sequence of the DR1 element is AGCTTAAGAGGTCACCGGTCACGTCGAT. The double-stranded oligonucleotide probe was end-labeled with [ $^{32}$ P]dCTP by using the standard Klenow fill-in reaction. The purified probe was incubated with *in vitro* transcribed/translated receptors in a binding buffer containing 7.5% glycerol, 20 mM HEPES, pH 7.5, 2 mM dithiothreitol, 0.1% Nonidet P-40, 1  $\mu$ g of poly[d(I-C)], and 100 mM KCl. The DNA-protein complex was formed on ice for 1 h and resolved on a 5% native polyacrylamide gel, which was subsequently dried and subjected to autoradiography.

**Partial Proteolysis Assay**—The partial proteolysis assay was conducted as described previously (21). Briefly, wild-type and mutant RXR were transcribed/translated in reticulocyte lysate and incubated with 1  $\mu$ M 9-*cis*-retinoic acid (9-*cis*-RA) for 1 h on ice. After that, trypsin was added to a concentration of 10  $\mu$ g/ml and incubated for 10, 30, and 50 min. An equal concentration of solvent (80% Ethanol plus 20% Me<sub>2</sub>SO) was added in control. After digestion, the reaction was stopped by boiling in SDS sample buffer, subjected to SDS-polyacrylamide gel electrophoresis, and detected by autoradiography.

**Cell Culture and Transient Transfection**—HEK293 cells were maintained in Dulbecco's modified Eagle's medium supplemented with 10% fetal bovine serum at 37 °C in 5% CO<sub>2</sub>. Cells were plated for transfection in Dulbecco's modified Eagle's medium supplemented with 10% resin charcoal-stripped fetal bovine serum in 12-well plates 1 day prior to transfection. HEK293 cells were transfected using the standard calcium-phosphate method. Twelve hours after transfection, the cells were washed with phosphate-buffered saline and re-fed fresh medium containing indicated concentrations of ligand. After 24 h, the cells were harvested for  $\beta$ -galactosidase and luciferase activities as described previously (22).

#### RESULTS

**LXXLL Motif Preferences for RAR $\alpha$  and RXR $\alpha$** —To determine the LXXLL motif preferences for RAR and RXR, we mutated the two consecutive leucines within each of the three motifs in the RAC3-ID<sup>613–752</sup> (Fig. 1A). The mutants were expressed as GST fusion proteins and tested for their abilities to interact with RAR, RXR, and VDR via a GST pull-down

assay (Fig. 1B). Wild-type RAC3-ID pulled down a significant amount of [ $^{35}$ S]-RAR in the presence of all-*trans*-retinoic acid (atRA). Mutation of the LXXLL motif i reduced this interaction, whereas the mutation of motif ii abolished the binding completely. In contrast, the motif iii mutation had no effect. With RXR, a different pattern was evident. Wild-type RAC3-ID interacted strongly with RXR in the presence of 9-*cis*-RA. Mutation of the LXXLL motifs i or ii each substantially inhibited this binding, reducing it to near background level, whereas the mutation of motif iii had little effect. In contrast, the LXXLL motif iii mutation significantly affected RAC3 binding to VDR. These data indicate that the LXXLL motif ii is most critical for interaction with RAR, whereas motifs i and ii are equally important for interaction with RXR, contrasting with the motif iii preference for VDR.

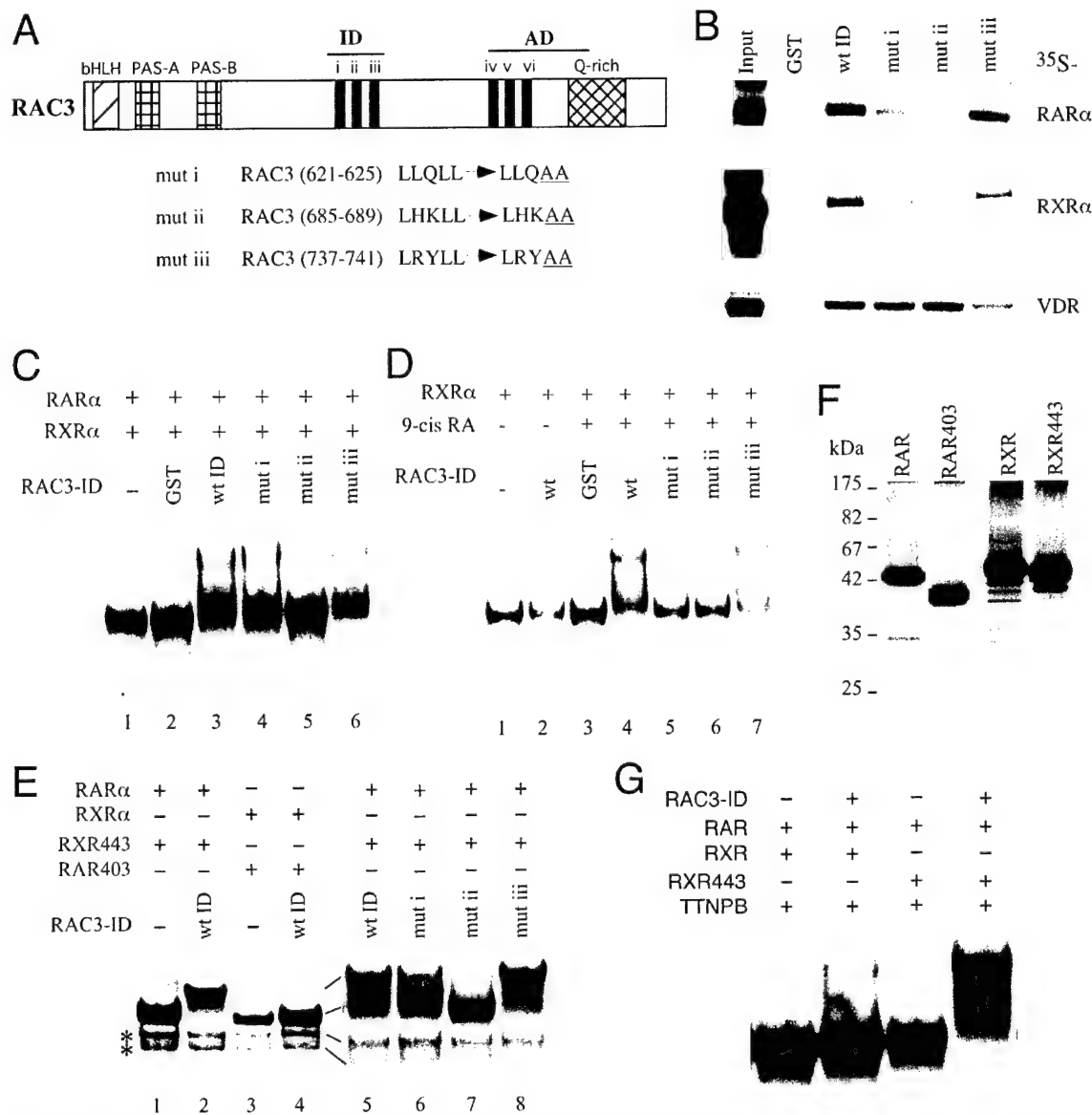
The above experiments revealed the LXXLL motif preferences for RAR and RXR in solution. To examine this preference in the context of a transcriptionally relevant heterodimer on DNA, we performed gel mobility supershift assays with the RXR/RAR heterodimer at a DR5 element and the RXR homodimer at a DR1 element. The RXR/RAR heterodimer bound the DR5 probe strongly in the presence of atRA (Fig. 1C, lane 1). The addition of GST did not affect the heterodimer, whereas the wild-type RAC3-ID shifted a part of the complex to a slower-migrating form (lane 3). The RAC3-ID with a mutation of LXXLL motif i or iii slightly reduced this interaction. By contrast, the mutation of motif ii abolished the binding completely (lane 5), suggesting that motif ii is most critical to interaction with the RXR/RAR heterodimer on DNA. Similarly, the RXR homodimer bound specifically to the DR1 probe, which was supershifted after the addition of wild-type RAC3-ID in a 9-*cis*-RA-dependent manner (Fig. 1D). Consistent with the GST pull-down data, the LXXLL motif i or ii mutation each inhibited RAC3 binding to the RXR homodimer, whereas the motif iii mutation had minimal effects.

We next analyzed the contribution of each AF-2 helix of RAR and RXR in recruiting RAC3 to the RXR/RAR heterodimer (Fig. 1E). Strikingly, when wild-type RAR was heterodimerized with the AF-2 helix-deleted mutant RXR443, this complex interacted with RAC3 much more strongly than did the wild-type heterodimer in the presence of atRA (Fig. 1, compare C, lane 3 with D, lane 2; also see Fig. 3D, lanes 2 and 4). This suggests that the AF-2 helix of RXR may inhibit the binding of RAC3 to the RXR/RAR heterodimer on DNA. In contrast, when the AF-2 helix-deleted mutant RAR403 was heterodimerized with wild-type RXR, the interaction with RAC3 was completely inhibited (Fig. 1, lanes 3–4), suggesting that the AF-2 helix of RAR is absolutely required for interaction with RAC3. The wild-type and mutant receptors were expressed at approximately equal levels as determined by SDS-polyacrylamide gel electrophoresis and autoradiography (Fig. 1F). Also, the mutant receptors can form heterodimers with the partnering receptor and bind DNA efficiently (Fig. 1G), suggesting that there were about equal amounts of active protein in each preparation. In addition, the enhancing effect of RXR AF-2 helix deletion on binding of RAC3 to the RXR/RAR heterodimer was also observed with the RAR $\alpha$ -selective agonist (E)-4-[2-(5,6,7,8-tetrahydro-5,5,8,8-tetramethyl-2-naphthalenyl)-1-propenyl] benzoic acid (TTNPB), confirming that the inhibition by the RXR AF-2 helix occurs in the absence of ligand binding to RXR.

We then used the above stable complex to re-examine the LXXLL motif requirement for RAC3 binding to the RXR/RAR heterodimer (Fig. 1E, lanes 5–8). Consistently, mutation of motif i reduced RAC3 interaction, whereas mutation of motif ii abolished the binding completely. In contrast, mutation of motif iii had no effect. These results strongly suggest that motif ii

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**FIG. 1. Mutation of RAC3 LXXLL motifs reveals retinoid receptor-specific motif preferences.** A, schematic illustration of RAC3 and its functional domains as well as LXXLL motif mutations generated in the context of the GST-RAC3-ID fusion protein. AD, activation domain, *i-vi*, LXXLL motifs; *bHLH*, basic helix-loop-helix; *PAS-A*, per-arnt-sim A; *PAS-B*, per-arnt-sim B; *Q-rich*, glutamine-rich domain. B, GST pull-down assay of indicated [<sup>35</sup>S]methionine-labeled receptors and GST fusion proteins in the presence of 1 μM atRA (*RARα*), 9-*cis*-RA (*RXRα*), or 1,25-dihydroxy-vitamin D<sub>3</sub> (*VDR*). *wt*, wild type. C, gel shift assay of the effects of LXXLL motif mutations on RAC3-ID binding to DR5-bound RXR/RAR in the presence of 1 μM atRA. To resolve the complexes better, free probes were run out of the gel, and therefore only the receptor/DNA and the RAC3-ID supershifted complexes are shown. D, gel shift assay of the effects of LXXLL motif mutations on RAC3-ID binding to the DR1-bound RXR homodimer. The gel shift assay was performed as in C except 1 μM 9-*cis*-RA and a DR1 probe were used. E, gel shift assay performed as in C except the H12 truncated RXR443 and RAR403 were used where indicated. \*, nonspecific band from lysate. F, autoradiograph of *in vitro* transcribed/translated wild-type and mutant receptors used in this study. G, gel shift assay performed as in E except the RAR-specific ligand TTNPB (10 nM) was used where indicated.

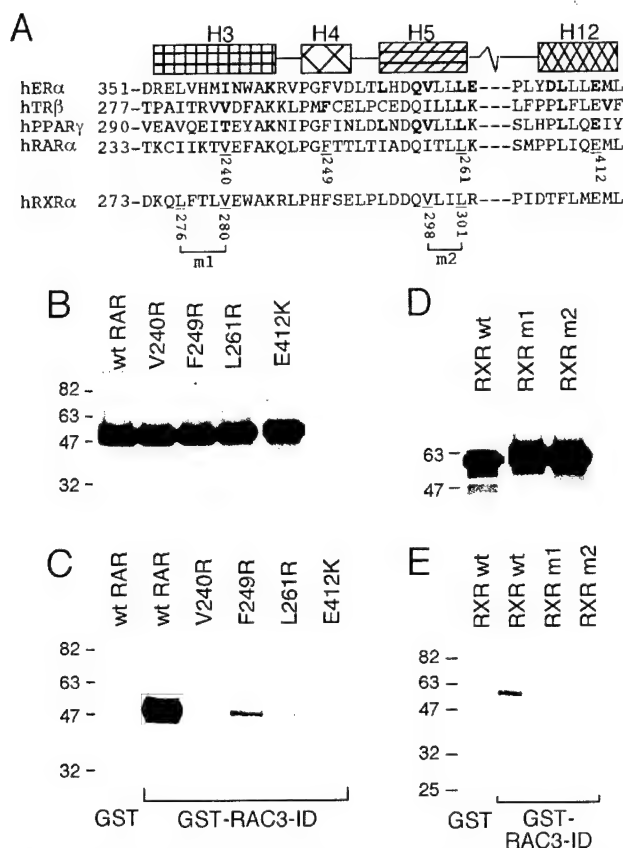
is most critical to RAC3 interaction with the RXR/RAR heterodimer, with motif *i* also contributing but to a lesser extent.

**Identification of Critical Coactivator-binding Residues of *RARα* and *RXRα***—After ligand binding a coactivator-binding pocket, consisting of residues from helices 3, 4, 5, and 12, that accommodates the LXXLL motif of coactivators has been identified (13–16). In light of these findings, we decided to characterize the coactivator-binding pockets of RAR and RXR, by creating site-directed mutations, and determine the relative contribution of each coactivator-binding pocket of the RXR/RAR heterodimer to the recruitment of RAC3. We aligned several receptor sequences and identified conserved residues that form direct contacts with the LXXLL motif in the crystal structures of endoplasmic reticulum α, TRβ, and PPARγ (Fig.

2A). These residues were mutated in the context of full-length *RARα* and *RXRα*. These mutations are homologous to those made in the TRβ, which retained their ability to bind hormone (13). Each RAR mutant was expressed *in vitro* (Fig. 2B) and tested for interactions with RAC3 in a GST pull-down assay (Fig. 2C). As expected, GST-RAC3-ID pulled down a significant amount of wild-type RAR in the presence of atRA, compared with only minimal binding to GST alone. However, mutation of any of the conserved pocket residues drastically reduced this interaction. In particular, the V240R, L261R, and E412K mutations each abolished RAC3 binding completely, whereas F249R retained slight interaction with RAC3. Therefore, these residues in the presumed coactivator-binding pocket of RAR are critical for RAC3 binding, suggesting that the coactivator

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## Role of Retinoid Receptor Coactivator Pockets



**FIG. 2. Mutation of the coactivator pocket inhibits interaction between retinoid receptors and RAC3 *in vitro*.** *A*, alignment of the coactivator pocket sequences of nuclear receptors. The underlined residues in RAR or RXR were mutated based on homologous amino acids (in bold) of endoplasmic reticulum  $\alpha$ , TR $\beta$ , and PPAR $\gamma$  demonstrated to contact the LXXLL motif in the respective crystal structures. *B*, autoradiograph of *in vitro* translated [ $^{35}$ S]methionine-labeled RAR probes demonstrates equal expression of wild-type (wt) and mutant proteins. *C*, GST pull-down assay of the indicated RAR probe with GST-RAC3-ID in the presence of 1  $\mu$ M atRA. *D*, the autoradiograph of *in vitro* translated [ $^{35}$ S]methionine-labeled RXR probes. *E*, GST pull-down assay of the indicated RXR probe with GST-RAC3-ID in the presence of 1  $\mu$ M 9-*cis*-RA.

pocket is conserved among different nuclear receptors and for interactions with different SRC coactivators.

Similarly, we analyzed the conserved residues in the coactivator pocket of RXR $\alpha$  for their contributions to RAC3 binding. The leucine 276/valine 280 from helix 3 (m1) and valine 298/leucine 301 from helix 5 (m2) were mutated to alanines. A partial proteolysis assay indicated that both mutants still bind to 9-*cis*-RA efficiently (data not shown). The wild type and mutants were expressed at approximately equal levels in the *in vitro* translation reaction (Fig. 2*D*), and the  $^{35}$ S-labeled receptors were tested for interactions with RAC3 in the presence of 9-*cis*-RA (Fig. 2*E*). The RAC3-ID interacted specifically with wild-type RXR $\alpha$  as expected. Interestingly, both mutations affected RAC3 binding significantly, with the m1 mutation abolishing the interaction completely and the m2 mutation retaining barely detectable binding. Therefore, we have also identified and disrupted residues in the RXR coactivator pocket that are critical to RAC3 binding.

**Contribution of Each of the RAR and RXR Coactivator Pockets to the Recruitment of RAC3**—To determine the relative contribution of each of the two coactivator-binding pockets of the RXR/RAR heterodimer to the interaction with RAC3, we conducted a gel mobility shift assay with coactivator pocket-mutated receptors. Consistent with the above experiment, the

wild-type RXR/RAR heterodimer bound to the DR5 element was significantly shifted by the wild-type RAC3-ID in the presence of atRA (Fig. 3*A*, lanes 1–2). Interestingly, each of the four coactivator pocket mutations in RAR $\alpha$  eliminated the RAC3-dependent supershift despite the presence of an intact coactivator pocket in RXR $\alpha$  (lanes 3–10). It seemed that the F249R mutant retained weak binding to RAC3-ID. We found that the addition of 9-*cis*-RA to the reactions had no effect on the binding (data not shown), suggesting that the RXR coactivator pocket alone is not sufficient to recruit RAC3 to the RXR/RAR heterodimer in the absence of a functional RAR coactivator pocket.

To investigate further the role of the RXR coactivator pocket in the recruitment of RAC3, the effect of each of the two RXR coactivator pocket mutations on binding of RAC3 to the RXR homodimer on DNA was tested (Fig. 3*B*). As demonstrated above, the wild-type RXR homodimer strongly bound the DR1 probe, and this complex was shifted by RAC3-ID in the presence of 9-*cis*-RA (Fig. 3*B*, lanes 1 and 2). The RXR coactivator pocket mutants retained the ability to form homodimers and bind DNA, suggesting that these mutations did not disrupt the structure of the receptor. However, we found that the m1 mutation had a weaker DNA binding activity, and the m2 mutation seemed to have an enhanced DNA binding (lanes 3–6). Nonetheless, both RXR mutants showed no evidence of binding RAC3-ID (lanes 4 and 6). These data indicate that the coactivator pocket of RXR is required for the recruitment of RAC3 to the RXR homodimer on DNA.

From the above experiments, it is evident that the RAR coactivator pocket is absolutely required for recruiting RAC3 to the RXR/RAR heterodimer and that the RXR coactivator pocket is required for recruiting RAC3 to the RXR homodimer. However, it is not clear whether the RXR coactivator pocket contributes to RAC3 binding to the RXR/RAR heterodimer. Therefore, we compared the ability of RAC3 to bind the wild-type RXR/RAR heterodimer *versus* heterodimers in which the coactivator pocket of RXR or RAR was mutated (Fig. 3*C*). Intriguingly, when wild-type RAR was dimerized with the mutant RXR, RAC3-ID was still capable of binding the complex significantly (lanes 5–8), contrasting to the lack of binding to the complex containing mutant RAR and wild-type RXR. This interaction was abolished when the RAR coactivator site was also mutated (lanes 9 and 10). These data suggest that the RAR coactivator pocket is the primary binding site to RAC3, whereas the RXR coactivator pocket may be less critical.

To confirm the above observations, we repeated the experiment using RXR443, which dramatically enhances the interaction of the RXR/RAR heterodimer with RAC3-ID (Fig. 3*D*). When RXR443 harboring the m2 mutation was dimerized with RAR, a much more enhanced interaction with the RAC3-ID was still evident (lanes 5 and 6). In fact, nearly the entire RXR443/RAR complex was again shifted by RAC3-ID. A similar result was obtained with the RXR443-m1 double mutant (data not shown). These results suggest that the RXR coactivator pocket plays only a minor role in recruiting RAC3 to the RXR/RAR heterodimer, in contrast to the essential role of the RAR coactivator pocket.

**Contribution of Each of the RAR and RXR Coactivator Pockets to Transcriptional Activation**—To assess the functional significance of mutating the coactivator pockets of RAR and RXR *in vivo*, we performed reporter gene assays to investigate the transcriptional activity of these coactivator pocket mutants. First, HEK293 cells were transfected with wild-type or mutant RAR along with a luciferase reporter containing DR5 response elements (Fig. 4*A*). In the absence of ligand, the wild-type and mutant RAR had little effect on reporter expression, except the

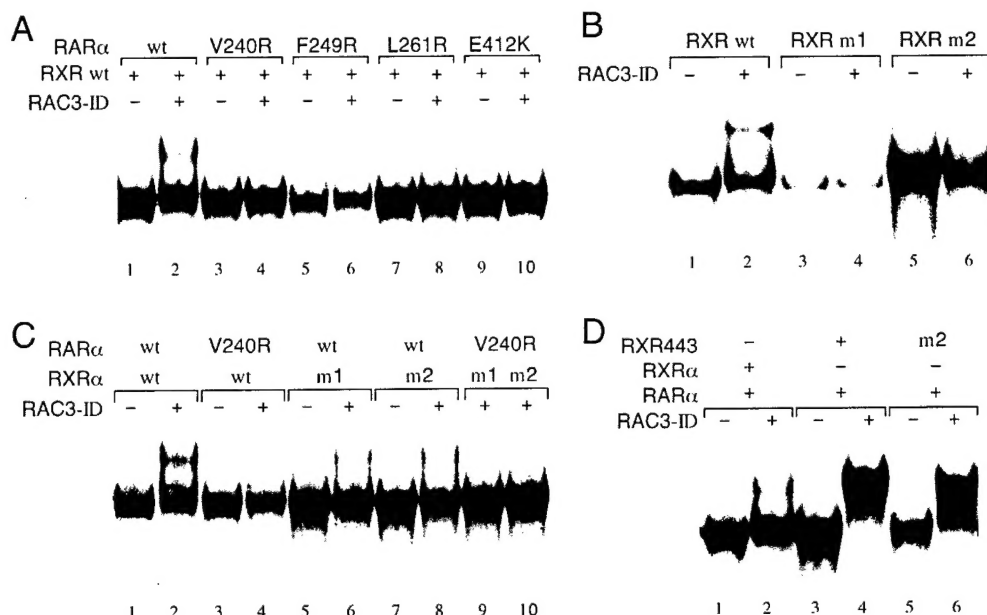
F3

F4



## Role of Retinoid Receptor Coactivator Pockets

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**FIG. 3. Mutation of the RAR or RXR coactivator pocket differentially affects RAC3 binding to the DNA-bound RXR/RAR heterodimer.** A, gel shift assay of the effects of RAR mutations on RAC3-ID binding to RXR/RAR. Indicated receptors were added to a binding reaction containing 1  $\mu$ M atRA and a DR5 probe in the absence (–) or presence (+) of GST-RAC3-ID fusion protein. *wt*, wild type. B, effects of RXR mutations on RAC3-ID binding to an RXR homodimer. The gel shift assay was performed as described in A except 1  $\mu$ M 9-*cis*-RA and a DR1 probe were used in the absence (–) or presence (+) of GST-RAC3-ID fusion protein. C, effects of RXR mutations on RAC3-ID binding to RXR/RAR. The gel shift assay was performed as described in A. D, similar results are obtained when AF-2 H12-truncated RXR443 is substituted for wild-type RXR.

wild-type RAR and E412K had a slight repressive activity. In the presence of atRA, the reporter alone was stimulated about 2-fold, whereas transfection of wild-type RAR strongly stimulated the reporter expression about 10-fold. In contrast, all four RAR mutants failed to enhance reporter expression above the endogenous level. These observations correlate well with the above *in vitro* data in implicating a critical role of the RAR coactivator pocket in mediating transcriptional activation from responsive promoters.

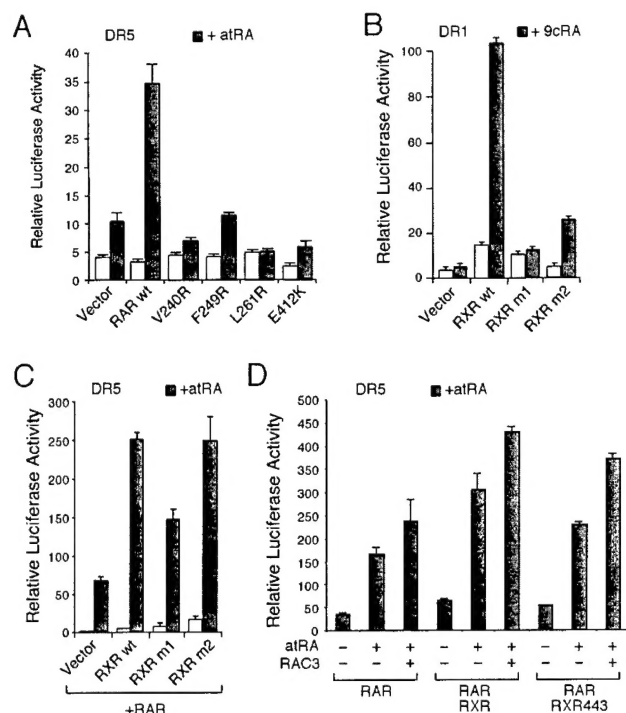
We next sought to investigate the role of the RXR coactivator pocket in supporting transcriptional activation by the RXR homodimer or RXR/RAR heterodimer *in vivo*. HEK293 cells were transfected with wild-type or coactivator pocket-mutated RXR and a luciferase reporter driven by a DR1-containing promoter (Fig. 4B). The wild-type RXR displayed strong 9-*cis*-RA-dependent transcriptional activation on the DR1 promoter as expected. In contrast, the RXR m1 and m2 mutants were significantly impaired in their abilities to activate reporter gene expression. We noted that the m2 mutant retained some weak ligand-dependent activity; however, this may correlate with its residual binding to RAC3 *in vitro* (Fig. 2E) and/or enhanced homodimerization and DNA binding ability (Fig. 3B). Overall, these data suggest that the coactivator pocket of RXR is critical to transcriptional activation by the RXR homodimer, correlating to the binding of RAC3 *in vitro*.

The above data suggest a requirement of the RXR coactivator pocket for RAC3 binding and transcriptional activation by the RXR homodimer. However, our data also suggest that the RXR coactivator pocket is not as important in recruiting RAC3 to the RXR/RAR heterodimer as the RAR pocket or as the recruitment of RAC3 to the RXR homodimer. To investigate the functional significance of this differential requirement of the RXR coactivator pocket in different dimer configurations, we analyzed the effects of the RXR coactivator pocket mutations on transcriptional activation of the RXR/RAR heterodimer from a DR5-driven promoter (Fig. 4C). Coexpression of wild-type RXR and RAR enhanced reporter expression above the expression of RAR alone. Strikingly, both RXR coactivator pocket mutants

were capable still of sustaining transcriptional activation from the DR5 promoter, in contrast to their severely impaired function at the DR1 promoter. Similarly, coexpression of RXR443 with RAR was also capable of sustaining transcriptional activation by RXR/RAR and coactivation by RAC3 (Fig. 4D). In fact, RXR443 slightly enhanced RAC3-mediated transcriptional coactivation (1.5-fold). Although, this effect is not as dramatic as the enhancement of binding to RAC3 *in vitro*, we have found that RXR443 also enhances recruitment of the SMRT corepressor to the RXR/RAR heterodimer.<sup>2</sup> Furthermore, it has also been shown that RXR443 decreases ligand-dependent dissociation of SMRT (23). Therefore, the ability of RXR443 to support transcriptional activation *in vivo* may be compromised by enhanced corepressor association. These data suggest that the RXR coactivator pocket is critical to the activity of the RXR homodimer but is less important to the RXR/RAR heterodimer.

**Involvement of the RAR Coactivator Pocket for Corepressor Interactions**—Several recent studies have determined that SMRT and the nuclear receptor corepressor contain LXXLL-like motifs that are required for interaction with unliganded TR and RAR (18–20). Therefore, we wished to determine whether the same residues within the RAR coactivator pocket that were required for RAC3 binding were also critical to binding of the corepressor SMRT (Fig. 5A). As expected, GST-SMRT-ID pulled down significant amounts of wild-type RAR in the absence of hormone. Interestingly, the V240R, F249R, and L261R mutations each inhibited the interaction substantially, with F249R and L261R more or less abolishing the binding; V240R had a more modest effect. In contrast, the E412K mutation in helix 12 did not alter the SMRT-RAR interaction, with was opposite of the strong effect on the RAC3-RAR interaction. These results suggest that the RAR coactivator pocket overlaps with a proposed corepressor pocket. However, distinct contributions of individual residues do exist, because V240R had a

<sup>2</sup> C. Leo, X. Yang, J. Liu, H. Li, and J. D. Chen unpublished data.

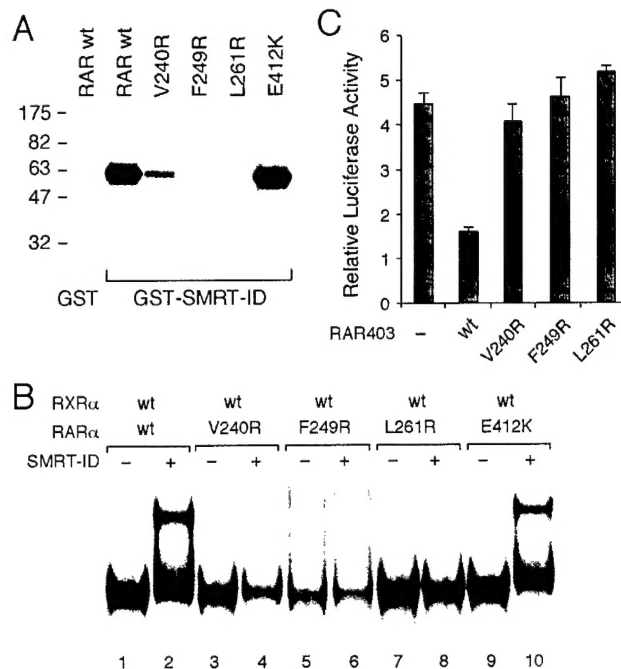


**FIG. 4. Transcriptional activity of retinoid receptors harboring mutations in the coactivator pocket.** A, empty vector, wild type (*wt*), or coactivator pocket mutated RAR was co-transfected in HEK293 cells with a DR5-driven luciferase reporter. Cells were treated with solvent or 50 nM atRA. Those mutants that failed to bind RAC3 *in vitro* also fail in activating transcription. B, mutation of the RXR coactivator pocket inhibits transcriptional activation by the RXR homodimer. Transfection was performed as described in A using wild-type or mutant RXR and a DR1-driven reporter with cells treated with solvent or 100 nM 9-*cis*-RA (9cRA). C, the same experiment as described in B was repeated using a DR5-driven reporter and wild-type RAR in the absence or presence of 100 nM atRA. Note that the RXR mutations markedly affect the transcriptional activity of the RXR homodimer but not the activity of the RXR/RAR heterodimer. D, the same experiment as described in C was repeated comparing the ability of wild-type RXR and RXR443 in sustaining transcriptional activation from a DR5-driven promoter and transcriptional coactivation by RAC3.

more modest effect on SMRT binding relative to RAC3 binding, whereas F249R displayed an opposite effect.

We then analyzed the recruitment of SMRT to the RXR/RAR heterodimer bound to DNA (Fig. 5B). As expected, the wild-type heterodimer was significantly shifted to a slower-migrating form by the SMRT-ID (lanes 1 and 2). Intriguingly, when RAR harboring the V240R, F249R, or L261R point mutations were substituted for the wild-type receptor, recruitment of SMRT was abolished (lanes 3–8). On the other hand, the E412K mutation did not affect SMRT binding (lanes 9 and 10), which was consistent with the above GST pull-down data. Overall, these data suggest that corepressors bind to a surface of RAR that overlaps with the coactivator-binding site.

Finally, we assessed the functional consequences of the RAR corepressor-binding mutations on transcriptional repression by the receptor. We introduced these mutations into the context of RAR403 because RAR403 can strongly repress basal transcription (3), allowing easier assay for repression activity. The E412K mutation was not included because it is not contained within RAR403. These mutant receptors were tested for transcriptional repression by transient transfection in HEK293 cells (Fig. 5C). Co-transfection of RAR403 with the DR5-driven reporter resulted in significant repression of basal activity in the absence of hormone, relative to empty vector. However, the expression of RAR403 V240R, F249R, or L261R each abolished this repression activity. These results demonstrate that the



**FIG. 5. Mutation of the RAR coactivator pocket inhibits interaction with the corepressor SMRT *in vitro* and transcriptional repression *in vivo*.** A, GST pull-down assay of the indicated RAR probe with GST-SMRT-ID (amino acids 982–1291) in the absence of ligand. *wt*, wild type. B, gel shift assay with the indicated receptors and a DR5 probe in the absence (–) or presence (+) of GST-SMRT-ID fusion protein. C, empty vector (–), RAR403, or RAR403 containing each of the indicated coactivator pocket mutations were co-transfected in HEK293 cells with a DR5-driven luciferase reporter. The indicated coactivator pocket mutations disrupt the ability of RAR403 to repress basal transcription.

corepressor-binding residues are also critical for transcriptional repression by unliganded RAR.

#### DISCUSSION

We have investigated the mechanisms by which the coactivator RAC3 and corepressor SMRT are recruited by retinoid receptors and how these interactions correlate with transcriptional activities of the receptors. We find that RAC3 preferentially utilizes the LXXLL motifs i and ii to bind RXR and RAR, with highest affinity of motif ii with RAR. We identify specific residues within the coactivator-binding pockets of RAR and RXR that are required for coactivator and corepressor bindings. We demonstrate that mutation of these coactivator pocket residues disrupts recruitment of RAC3 and transcriptional activities of the receptors. Interestingly, we also find that the integrity of the RXR coactivator pocket is not sufficient for the RXR/RAR heterodimer to recruit RAC3 and activate transcription, whereas this RXR pocket is absolutely required for the function of the RXR homodimer. Consistently, deletion of the AF-2 helix from RXR enhances rather than inhibits coactivator binding to the RXR/RAR heterodimer. Additionally, we demonstrate that several coactivator-binding residues in RAR are also involved in the binding of corepressor and regulation of transcriptional repression.

The coactivator RAC3 contains three separate LXXLL motifs within its receptor-interacting domain. Based on previous studies of the crystal structure of liganded receptor with LXXLL peptide (15), it is likely that each receptor binds one LXXLL motif. Therefore, a receptor dimer may selectively utilize two LXXLL motifs to recruit a coactivator. Accordingly, we find that the RAC3 LXXLL motif ii and motif i, to a lesser degree, are both important for interactions with RAR in solution or as



part of the DNA-bound RXR/RAR heterodimer. In contrast, motifs i and ii are equally important to interactions with RXR in solution or when homodimerized and bound to DNA. Therefore, in the RXR/RAR heterodimer, motif ii may bind to RAR first. The subsequent interaction with RXR may be mediated by motif i. In the case of the RXR/VDR heterodimer, motif iii may bind to VDR first, followed by a secondary interaction between RXR and motif ii (9). Thus, the existence of three LXXLL motifs in RAC3-ID likely provides the coactivator with flexibility to adapt to different structural conformations that each receptor dimer assumes.

Recent crystallographic evidence has detailed the formation of a hydrophobic pocket induced by ligand binding to the receptor that serves as the docking surface for the LXXLL motif of coactivators (14–16). This pocket consists of helices 3, 4, 5, and 12 of the ligand-binding domain including a charge clamp formed by a conserved glutamate from helix 12 and a lysine from helix 3, which together precisely position the LXXLL motif within the pocket. Based on the interactions observed in the crystal structures of endoplasmic reticulum  $\alpha$ , TR $\beta$ , and PPAR $\gamma$  complexed with LXXLL peptides, highly conserved amino acids from RAR and RXR were selected for analysis in this study. We find that the mutation of valine 240, phenylalanine 249, or lysine 261, from helices 3, 4, and 5, respectively, each strikingly inhibits RAR interactions with RAC3 *in vitro* and transcriptional activation by the receptor *in vivo*. Mutation of the charge clamp glutamate, glutamate 412, shows the same effect. These mutations also abolish recruitment of RAC3 to a DNA-bound RXR/RAR heterodimer despite the presence of an intact coactivator-binding site in RXR. While it remains to be demonstrated, these single point mutations are unlikely to affect the overall structure of the receptor because they retain intact DNA-binding and RXR heterodimerization activities. These data suggest that an intact coactivator pocket is essential to RAR interactions with RAC3 and that coactivator interaction is required for the transcriptional activation function of RAR.

We also have investigated the ability of RXR, the common heterodimeric partner for nonsteroid receptors, to interact with RAC3 because it is not known what role RXR plays in recruiting coactivators to the RXR/RAR heterodimer on DNA. Although heterodimerization with RXR is essential for DNA binding, RXR has long been considered as a transcriptionally silent partner for partnering receptors (1, 24, 25). However, the RXR-specific ligand SR11237, when in combination with the RAR antagonist BMS453, can induce differentiation of NB4 acute promyelocytic leukemic cells and transcriptional activation (26). It was demonstrated further that the RXR-specific ligand LG268 can synergize with a low dose concentration of RAR-specific ligand TTNPB on recruiting the coactivator SRC-1 (27), suggesting that RXR may play a role in coactivator recruitment and transcriptional activation of the RXR/RAR heterodimer. We find that RXR was able to bind RAC3 in solution, and mutation of specific coactivator-pocket residues inhibits this interaction. Intriguingly, however, the RXR pocket contributes differently to coactivator recruitment to DNA-bound nuclear receptors depending on the particular dimer examined. In the case of the RXR/RXR homodimer bound to a DR1 element, the RXR pocket is required to recruit RAC3. This is likely caused by the loss of both pockets in the RXR homodimer. Consistently, mutation of this pocket drastically reduces the ability of RXR to activate transcription *in vivo* from a DR1-driven reporter. A different pattern is evident upon examining the RXR/RAR heterodimer. Here, the RXR coactivator pocket is not sufficient to recruit RAC3 to a DR5 element, whereas the RAR pocket is absolutely required and seems

sufficient under these conditions. In support of these *in vitro* data, the RXR mutants have only modest effects on RXR/RAR transcriptional activity at a DR5-driven reporter *in vivo*, in contrast to the devastating effect on the RXR homodimer. Our data suggest that RAR may act as a primary docking point for coactivator binding to RXR/RAR heterodimer, whereas RXR plays a secondary role in the recruitment of coactivator.

The finding that the AF-2 helix of RXR can interfere with RAC3 binding to a DNA-bound RXR/RAR heterodimer is intriguing and consistent with our previous studies with RXR/VDR (9). This inhibition may be the result of competition between the AF-2 helix of RXR and the LXXLL motif for the coactivator-binding pocket on the partnering receptor; Westin *et al.* (27) showed previously that deletion of the RXR AF-2 helix slightly enhanced SRC-1 binding to RAR/RXR. Consistently, in the crystal structure of the RXR tetramer, the AF-2 helix of one RXR monomer occupies the coactivator-binding site of the adjacent monomer of the symmetric dimer (28). We find that this enhancement is dramatic in the gel shift assay. Therefore, coactivators may have to compete with the RXR AF-2 helix for the coactivator site of the partnering receptor. Together, these studies suggest that coactivators, corepressors, and the RXR AF-2 helix all might interact with a similar surface with ligand-inducing conformational changes that serve to dissociate the corepressor and recruit coactivator. The function of the RXR AF-2 helix may serve to adjust the overall loading of coactivators and corepressors to the receptor complex bound to promoter, adding an additional layer of controls to regulate precise levels of target gene expression.

Additionally, we have characterized the involvement of the RAR coactivator pocket in the binding of corepressor SMRT. Interestingly, the V240R, F249R, and L261R mutations that affect coactivator binding also significantly affect corepressor binding. Importantly, these mutations also disrupt the transcriptional repression activity of RAR403. Thus, corepressor recruitment correlates well with transcriptional repression by RAR. Furthermore, it seems that structural differences exist between the coactivator- and corepressor-binding surfaces. In addition to the opposite contributions from the AF-2 helix, the F249R mutation retained residual binding to RAC3, but instead, this mutation disrupts SMRT binding completely. On the other hand, the V240R mutation disrupts RAC3 binding completely but retained detectable binding to SMRT. These data are consistent with recent studies suggesting that corepressors interact with unliganded nuclear receptors via a similar hydrophobic pocket on the receptor as coactivators (18–20). However, unlike coactivators, the charge clamp and AF-2 helix are likely not involved in the binding of corepressors, because mutation of glutamate 412 from the AF-2 helix has no effect on the RAR-SMRT interaction, but it disrupts RAR-RAC3 interaction completely. The differences between the relative roles of each residue in coactivator *versus* corepressor binding may also be involved in the mechanism by which the receptor discriminates between these cofactors.

In summary, our data suggest that each receptor in a receptor heterodimer is differentially required in the recruitment of coactivators and corepressors. The recruitment of coactivators and corepressors by the RXR/RAR heterodimer involves a complex series of interactions that in turn regulates the transcriptional activity of the receptor to control gene expression. Multiple RAC3 LXXLL motifs mediate the binding of coactivator to the liganded receptor, with different receptors preferring different motifs for interaction. Both coactivators and corepressors interact with a similar hydrophobic pocket of the ligand-binding domain, and the AF-2 helix of RXR seems to be an important regulatory motif in mediating cofactor recruitment.

AQ: M

AQ: N

AQ: O

Overall, this study provides several new insights to the understanding of the mechanism by which individual coactivator pockets are utilized in a nuclear receptor dimer to recruit coactivators and corepressors.

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